

1/17/2019

Division of Corporations

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H19000020926 3)))



H190000209263ABC\$

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:

Division of Corporations
Fax Number : (850)617-6383

From:

Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (614)280-3338
Fax Number : (954)208-0845

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

FOREIGN PROFIT/NONPROFIT CORPORATION

The College for Financial Planning Inc.

Certificate of Status	0
Certified Copy	1
Page Count	05
Estimated Charge	\$78.75

Electronic Filing Menu

Corporate Filing Menu

Help

FILED
19 JAN 18 AM 9:34
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

84
1-22

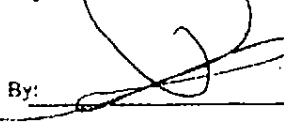
APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. The College for Financial Planning, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Ino.," "Co.," or "Corp.")
2. Arizona
(State or country under the law of which it is incorporated)
3. 86-0933523
(FEI number, if applicable)
4. 09/09/1998
(Date of incorporation)
5.
(Date of duration, if other than perpetual)
6. 01/01/2019
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 9000 E. Nichols Ave., Centennial, CO 80112
(Principal office address)
900 North Point Pkwy., Suite 250, Alpharetta, GA 30005
(Current mailing address, if different)
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
Name: C T Corporation System
Office Address: 1200 South Pine Island Road
Plantation, Florida 33324
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By:  C T Corporation System
Peter F. Souza
Assistant Secretary
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

SECRETARY OF STATE
DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA

19 JAN 18 AM 9:34

FILED

11. Names and business addresses of officers and/or directors:

A. DIRECTORSChairman: See exhibit A

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.12. Ashley Pomonis

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Ashley Pomonis Assistant Secretary

(Typed or printed name and capacity of person signing application)

FILED
19 JAN 18 AM 8:34
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Exhibit A

#11- Directors & Officers

Name	Title	Business Address
Andrew S. Rosen	Director	1515 West Cypress Creek Rd., Fort Lauderdale, Florida 33309
Matthew C. Seelye	Director	1515 West Cypress Creek Rd., Fort Lauderdale, Florida 33309
Melissa L. Mack	Director	750 Third Ave. New York, New York 10017
Andrew Temte	President, Kaplan Professional	332 Front St. S. La Crosse, WI 54601
Kevin Corser	Vice President & Treasurer	900 NorthPoint Pkwy. Suite 250 Alpharetta, GA 30005
Gregory Marino	Chief Executive Officer, KHPE	1515 West Cypress Creek Rd., Fort Lauderdale, Florida 33309
Lisa Gefen Sicilian	Chief Administrative Officer	1515 West Cypress Creek Rd., Fort Lauderdale, Florida 33309
Jerry Dervin	Vice President	1515 West Cypress Creek Rd., Fort Lauderdale, Florida 33309
Michael Peyer	Chief Financial Officer	332 Front St. S. La Crosse, WI 54601
Daniel Muszalski	Vice President, Finance	332 Front St. S. La Crosse, WI 54601
Dirk Pantone	President	9000 E. Nichols Ave. Centennial, CO 80112
Jennifer Friske	Assistant Treasurer	900 NorthPoint Pkwy. Suite 250 Alpharetta, GA 30005
Ashley Pomonis	Assistant Secretary	550 West Van Buren St. Suite 600 Chicago, IL 60607
David Adams	Vice President, General Counsel & Secretary	550 West Van Buren St. Suite 600 Chicago, IL 60607

FILED
19 JAN 18 AM 9:34
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

STATE OF ARIZONA



Office of the CORPORATION COMMISSION

CERTIFICATE OF GOOD STANDING

I, the undersigned Executive Director of the Arizona Corporation Commission, do hereby certify that:

THE COLLEGE FOR FINANCIAL PLANNING, INC.

ACC file number: 08515605

was incorporated under the laws of the State of Arizona on 09/09/1998:

That all annual reports owed to date by said corporation have been filed or delivered for filing, and all annual filing fees owed to date have been paid; and

That, according to the records of the Arizona Corporation Commission, said corporation is in good standing in the State of Arizona as of the date this Certificate is issued.

This Certificate relates only to the legal existence of the above named entity as of the date this Certificate is issued, and is not an endorsement, recommendation, or approval of the entity's condition, business activities, affairs, or practices.

IN WITNESS WHEREOF, I have hereunto set my hand, affixed the official seal of the Arizona Corporation Commission, and issued this Certificate on this date: **01/17/2019**



A handwritten signature in black ink, reading "Matthew Neubert", written over a horizontal line.

Matthew Neubert, Interim Executive Director