

3/6/2019

Division of Corporations

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To:

Division of Corporations
Fax Number : (850)617-6383

From:

Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (614)280-3338
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AT
TAMASSEE, FLORIDA

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FOREIGN PROFIT/NONPROFIT CORPORATION

Vontobel Asset Management, Inc.

Certificate of Status	0
Certified Copy	1
Page Count	05
Estimated Charge	\$78.75

Electronic Filing Menu

Corporate Filing Menu

Help **M. MILLIGAN**
MAR 07 2019

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Vontobel Asset Management, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. New York 3. _____
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. May 14, 1984 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)
6. _____
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 200 East Broward Blvd., Suite 2030, Fort Lauderdale, FL 33301
(Principal office address)
- _____
(Current mailing address, if different)
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
Name: C T Corporation System
Office Address: 1200 South Pine Island Road
Plantation, Florida 33324
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: _____

(Registered agent's signature) Aron J. Williams, Assistant Vice President

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: [PLEASE SEE EXHIBIT A ATTACHED HERETO]

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: [PLEASE SEE EXHIBIT A ATTACHED HERETO]

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Marc Cozzolino, Secretary

(Typed or printed name and capacity of person signing application)

VONTOBEL ASSET MANAGEMENT, INC.**EXHIBIT A TO****APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION
TO TRANSACT BUSINESS IN FLORIDA****DIRECTORS**

Heinrich Schlegel, Chairman	1540 Broadway, 38th Floor New York, NY 10036
Axel Schwarzer, Vice Chairman	1540 Broadway, 38th Floor New York, NY 10036
Zeno Staub	1540 Broadway, 38th Floor New York, NY 10036
Martin Sieg-Castagnola	1540 Broadway, 38th Floor New York, NY 10036
Felix Lenhard	1540 Broadway, 38th Floor New York, NY 10036
Enrico Friz	1540 Broadway, 38th Floor New York, NY 10036

OFFICERS

Thomas Wittwer, Chief Executive Officer	1540 Broadway, 38th Floor New York, NY 10036
Marc Cozzolino, Secretary	1540 Broadway, 38th Floor New York, NY 10036

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**State of New York
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of VONTOBEL ASSET MANAGEMENT, INC. was filed on 05/14/1984, under the name of VONTOBEL (USA) INC., with perpetual duration, and that a diligent examination has been made of the Corporate Index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment VONTOBEL (USA) INC., changing its name to VONTOBEL USA INC., was filed 03/17/1995.

A Certificate of Amendment VONTOBEL USA INC., changing its name to VONTOBEL ASSET MANAGEMENT, INC., was filed 01/04/2002.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 05th day of March
two thousand and nineteen.*

Whitney Clark
Deputy Secretary of State