

9/24/2019

Division of Corporations

# F190000000004360

**Note: Please print this page and use it as a cover sheet.** Type the fax audit number (shown below) on the top and bottom of all pages of the document.

((H19000285435 3))



H190002854353ABC4

**Note: DO NOT** hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations  
Fax Number : (850)617-6383

From: Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (614)280-3338  
Fax Number : (954)208-0845

**\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\***

Email Address: \_\_\_\_\_

RECEIVED  
2019 SEP 24 AM 10:27

### FOREIGN PROFIT/NONPROFIT CORPORATION DUKE ENERGY CORPORATION

Certificate of Status	0
Certified Copy	1
Page Count	07
Estimated Charge	\$78.75

2019 SEP 24 AM 11:12

Electronic Filing Menu    Corporate Filing Menu    Help

B KINSEY  
SEP 25 2019

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Duke Energy Corporation  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 20-2777218  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 05/03/2005 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 550 S TRYON ST, CHARLOTTE, NC 28202  
(Principal office address)

550 S TRYON ST (DEC45A), CHARLOTTE, NC 28202  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System


Office Address: 1200 South Pine Island Road

Plantation, Florida 33324  
(City) (Zip code)

2019 SEP 24 AM 11:12

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
Mike Jones, Assistant Secretary  
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: \*See Attachment \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS

President: \*See Attachment \_\_\_\_\_

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

2019 SEP 24 AM 11:12

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. Nancy M Wright \_\_\_\_\_  
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Nancy M. Wright, Assistant Corporate Secretary \_\_\_\_\_  
(Typed or printed name and capacity of person signing application)

<b>DUKE ENERGY CORPORATION</b> <b>Officers and Directors</b> <b>(as of September 24, 2019)</b>		
<b>DIRECTORS</b>		
<b>Name</b>	<b>Title</b>	<b>Business Address</b>
Browning, Michael G.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Clayton, Annette K.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Craver, Jr., Theodore F.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Davis, Robert M.	Director	550 S TRYON ST CHARLOTTE, NC 28202
DiMicco, Daniel R.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Fanandakis, Nicholas C.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Goud, Lynn J.	Chairman of the Board and Director	550 S TRYON ST CHARLOTTE, NC 28202
Herron, John T.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Kennard, William E.	Director	550 S TRYON ST CHARLOTTE, NC 28202
McKee, E. Marie	Director	550 S TRYON ST CHARLOTTE, NC 28202
Moorman IV, Charles W.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Rose, Marya M.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Saladrigas, Carlos A.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Skains, Thomas E.	Director	550 S TRYON ST CHARLOTTE, NC 28202
Webster, Jr., William E.	Director	550 S TRYON ST CHARLOTTE, NC 28202
<b>OFFICERS</b>		
<b>Name</b>	<b>Title</b>	<b>Business Address</b>
Anderson, Melissa H.	Executive Vice President, Administration and Chief Human Resources Officer	550 S TRYON ST CHARLOTTE, NC 28202
Bingol, Mehmet Selim	Senior Vice President and Chief Communications Officer	550 S TRYON ST CHARLOTTE, NC 28202
Boyce, Cari P.	Senior Vice President, Stakeholder Strategy and Sustainability	550 S TRYON ST CHARLOTTE, NC 28202
Butler, Keith Gerard	Senior Vice President, Global Risk Management and Insurance and Chief Risk Officer	550 S TRYON ST CHARLOTTE, NC 28202
Caldwell, Robert F.	Senior Vice President and President, Duke Energy Renewables and Business Development	550 S TRYON ST CHARLOTTE, NC 28202

