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\_\_\_\_\_  
(Requestor's Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

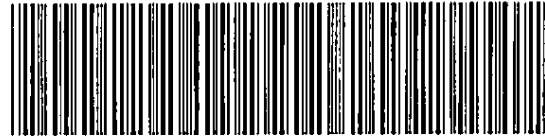
\_\_\_\_\_  
(Business Entity Name)

\_\_\_\_\_  
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# CT CORP

3458 Lakeshore Drive, Tallahassee, FL 32312  
850-656-4724

Date: 10/24/2019

Acc#I20160000072

*Handwritten signature*

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TALLAHASSEE FLORIDA

Name:	HAMPTON SECURITIES (USA), INC.
Document #:	
Order #:	12275145

Certified Copy of Arts & Amend:	<input type="checkbox"/>		
Plain Copy:	<input type="checkbox"/>		
Certificate of Good Standing:	<input type="checkbox"/>		
	<input type="checkbox"/>		
Apostille/Notarial Certification:	<input type="checkbox"/>	Country of Destination:	
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Ref# _____

Amount: \$ 78.75

Thank you!

## COVER LETTER

**TO:** Registration Section  
Division of Corporations  
HAMPTON SECURITIES (USA) INC.

**SUBJECT:** \_\_\_\_\_  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:  
PETER DEEB

\_\_\_\_\_  
Name of Person  
HAMPTON SECURITIES CORPORATION

\_\_\_\_\_  
Firm/Company  
1800 - 141 ADELAIDE STREET WEST

\_\_\_\_\_  
Address  
TORONTO, ONTARIO, CANADA M5H3L5

\_\_\_\_\_  
City/State and Zip code  
SSMALL@CAPITAL-PARTNERS.COM

\_\_\_\_\_  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

ANDREW F. BLUMENTHAL, ESQ.      212      245 3860  
\_\_\_\_\_  
Name of Person      Area Code      Daytime Telephone Number

### STREET/COURIER ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☒ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

HAMPTON SECURITIES (USA) INC.

1. \_\_\_\_\_  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

HAMPTON SECURITIES (FLORIDA) INC.

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. NY 3. \_\_\_\_\_  
(State or country under the law of which it is incorporated) (FEI number, if applicable)  
JANUARY 25, 2001

4. \_\_\_\_\_ 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)  
1800 - 141 ADELAIDE STREET WEST, TORONTO, ONTARIO, CANADA M5H 3L5

7. \_\_\_\_\_  
(Principal office address)

\_\_\_\_\_  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, 33324  
\_\_\_\_\_, Florida \_\_\_\_\_  
(City) (Zip code)

9. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

C T Corporation System

By:  Rose Song, Assistant Secretary  
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

PETER DEEB ✓

Chairman:

1800 - 141 ADELAIDE STREET WEST, TORONTO, ONTARIO, CANADA M5H 3L5

Address:

Vice Chairman:

Address:

SHARON CASTELINO ✓

Director:

Address:

1800 - 141 ADELAIDE STREET WEST, TORONTO, ONTARIO, CANADA M5H 3L5

STEVEN SMALL ✓

Director:

1800 - 141 ADELAIDE STREET WEST, TORONTO, ONTARIO, CANADA M5H 3L5

Address:

**B. OFFICERS**

PETER DEEB ✓

President:

1800 - 141 ADELAIDE STREET WEST, TORONTO, ONTARIO, CANADA M5H 3L5

Address:

Vice President:

Address:

PATRICK MICHAUD ✓

Secretary:

1800 - 141 ADELAIDE STREET WEST, TORONTO, ONTARIO, CANADA M5H 3L5

Address:

Treasurer:

Address:

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. \_\_\_\_\_

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

PETER DEEB, CHAIRMAN AND CEO

13. \_\_\_\_\_

(Typed or printed name and capacity of person signing application)

**State of New York  
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of HAMPTON SECURITIES (USA), INC. was filed on 01/12/1999, under the name of EAST END SECURITIES INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment EAST END SECURITIES INC., changing its name to HAMPTON SECURITIES (USA), INC., was filed 05/24/2001.



\*\*\*

*Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 18th day of October  
two thousand and nineteen.*

*Brendan C. Hughes*

Brendan C. Hughes  
Executive Deputy Secretary of State

2019 OCT 24 PM 4:55  
TALLAHASSEE, FLORIDA