4/24/2018

Division of Corporations

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To:

Division of Corporations

Fax Number : (850)617-6383

From:

Account Name : C T CORPORATION SYSTEM

Account Number : FCA000000023 Phone : (614)280-3338

Fax Number : (954)208-0845

\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please. \*\*

		•
Email	Address:	

FOREIGN PROFIT/NONPROFFT CORPORATION

Charles Schwab Investment Advisory, Inc.

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1
05
\$78.75

Electronic Filing Menu Corporate Filing Menu

## APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

"lne.," "Co.," "Co	rp," "Inc," "Co," or "Corp.")			
(If name unavailal	ole in Florida, enter alternate corporate name a	dopted for the purpose of transacting	g business in Florida)	_
Delaware	3.	27-1073440		
(State or country	•		7-1073440 (FEI number, if applicable)	
09/28/2009	5.			
(Date	of incorporation)	(Date of duration, if other than perpetual)		
Upontiling	·	. 5		••
	(Date first transacted business in (SEE SECTIONS 607 1501 & 607 15	Florida, if prior to registration) 02, F.S., to determine penalty liabili	ity)	
211 Main Street, S	San Francisco, CA 94105	н		_
·	(Princip	al office address)	34 <b>23</b>	to his ac
. Name and stree	The second secon			
	1200SouthPineIslandRoad			Transf.
Office Address:	Plantation	, Florida 33324 (Zip code)	the transfer of	
	(City)	(Zip code)		
Having been nam lesignated in this further agree to c	ent's acceptance: ed as registered agent and to accept service application, I hereby accept the appoints comply with the provisions of all statutes re amiliar with and accept the obligations of CTCorporationS	nent as registered agent and ag relative to the proper and compl f my position as registered agen	ree to act in this cu lete performance of	puchi

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

A. DIRECT	ORS				
Chairman:		 			
Address:		 			
Vice Chairmar	1:	 4 #11 678			
Address:					
Director: Wil	liam F. Quinn	.2			
Address: 211	Main Street	 			
San	Francisco, CA 94105	 			
D	riene Bates	 t.:			
	Main Street	 			
	n Francisco, CA 94105	 			<del>-</del>
B. OFFICE	rs				
President:	chard A. Wurster	 			
Address: 211	Main Street	 	ر باهر سنة <u>۱۳۰ - سنة</u> المعرفيمة	37°	. <u>در در د</u> او
Sar	r Francisco, CA 94105	 	1.2	_w IJ	<b>10.35.0</b>
Vice Presiden	Michael E. Gillespie	 	50 / 10 / 10 / 10 / 10 / 10 / 10 / 10 /	1.0	Şarte 1
	Main Street	 	•••	<u> </u>	<u></u>
	Francisco, CA 94105	 		<b>25</b> 3	60.000 . 4 .*1
R. Secretary:	Scott McMillen		13 m	<del>-</del>	
	Main Street, San Francisco, CA 94105				
<del></del>	/illiam F. Quinn	 			
	Main Street, San Francisco, CA 94105	· ·			

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Jamal D. Modir, Assistant Corporate Secretary

(Typed or printed name and capacity of person signing application)

## Officer and Director Attachment for Charles Schwab Investment Advisory, Inc.

2.2

Address for all: 211 Main Street San Francisco, CA 94105

<u>Directors</u> Richard A. Wurster

Officers
Richard A. Wurster
Chief Executive Officer

Marfene Bates Chief Financial Officer

Michael E. Gillespie Chief Compliance Officer

James R. Egan Senior Vice President and Corporate Controller

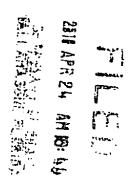
Bryan L. Olson Senior Vice President and Chief Operating Officer

William F. Quinn Senior Vice President

Youlanda K. Knighten
Assistant Corporate Secretary

Jamal D. Modir
Assistant Corporate Secretary

Sarah C. Nelson Assistant Corporate Secretary



19542080845 From: Ranae McGraw

## Delaware The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY "CHARLES SCHWAB INVESTMENT ADVISORY,

INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE

AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR

AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FOURTH DAY OF

APRIL, A.D. 2018.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

131/2 241

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<u>.</u>.

4735430 8300

SR# 20182952722

You may verify this certificate online at corp.delaware.gov/authver.shtml

James y H. Stuffacts, Executary of Elets

Authentication: 202566883

Date: 04-24-18