

F21000004815

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐

PICK-UP

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WAIT

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MAIL

(Business Entity Name)

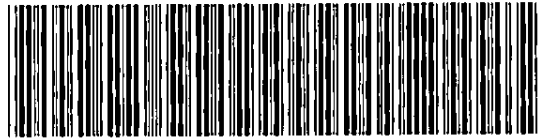
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2021 AUG -9 AM 9:11

DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

2021 AUG -9 PM 4:15

RECEIVED

CORPORATION SERVICE COMPANY  
1201 Hays Street  
Tallahassee, FL 32301  
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 950375 7582331

AUTHORIZATION :

COST LIMIT : \$ 70.00

ORDER DATE : August 9, 2021

ORDER TIME : 3:16 PM

ORDER NO. : 950375-005

CUSTOMER NO: 7582331

FOREIGN FILINGS

NAME: CITIZENS BANK, NATIONAL  
ASSOCIATION

XXXX QUALIFICATION (TYPE: BNK)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

       CERTIFIED COPY  
XX        PLAIN STAMPED COPY  
       CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Alexxis Weiland -- EXT# 61592

EXAMINER: \_\_\_\_\_

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** CITIZENS BANK, NATIONAL ASSOCIATION

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Gary Ashjian

Name of Person

Citizens Bank

Firm/Company

28 State Street

Address

Boston, MA 02109

City/State and Zip code

gary.ashjian@citizensbank.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Gary Ashjian

at (617) 994-7607

Name of Person

Area Code

Daytime Telephone Number

**STREET/COURIER ADDRESS:**

Registration Section  
Division of Corporations  
The Centre of Tallahassee  
2415 N. Monroe Street, Suite 810  
Tallahassee, FL 32303

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Citizens Bank, National Association  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. RI 3. 20-2635739  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. May 5, 2005 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. One Citizens Plaza, Providence, RI 02903  
(Principal office street address)

\_\_\_\_\_  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301  
(City) (Zip code)

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Corporation Service Company

By

Alexis Weirad, assistant vice president  
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors [up to six (6) total]:

# A. DIRECTORS

☒ Chairman Name: Bruce Van Saun  
☐ Vice Chairman Address: 600 Washington Blvd.  
Stamford, CT 06901  
☐ Director  
☐ President  
☐ Vice President  
☐ Secretary ☐ Treasurer  
☐ Other ☐ Other

☐ Chairman Name: Christine M. Cumming  
☐ Vice Chairman Address: One Citizens Plaza  
Providence, RI 02903  
☒ Director  
☐ President  
☐ Vice President  
☐ Secretary ☐ Treasurer  
☐ Other ☐ Other

☐ Chairman Name: William Hankowsky  
☐ Vice Chairman Address: One Citizens Plaza  
Providence, RI 02903  
☒ Director  
☐ President  
☐ Vice President  
☐ Secretary ☐ Treasurer  
☐ Other ☐ Other

☐ Chairman Name: Lee Higdon  
☐ Vice Chairman Address: One Citizens Plaza  
Providence, RI 02903  
☒ Director  
☐ President  
☐ Vice President  
☐ Secretary ☐ Treasurer  
☐ Other ☐ Other

☐ Chairman Name: Edward J. Kelly, III  
☐ Vice Chairman Address: One Citizens Plaza  
Providence, RI 02903  
☒ Director  
☐ President  
☐ Vice President  
☐ Secretary ☐ Treasurer  
☐ Other ☐ Other

☐ Chairman Name: Charles Koch  
☐ Vice Chairman Address: One Citizens Plaza  
Providence, RI 02903  
☒ Director  
☐ President  
☐ Vice President  
☐ Secretary ☐ Treasurer  
☐ Other ☐ Other

**Important Notice:** Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

12. Gary A. Ashjian  
 Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Gary A. Ashjian Vice President and Assistant Corporate Secretary  
 (Typed or printed name and capacity of person signing application)

### **Additional Directors**

Robert Leary – Director  
One Citizens Plaza  
Providence, RI 02903

Terrance J. Lillis – Director  
One Citizens Plaza  
Providence, RI 02903

Shivan Subramaniam – Director  
One Citizens Plaza  
Providence, RI 02903

Wendy Watson – Director  
One Citizens Plaza  
Providence, RI 02903

Marita Zuraitis – Director  
One Citizens Plaza  
Providence, RI 02903

Lee Alexander – Director  
One Citizens Plaza  
Providence, RI 02903

Christopher Swift – Director  
One Citizens Plaza  
Providence, RI 02903



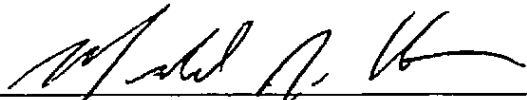
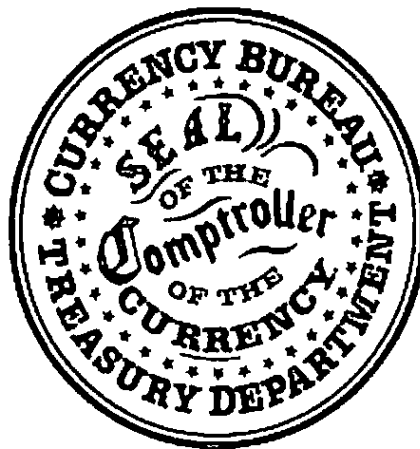
## CERTIFICATE OF CORPORATE EXISTENCE

I, Michael J. Hsu, Acting Comptroller of the Currency, do hereby certify that:

1. The Comptroller of the Currency, pursuant to Revised Statutes 324, et seq, as amended, and 12 USC 1, et seq, as amended, has possession, custody, and control of all records pertaining to the chartering, regulation, and supervision of all national banking associations.

2. "Citizens Bank, National Association," Providence, Rhode Island (Charter No. 24571), is a national banking association formed under the laws of the United States and is authorized thereunder to transact the business of banking on the date of this certificate.

IN TESTIMONY WHEREOF, today, August 6, 2021, I have hereunto subscribed my name and caused my seal of office to be affixed to these presents at the U.S. Department of the Treasury, in the City of Washington, District of Columbia

  
\_\_\_\_\_  
Acting Comptroller of the Currency



Commissioner Russell C. Weigel, III

August 19, 2021

Gary Ashjian  
28 State Street  
Boston, Massachusetts 02109

Re: Citizens Bank, National Association

Dear Mr. Ashjian:

Reference is made to your recent letter requesting approval to register the above-referenced name with the Florida Secretary of State by Citizens Bank, National Association, which is a National Bank regulated by the Office of the Comptroller of the Currency and located in Providence, Rhode Island.

Section 655.922, Florida Statutes, exempts a financial institution, holding company or its subsidiaries from the prohibition of using the word "bank," "banco," "banque," "banker," "banking," "trust company," "savings and loan association," "savings bank," or "credit union," or words of similar import, in any context or in any manner in its corporate name. Therefore, this Office will not object to the use of the above referenced name being registered to transact business in the state of Florida. However, this correspondence is not intended to grant the authority to act in any licensed capacity until all licensing requirements have been met within this state.

Sincerely,

Russell C. Weigel, III  
Commissioner  
Office of Financial Regulation

RCW:jrj

cc: Gina McLeod, Chief, Bureau of Commercial Recordings, Division of Corporations,  
Department of State