

2/15/2021

Division of Corporations

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet**F2100000913**

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To:

Division of Corporations
Fax Number : (850)617-6383

From:

Account Name : CORPORATION SERVICE COMPANY
Account Number : 120000000195
Phone : (850)521-0821
Fax Number : (850)558-1515

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

**FOREIGN PROFIT/NONPROFIT CORPORATION
RESOLUTION LIFE SERVICES (US) INC.**

Certificate of Status	0
Certified Copy	0
Page Count	07
Estimated Charge	\$70.00

Electronic Filing Menu

Corporate Filing Menu

Help

K. SALY

FEB 15 2021

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Resolution Life Services (US) Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Angie Lattery

Name of Person

Resolution Life US

Firm/Company

20 Washington Avenue South

Address

Minneapolis, MN 55401

City/State and Zip code

angie.lattery@voya.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Angie Lattery

at (612) 342-3567

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Resolution Life Services (US) Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Ltd.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 82-3607953
(State or country under the law of which it is incorporated) (FED number, if applicable)

4. 10/24/2017 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. 01/04/2021
(Date first transacted business in Florida, if prior to registration)
(SEE SPECIFICATIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 9 East Lookerman Street, Suite 311, Dover DE 19901
(Principal office street address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: _____

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors (up to six (6) total):

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TALLAHASSEE, FLORIDA
SECRETARY OF STATE

A. DIRECTORS

☐ Chairman	Name: <u>See Attached</u>	☐ Chairman	Name: _____
☐ Vice Chairman	Address: _____	☐ Vice Chairman	Address: _____
☐ Director	_____	☐ Director	_____
☐ President	_____	☐ President	_____
☐ Vice President	_____	☐ Vice President	_____
☐ Secretary	☐ Treasurer	☐ Secretary	☐ Treasurer
☐ Other _____	☐ Other _____	☐ Other _____	☐ Other _____

☐ Chairman	Name: _____	☐ Chairman	Name: _____
☐ Vice Chairman	Address: _____	☐ Vice Chairman	Address: _____
☐ Director	_____	☐ Director	_____
☐ President	_____	☐ President	_____
☐ Vice President	_____	☐ Vice President	_____
☐ Secretary	☐ Treasurer	☐ Secretary	☐ Treasurer
☐ Other _____	☐ Other _____	☐ Other _____	☐ Other _____

☐ Chairman	Name: _____	☐ Chairman	Name: _____
☐ Vice Chairman	Address: _____	☐ Vice Chairman	Address: _____
☐ Director	_____	☐ Director	_____
☐ President	_____	☐ President	_____
☐ Vice President	_____	☐ Vice President	_____
☐ Secretary	☐ Treasurer	☐ Secretary	☐ Treasurer
☐ Other _____	☐ Other _____	☐ Other _____	☐ Other _____

Important Notice: Use an attachment to report more than six (6). The attachment will be indexed for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

12. Angelia M. Lattery
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Angelia M. Lattery, Assistant Secretary
(Typed or printed name and capacity of person signing application)

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FALLAHASSET, FLORIDA

RESOLUTION LIFE SERVICES (US) INC.
OFFICERS AS OF JANUARY 4, 2021

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Keith Gubbay	Chief Executive Officer	1475 Dunwoody Drive West Chester PA 19380
Matthew Grove	President	1475 Dunwoody Drive West Chester PA 19380
William T. Bainbridge	Senior Vice President and Chief Operating Officer	1475 Dunwoody Drive West Chester, PA 19380
Anthony J. Brantzeg	Senior Vice President, Chief Financial Officer, Chief Risk Officer	1475 Dunwoody Drive West Chester, PA 19380
Karen E. Cooper	Senior Vice President	5770 Powers Ferry Road Atlanta, GA 30327
Chad M. Eslinger	Senior Vice President and Chief Compliance Officer	20 Washington Avenue South Minneapolis, MN 55401
Leigh McKegney	Senior Vice President, Secretary, Chief Legal Officer and Chief Administrative Officer	1475 Dunwoody Drive West Chester PA 19380
John T. Price	Senior Vice President and Assistant Secretary	5770 Powers Ferry Road Atlanta, GA 30327
Warendra Balakrishnan	Vice President, Corporate Development & Strategy	1475 Dunwoody Drive West Chester PA 19380
Debra M. Bell	Vice President and Assistant Treasurer	1475 Dunwoody Drive West Chester PA 19380
Daniel J. Duffy	Vice President	20 Washington Avenue South Minneapolis, MN 55401
Matthew K. Duffy	Vice President and Controller	5770 Powers Ferry Road Atlanta, GA 30327
Stuart Fyffe	Vice President	1475 Dunwoody Drive West Chester PA 19380
Stephen Hartman	Vice President and Treasurer	1475 Dunwoody Drive West Chester, PA 19380
Yoon J. Kim	Vice President and Chief Human Resources Officer	5770 Powers Ferry Road Atlanta, GA 30327
Brendan P. Malley	Vice President	1475 Dunwoody Drive West Chester PA 19380
Elizabeth Millard	Vice President, M&A and Reinsurance	1475 Dunwoody Drive West Chester, PA 19380
Raghib Mohammed	Vice President and Chief Information Officer	1475 Dunwoody Drive West Chester, PA 19380

Name	Title	Business Address
Forozan Nasery	Vice President	1475 Dunwoody Drive West Chester PA 19380
Robert Pienkowski	Vice President and Chief Information Security Officer	1475 Dunwoody Drive West Chester, PA 19380
Kevin R. Socha	Vice President	1475 Dunwoody Drive West Chester, PA 19380
Mary A. Tuttle	Vice President and Assistant Treasurer	1475 Dunwoody Drive West Chester PA 19380
Amy Jo Wiese	Vice President	699 Walnut Street Des Moines IA 50309
W. Weldon Wilson	Vice President	1475 Dunwoody Drive West Chester PA 19380
Paula Cludray-Engelke	Assistant Secretary	20 Washington Avenue South Minneapolis, MN 55401
Angelia M. Lattery	Assistant Secretary	20 Washington Avenue South Minneapolis, MN 55401
Andrea Nelson	Assistant Secretary	20 Washington Avenue South Minneapolis, MN 55401
Jane Ratner	Assistant Secretary	5770 Powers Ferry Road Atlanta, GA 30327
Thomas M. Rose	Assistant Secretary	20 Washington Avenue South Minneapolis, MN 55401
James D. Ensley	Tax Officer	5770 Powers Ferry Road Atlanta, GA 30327

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RESOLUTION LIFE SERVICES (US) INC.
DIRECTORS AS OF JANUARY 4, 2021

Name	Title	Business Address
William T. Bainbridge	Director	1475 Dunwoody Drive West Chester, PA 19380
Anthony J. Brantzeg	Director	1475 Dunwoody Drive West Chester, PA 19380
Keith Gubbay	Director	1475 Dunwoody Drive West Chester, PA 19380

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Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "RESOLUTION LIFE SERVICES (US) INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRD DAY OF FEBRUARY, A.D. 2021.

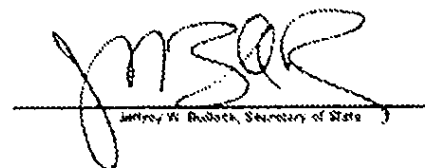
AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "RESOLUTION LIFE SERVICES (US) INC." WAS INCORPORATED ON THE TWENTY-FOURTH DAY OF OCTOBER, A.D. 2017.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

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TALLAHASSEE, FLORIDA




Jeffrey W. Bullock, Secretary of State

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SR# 20210327150

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202437952

Date: 02-03-21