

F1800000552723

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

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To: Division of Corporations
Fax Number : (350) 617-6383

From: Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (614) 280-3338
Fax Number : (954) 208-0845

Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address: _____

FOREIGN PROFIT/NONPROFIT CORPORATION
ENVESTNET, INC.

Certificate of Status	0
Certified Copy	0
Page Count	07
Estimated Charge	\$70.00

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FEB 19 2018

Electronic Filing Menu

Corporate Filing Menu

Help

S. WARREN

FEB 19 2018

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Envestnet Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Delaware 3. 20-1409613
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 02/11/2004 5. Perpetual
(Date of incorporation) (Date of duration, if other than perpetual)
6. Upon Qualification
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 35 East Wacker Drive, STE 2400, Chicago, IL 60601
(Principal office address)

1801 California Street, Floor 23, Denver, CO 80202
(Current mailing address, if different)
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System
Office Address: 1200 South Pine Island Road
Plantation, Florida 33324
(City) (Zip code)
9. Registered agent's acceptance:
Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System
By: Kristin Bolden Kristin Bolden
(Registered agent's signature) Assistant Secretary

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

A. DIRECTORS SEE ATTACHMENT

Chairman: Jed Bergman

Address: 35 East Wacker Drive, STE 2400

Chicago, IL 60601

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS SEE ATTACHMENT

President: Bill Crager

Address: 35 East Wacker Drive, STE 2400

Chicago, IL 60601

Vice President: Matthew Majoros

Address: 35 East Wacker Drive, STE 2400

Chicago, IL 60601

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Lori Lundrigan

VP TAX

(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

**Attachment to Florida
Officers & Directors**

- 1 Full Name: Jud Bergman
Officer/Director: Officer, Director
Officer's Title: CEO
Director's Title: Chairman
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
- 2 Full Name: Lori Lundrigan
Officer/Director: Officer
Officer's Title: Vice President Finance, Tax
Director's Title:
Business Address: 1801 California Street, Floor 23
City: Denver
State: CO
ZIP Code: 80202
- 3 Full Name: Peter D'Arrigo
Officer/Director: Officer
Officer's Title: CFO
Director's Title:
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
- 4 Full Name: Shelly O'Brien
Officer/Director: Officer
Officer's Title: Chief Legal Officer, General Counsel and Corporate Secretary
Director's Title:
Business Address: 35 East Wacker Drive, 24th Floor
City: Chicago
State: IL
ZIP Code: 60601
- 5 Full Name: Scott Grinis
Officer/Director: Officer
Officer's Title: Chief Technology Officer
Director's Title:
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
- 6 Full Name: Joshua Mayer
Officer/Director: Officer
Officer's Title: Chief Operating Officer
Director's Title:
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago

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TALLAHASSEE, FLORIDA

State: IL
ZIP Code: 60601
7 Full Name: Brandon Thomas
Officer/Director: Officer
Officer's Title: Chief Investment Officer
Director's Title:
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
8 Full Name: Sharon Rosenthal
Officer/Director: Officer
Officer's Title: Senior Vice President and Chief Human Resources Officer
Director's Title:
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
9 Full Name: Dale Seier
Officer/Director: Officer
Officer's Title: Senior Vice President, Finance
Director's Title:
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
10 Full Name: Luis Aguilar
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
11 Full Name: Anil Arora
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
12 Full Name: Ross Chapin
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago

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State: IL
ZIP Code: 60601
13 Full Name: Gayle Crowell
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
14 Full Name: Jim Fox
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
15 Full Name: Jim Johnson
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
16 Full Name: Chip Roame
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601
17 Full Name: Greg Smith
Officer/Director: Director
Officer's Title:
Director's Title: Director
Business Address: 35 East Wacker Drive, STE 2400
City: Chicago
State: IL
ZIP Code: 60601

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TALLAHASSEE, FLORIDA

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY "ENVESTNET, INC." IS DULY INCORPORATED
UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND
HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS
OFFICE SHOW, AS OF THE SIXTEENTH DAY OF FEBRUARY, A.D. 2018.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE
BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE
BEEN PAID TO DATE.



3763783 8300

SR# 20181073538

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 202166851

Date: 02-16-18