

5/21/2020

Division of Corporations

H200001516233

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Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

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To:

Division of Corporations
Fax Number : (850)617-6383

From:

Account Name : CORPORATION SERVICE COMPANY
Account Number : I20000000195
Phone : (850)521-0821
Fax Number : (850)558-1515

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

RECEIVED
MAY 21 2020
AM 10:40

FILED
MAY 21 2020
AM 10:40

FOREIGN PROFIT/NONPROFIT CORPORATION

Sandoz, Inc.

Certificate of Status	0
Certified Copy	0
Page Count	04
Estimated Charge	\$1,770.00—

\$ 1,270.00

RECEIVED

2020 MAY 21 PM 3:22

MAY 22 2020

Electronic Filing Menu

Corporate Filing Menu

M. SOLOMON
Help

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COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Sandoz Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Name of Person

Firm/Company

Address

City/State and Zip code

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: FLORIDA DEPARTMENT OF STATE

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

DocuSign Envelope ID: 6746D5E5-FAB1-436F-BE45-C352F20266D3

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Sandoz Inc.
(Enter name of corporation, must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co." or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Colorado 3. 38-1658363
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 05/28/1975 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. 7/3/2012
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 100 College Road West, Princeton, NJ 08540
(Principal office street address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: Amanda Robinson Amanda Robinson, Asst. Vice President

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors [up to six (6) total]:

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FILED
2020 MAY 21 AM 10:40
CLERK OF THE COURT
JUDICIAL CIRCUIT IN AND FOR
FLORIDA
TALLAHASSEE

DocuSign Envelope ID: 6748D6E5-FA81-436F-8E45-C352F20286D3

A. DIRECTORS

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☐ Chairman Name: See attached☐ Chairman Name: _____☐ Vice Chairman Address: _____☐ Vice Chairman Address: _____☐ Director _____☐ Director _____☐ President _____☐ President _____☐ Vice President _____☐ Vice President _____☐ Secretary ☐ Treasurer☐ Secretary ☐ Treasurer☐ Other _____ ☐ Other _____☐ Other _____ ☐ Other _____☐ Chairman Name: _____☐ Chairman Name: _____☐ Vice Chairman Address: _____☐ Vice Chairman Address: _____☐ Director _____☐ Director _____☐ President _____☐ President _____☐ Vice President _____☐ Vice President _____☐ Secretary ☐ Treasurer☐ Secretary ☐ Treasurer☐ Other _____ ☐ Other _____☐ Other _____ ☐ Other _____☐ Chairman Name: _____☐ Chairman Name: _____☐ Vice Chairman Address: _____☐ Vice Chairman Address: _____☐ Director _____☐ Director _____☐ President _____☐ President _____☐ Vice President _____☐ Vice President _____☐ Secretary ☐ Treasurer☐ Secretary ☐ Treasurer☐ Other _____ ☐ Other _____☐ Other _____ ☐ Other _____

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed information will not be indexed when filing your Florida Department of State Annual Report form.

DocuSigned by
 12 *Karen McDonnell*
 E467BA45A1A11E4

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Karen McDonnell, Vice President, General Counsel NA & Secretary

(Typed or printed name and capacity of person signing application)

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List of Officers and Directors
Item 11 (cont.)

- Anthony Maffia, Vice President, Regulatory Affairs, US
 - Address: 100 College Road West, Princeton, NJ 08540
- Ariel Alon, Vice President, Technical Operations, US/LATAM
 - Address: 100 College Road West, Princeton, NJ 08540
- Carol Lynch, President, Sandoz US, Head of North America
 - Address: 100 College Road West, Princeton, NJ 08540
- Christian Klee, Director
 - Address: 250 Massachusetts Avenue, Cambridge, MA 02139
- Craig Osten, Chief Financial Officer & Vice President
 - Address: 100 College Road West, Princeton, NJ 08540
- Darren Alkins, Head, Generics (Columbus)
 - Address: 100 College Road West, Princeton, NJ 08540
- Edward Stueck, Compliance Officer & Vice President
 - Address: 100 College Road West, Princeton, NJ 08540
- Habib Nasirullah, Vice President, Site Head Wilson
 - Address: 4700 Sandoz Drive, Wilson, NC 27893
- Karen McDonnell, Secretary & Vice President, North America GC
 - Address: 100 College Road West, Princeton, NJ 08540
- Kevin Cook, Vice President, Supply Chain, Demand Management and Customer Service N.A.
 - Address: 100 College Road West, Princeton, NJ 08540
- Len Arsenault, Vice President, Policy, Medical & External Engagement
 - Address: 100 College Road West, Princeton, NJ 08540
- Leslie Pott, Vice President, Communications
 - Address: 100 College Road West, Princeton, NJ 08540
- Patrick Genestin, Vice President, Business Dev. & Licensing
 - Address: 100 College Road West, Princeton, NJ 08540
- Richard Saynor, Vice Chairman
 - Address: 100 College Road West, Princeton, NJ 08540
- Robert Spina, Vice President, Key Accounts and Sales
 - Address: 100 College Road West, Princeton, NJ 08540
- Rossana Gray, Vice President
 - Address: 100 College Road West, Princeton, NJ 08540
- Sheila Frame, Vice President, Marketing, Market Access & Patient Services
 - Address: 100 College Road West, Princeton, NJ 08540
- Thomas Kendris, Chairman
 - Address: One Health Plaza, East Hanover, NJ 07936-1080

OFFICE OF THE SECRETARY OF STATE
OF THE STATE OF COLORADO

CERTIFICATE OF FACT OF GOOD STANDING

I, Jena Griswold, as the Secretary of State of the State of Colorado, hereby certify that, according to the records of this office,

SANDOZ INC.

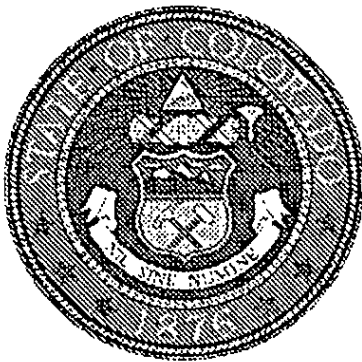
is a

Corporation

formed or registered on 05/28/1975 under the law of Colorado, has complied with all applicable requirements of this office, and is in good standing with this office. This entity has been assigned entity identification number 19871287460 .

This certificate reflects facts established or disclosed by documents delivered to this office on paper through 03/26/2020 that have been posted, and by documents delivered to this office electronically through 03/27/2020 @ 14:06:24 .

I have affixed hereto the Great Seal of the State of Colorado and duly generated, executed, and issued this official certificate at Denver, Colorado on 03/27/2020 @ 14:06:24 in accordance with applicable law. This certificate is assigned Confirmation Number 12176010 .



A handwritten signature in cursive script that reads "Jena Griswold".

Secretary of State of the State of Colorado

*****End of Certificate*****
Notice: A certificate issued electronically from the Colorado Secretary of State's Web site is fully and immediately valid and effective. However, as an option, the issuance and validity of a certificate obtained electronically may be established by visiting the Validate a Certificate page of the Secretary of State's Web site, <http://www.sos.state.co.us/biz/CertificateSearch/CertValidate.do> entering the certificate's confirmation number displayed on the certificate, and following the instructions displayed. Confirming the issuance of a certificate is merely optional and is not necessary to the valid and effective issuance of a certificate. For more information, visit our Web site, <http://www.sos.state.co.us> or click "Businesses, trademarks, trade names" and select "Frequently Asked Questions."