

F1900000257C

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(City/State/Zip/Phone #)

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06/04/19 14:50

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JUN 04 2019



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

May 10, 2019

GINA EAGERTON  
1250 MAIN STREET, STE 300  
NAPA, CA 94559

SUBJECT: A.U.L. CORP.  
Ref. Number: W19000046092

We have received your document for A.U.L. CORP. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

Pursuant to section 607.1502(4), 617.1502(4) or 605.0904(7), Florida Statutes, this entity is liable for a civil penalty of at least \$500 but not more than \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification. In addition to this civil penalty, the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state are also due. The amount due this office to cover both annual report(s) and penalty fees is \$1250.00.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6052.

Brooke N Kinsey  
Regulatory Specialist II

Letter Number: 219A00009516

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** A.U.L. Corp.

\_\_\_\_\_  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Gina Eagerton

\_\_\_\_\_  
Name of Person

A.U.L. Corp.

\_\_\_\_\_  
Firm/Company

1250 Main Street, Suite 300

\_\_\_\_\_  
Address

Napa, CA 94559

\_\_\_\_\_  
City/State and Zip code

geagerton@aulcorp.com

\_\_\_\_\_  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Gina Eagerton

707 257-9700 ext. 217  
at ( )

\_\_\_\_\_  
Name of Person

\_\_\_\_\_  
Area Code

\_\_\_\_\_  
Daytime Telephone Number

### STREET/COURIER ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

A.U.L. Corp.

1. \_\_\_\_\_  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

N/A

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Nevada 3. 68-0300949  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. April 1, 1993 5. Perpetual  
(Date of incorporation) (Date of duration, if other than perpetual)

6. June 1, 2014  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1250 Main Street, Suite 300, Napa, CA 94559  
(Principal office address)

N/A

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: NRAI Services, Inc.

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324  
(City) (Zip code)

9. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

 Assistant Secretary  
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: N/A

Address: \_\_\_\_\_

Vice Chairman: N/A

Address: \_\_\_\_\_

Director: James Atkinson

Address: 1250 Main Street, Suite 300, Napa, CA 94559

Director: John Chu

Address: 6 Montecito Road, Woodside, CA 94062

(See Attachment #1 for additional directors)

**B. OFFICERS**

President: James Atkinson

Address: 1250 Main Street, Suite 300, Napa, CA 94559

Chief Financial Officer: David Chang

~~Vice President:~~ \_\_\_\_\_

Address: 1250 Main Street, Suite 300, Napa, CA 94559

Secretary: Matthew Russell

Address: 1250 Main Street, Suite 300, Napa, CA 94559

Treasurer: Glenn Schreuder

Address: 1250 Main Street, Suite 300, Napa, CA 94559

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

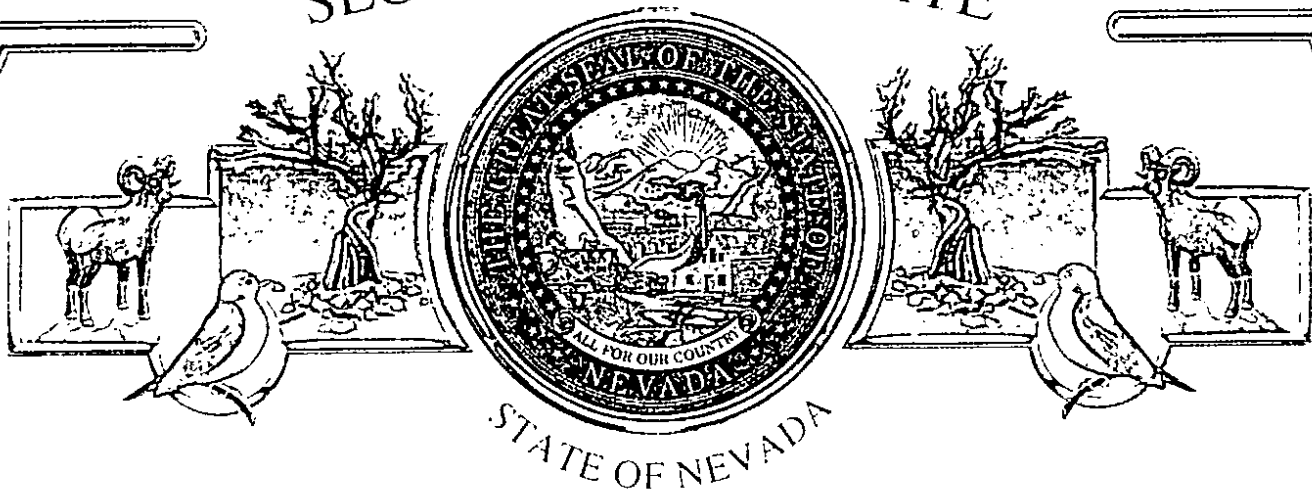
13. James Atkinson, President, CEO and Director

(Typed or printed name and capacity of person signing application)

Florida Division of Corporations  
Foreign Profit Corporation Registration  
Attachment #1 – List of Directors (Continued)

Director	Address
Richard Dell'Aquila	201 Tresser Blvd., Suite 320, Stamford, CT 06901
Donald Hawks	201 Tresser Blvd., Suite 320, Stamford, CT 06901
Michael LaConti	201 Tresser Blvd., Suite 320, Stamford, CT 06901
Luis Nieves	1250 Main Street, Suite 300, Napa, CA 94559
Milton Reeder	1 Embarcadero Center, Suite 1540, San Francisco, CA 94111

# SECRETARY OF STATE



## CERTIFICATE OF EXISTENCE WITH STATUS IN GOOD STANDING

I, Barbara K. Cegavske, the duly elected and qualified Nevada Secretary of State, do hereby certify that I am, by the laws of said State, the custodian of the records relating to filings by corporations, non-profit corporations, corporation soles, limited-liability companies, limited partnerships, limited-liability partnerships and business trusts pursuant to Title 7 of the Nevada Revised Statutes which are either presently in a status of good standing or were in good standing for a time period subsequent of 1976 and am the proper officer to execute this certificate.

I further certify that the records of the Nevada Secretary of State, at the date of this certificate, evidence, **A.U.L. CORP.**, as a corporation duly organized under the laws of Nevada and existing under and by virtue of the laws of the State of Nevada since April 1, 1993, and is in good standing in this state.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Great Seal of State, at my office on April 19, 2019.

*Barbara K. Cegavske*

Barbara K. Cegavske  
Secretary of State



By:

*Paul W. Hughes*  
Certification Clerk