Callahan, Michael P.	Vice President, Investor Relations	550 S TRYON ST CHARLOTTE, NC 28202
Council, Donna T.	Vice President, Accounts Payable Stabilization Project	550 S TRYON ST CHARLOTTE, NC 28202
Currens Jr., William E.	Senior Vice President, Financial Planning and Analysis	550 S TRYON ST CHARLOTTE, NC 28202
Daji, Swati V.	Senior Vice President, Customer Solutions & Strategies	550 S TRYON ST CHARLOTTE, NC 28202
Davis, Ioni Y.	Vice President, Chief Diversity and Inclusion Officer, Talent Acquisition and Workforce Development	550 S TRYON ST CHARLOTTE, NC 28202
Draovitch, Paul	Senior Vice President, Environmental, Health and Safety	550 S TRYON ST CHARLOTTE, NC 28202
Esamann, Douglas F	Executive Vice President, Energy Solutions and President, Midwest and Florida Regions	550 S TRYON ST CHARLOTTE, NC 28202
Fountain, David B.	Senior Vice President, Legal, Chief Ethics and Compliance Officer and Corporate Secretary	550 S TRYON ST CHARLOTTE, NC 28202
Gilbert, Jr., Dennis P.	Vice President and Chief Information Security Officer	550 S TRYON ST CHARLOTTE, NC 28202
Gillespie Jr., T. Preston	Senior Vice President and Chief Nuclear Officer	550 S TRYON ST CHARLOTTE, NC 28202
Good, Lynn J.	Chairman, President and Chief Executive Officer	550 S TRYON ST CHARLOTTE, NC 28202
Hamrick, George T.	Senior Vice President, Coal Combustion Products	550 S TRYON ST CHARLOTTE, NC 28202
Hatcher, David J.	Managing Director, Infrastructure Solutions	550 S TRYON ST CHARLOTTE, NC 28202
Hendershott, Michael S.	Assistant Treasurer	550 S TRYON ST CHARLOTTE, NC 28202
Henning, James P.	Senior Vice President, Customer Services	550 S TRYON ST CHARLOTTE, NC 28202
Hunter, Amelia D.	Vice President, Corporate Audit Services	550 S TRYON ST CHARLOTTE, NC 28202
Jacobs, Dwight L.	Senior Vice President, Chief Accounting Officer, Tax and Controller	550 S TRYON ST CHARLOTTE, NC 28202
Jamil, Dhiaa M.	Executive Vice President and Chief Operating Officer	550 S TRYON ST CHARLOTTE, NC 28202
Janson, Julia S.	Executive Vice President, External Affairs and Chief Legal Officer	550 S TRYON ST CHARLOTTE, NC 28202
Kerin, Jon F.	Vice President Enterprise Operations Business Transformation	550 S TRYON ST CHARLOTTE, NC 28202
Lucas III, Robert Theodore	Assistant Corporate Secretary	550 S TRYON ST CHARLOTTE, NC 28202
Maltz, David S.	Vice President, Legal and Assistant Corporate Secretary	550 S TRYON ST CHARLOTTE, NC 28202
Mazzocchi, Lee T.	Senior Vice President, Grid Solutions	550 S TRYON ST CHARLOTTE, NC 28202
Monroe III, Thomas Cooper	Vice President, Tax	550 S TRYON ST CHARLOTTE, NC 28202
Newlin, Karl W.	Senior Vice President, Corporate Development and Treasurer	550 S TRYON ST CHARLOTTE, NC 28202
Peeler, V. Nelson	Senior Vice President and Chief Transmission Officer	550 S TRYON ST CHARLOTTE, NC 28202

Reising, Ronald R.	Senior Vice President, Operations Support	550 S TRYON ST CHARLOTTE, NC 28202
Renjel, Louis E.	Senior Vice President, Federal Government Affairs and Strategic Policy	550 S TRYON ST CHARLOTTE, NC 28202
Repko, Regis T.	Senior Vice President and Chief Fossil/Hydro Officer	550 S TRYON ST CHARLOTTE, NC 28202
Ringel, Robert J.	Vice President, Legal and Assistant Corporate Secretary	550 S TRYON ST CHARLOTTE, NC 28202
Savoy, Brian D.	Senior Vice President, Business Transformation and Technology	550 S TRYON ST CHARLOTTE, NC 28202
Sherrill, Jr., L. Stanford	Vice President, Strategic HR Business Solutions, Employee and Labor Relations	550 S TRYON ST CHARLOTTE, NC 28202
Sideris, Harry K.	Senior Vice President, Chief Distribution Officer	550 S TRYON ST CHARLOTTE, NC 28202
Silinski, Thomas	Vice President, Total Rewards and Human Resources Operations	550 S TRYON ST CHARLOTTE, NC 28202
Smith, Winifred A.	Assistant Corporate Secretary	550 S TRYON ST CHARLOTTE, NC 28202
Sullivan, III, John L.	Assistant Treasurer	550 S TRYON ST CHARLOTTE, NC 28202
Titone, Bonnie B.	Vice President and Chief Information Officer	550 S TRYON ST CHARLOTTE, NC 28202
Toomey, Peter E.	Senior Vice President, Enterprise Strategy & Planning	550 S TRYON ST CHARLOTTE, NC 28202
Waldrep, Benjamin C.	Senior Vice President and Chief Security Officer	550 S TRYON ST CHARLOTTE, NC 28202
Wright, Nancy M.	Assistant Corporate Secretary	550 S TRYON ST CHARLOTTE, NC 28202
Yates, Lloyd M.	Executive Vice President, Customer and Delivery Operations and President, Carolinas Region	550 S TRYON ST CHARLOTTE, NC 28202
Yoho, Franklin H.	Executive Vice President and President, Natural Gas Business	550 S TRYON ST CHARLOTTE, NC 28202
Young, Steven Keith	Executive Vice President and Chief Financial Officer	550 S TRYON ST CHARLOTTE, NC 28202

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "DUKE ENERGY CORPORATION" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FOURTH DAY OF SEPTEMBER, A.D. 2019.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

Handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line.

Jeffrey W. Bullock, Secretary of State

3963680 8300

SR# 20197185004

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 203652950

Date: 09-24-19