

7/29/21

Florida Department of State  
Division of Corporations  
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**FOREIGN PROFIT/NONPROFIT CORPORATION**  
**IMPEL NEUROPHARMA, INC.**

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TALLAHASSEE, FLORIDA

1/1

## APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Impel NeuroPharma, Inc.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Int.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. \_\_\_\_\_  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 07/24/2008 5. Perpetual  
(Date of incorporation) (Date of duration, if other than perpetual)

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 201 Elliott Avenue W., Ste. 260, Seattle, WA 98119  
(Principal office address)

same  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324  
(City) (Zip code)

9. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation, at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

C T Corporation System

By: \_\_\_\_\_

(Registered agent's signature)

Jessica Hale, Asst. Secretary

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

## 11. Names and business addresses of officers and/or directors:

**A. DIRECTORS** *See attached sheet*Chairman: Adrian AdamsAddress: 201 Elliott Ave. W, Suite 260, Seattle, WA 98119

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Stewart ParkerAddress: 201 Elliott Ave. W, Suite 260, Seattle, WA 98119Director: Mahendra ShahAddress: 201 Elliott Ave. W, Suite 260, Seattle, WA 98119**B. OFFICERS** *See attached sheet*President: Adrian AdamsAddress: 201 Elliott Ave. W, Suite 260, Seattle, WA 98119Vice President: John D. HockmanAddress: 201 Elliott Ave. W, Suite 260, Seattle, WA 98119Secretary: John D. HockmanAddress: 201 Elliott Ave. W, Suite 260, Seattle, WA 98119Treasurer: John D. HockmanAddress: 201 Elliott Ave. W, Suite 260, Seattle, WA 98119**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. \_\_\_\_\_

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in 8.817.155, F.S.

13. John Leaman, MD, Chief Financial Officer

(Typed or printed name and capacity of person signing application)

**Impel NeuroPharma, Inc**

**List of Officers**

**June 21, 2021**

Adrian Adams, Chairman and Chief Executive Officer

John Leman, MD, Chief Financial Officer

John Hoekman, PhD, Chief Technology & Development Officer

Stephen Shrewsbury, MD, Chief Medical Officer

Len Paolillo, Chief Commercial Officer

Address for all:

201 Elliott Ave. W, Suite 260

Seattle, WA 98119

## **Impel Board of Directors List**

**As of July 23, 2021**

### ***Classified Board:***

#### ***Class I (expires 2022):***

Mahendra Shah

Stewart Parker

#### ***Class II (expires 2023):***

David Allison

Ali Satvat

#### ***Class III (expires 2024):***

Adrian Adams

Tim Nelson

Diane Wilfong

### ***Officer/Board Members:***

- Adrian Adams – CEO, President & Chairman
- John D. Hoekman – Chief Technology & Development Officer, Executive Vice President, Treasurer, & Secretary
- John Leaman – Chief Financial Officer

### **Address for all:**

201 Elliott Ave. W, Suite 260

Seattle, WA 98119

# Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "IMPEL NEUROPHARMA, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWELFTH DAY OF JULY, A.D. 2021.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



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SR# 20212681823

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 203651681

Date: 07-12-21