

F21000003657

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

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(Business Entity Name)

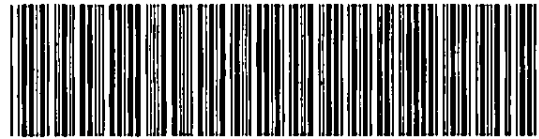
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6/23/21

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Midlegate Securities Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Howard Spindel

Name of Person

Midlegate Securities Inc.

Firm/Company

8 West 40th Street

Address

New York, NY 10018

City/State and Zip code

hspindel@intman.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Howard Spindel

Name of Person

at (212)

Area Code

897-1688

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Middlegate Securities Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co." or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York 3. 13-3440941
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. September 9, 1987 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. September 27, 2018
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 8 West 40th New York, NY 10018
(Principal office street address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Howard Spindel

Office Address: 7000 West Palmetto Park Road, Suite 503

Boca Raton . Florida 33433
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors [up to six (6) total]:

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A. DIRECTORS

☐ Chairman Name: Albert Sutton
☐ Vice Chairman Address: 8 West 40th Street
☒ Director New York, NY 10018
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: Elliot Sutton
☐ Vice Chairman Address: 8 West 40th Street
☒ Director New York, NY 10018
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

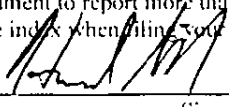
☐ Chairman Name: Howard Spindel
☐ Vice Chairman Address: 8 West 40th Street
☐ Director New York, NY 10018
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☒ Other FINOP ☐ Other _____

☐ Chairman Name: Steven Ostrofsky
☐ Vice Chairman Address: 8 West 40th Street
☐ Director New York, NY 10018
☒ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: _____
☐ Vice Chairman Address: _____
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: _____
☐ Vice Chairman Address: _____
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

12. 
 Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Howard Spindel - Financial and Operations Principal
 (Typed or printed name and capacity of person signing application)

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State of New York
Department of State } ss:

I hereby certify, that the Certificate of Incorporation of MIDDLEGATE SECURITIES INC. was filed on 09/09/1987, under the name of CENTRA-FIN SECURITIES LTD., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment CENTRA-FIN SECURITIES LTD., changing its name to MIDDLEGATE SECURITIES LTD., was filed 10/05/1987.

A Certificate of Amendment MIDDLEGATE SECURITIES LTD., changing its name to MIDDLEGATE SECURITIES INC., was filed 04/22/2021.



FILED
2021 JUN 23 PM 6:59
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*WITNESS my hand and the official seal
of the Department of State at the City of
Albany, this 22nd day of April two
thousand and twenty-one.*

Brendan C Hughes

Brendan C Hughes
Executive Deputy Secretary of State



42 Broadway, Suite 12-129

New York, NY 10004

Direct: (212) 897-1684

E-mail: rgasparian@integrated.solutions

June 22, 2021

VIA FEDERAL EXPRESS

Florida Department of State
Division of Corporations - Registration Section
Attn: Ms. Sharon D. Franklin
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

2021 JUN 23 PM 7:00
FBI
FBI
FBI

Re: Middlegate Securities Inc.
Ref. Number: W21000073017

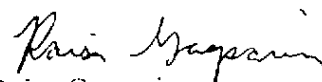
Dear Ms. Franklin:

This letter is in response to your correspondence dated June 9, 2021, a copy of which is enclosed, wherein you rejected Middlegate Securities Inc.'s "Application by Foreign Corporation for Authorization to Transact Business in Florida." A reason you gave is that "Middlegate Securities Inc." is not available in Florida. Per my telephone conversation with one of your representatives on May 27, 2021, the name "Middlegate Securities Co." needs to be released by your department so that "Middlegate Securities Inc." can be registered in Florida. "Middlegate Securities Co." document number P18000081601, was dissolved by Articles of Dissolution dated April 22, 2021, a copy of which is enclosed. "Middlegate Securities Co." was the old name of "Middlegate Securities Inc." and was dissolved to rename "Middlegate Securities Co." to "Middlegate Securities Inc." and reregister it in Florida. We ask that you please release the name "Middlegate Securities Co." in order to do away with the current conflict and allow for "Middlegate Securities Inc." to be registered in Florida.

We are also providing you with money order number 121605995 for \$450.00, in payment of civil penalty and annual report filing fees, per your correspondence.

Please feel free to contact me if you have any questions.

Very truly yours,


Raisa Gasparian

Enclosures