

**ABOWOODS 19**

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

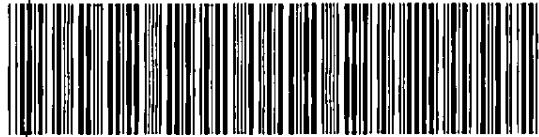
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



800308326308

RECEIVED  
DEPARTMENT OF STATE  
18 JAN 31 AM 11:21  
TALLAHASSEE, FLORIDA

FILED  
2018 JAN 31 AM 11:33  
TALLAHASSEE, FLORIDA

FILED  
FEB 1 2018

CORPORATION SERVICE COMPANY  
1201 Hays Street  
Tallahassee, FL 32301  
Phone: 850-558-1500

ACCOUNT NO. : I20000000195  
REFERENCE : 046630 7172389  
AUTHORIZATION : *[Signature]*  
COST LIMIT : \$ 70.00

ORDER DATE : January 30, 2018  
ORDER TIME : 9:45 AM  
ORDER NO. : 046630-005  
CUSTOMER NO: 7172389

FOREIGN FILINGS

NAME: PRINCIPAL FINANCIAL GROUP,  
INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

       CERTIFIED COPY  
XX        PLAIN STAMPED COPY  
       CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Roxanne Turner -- EXT# 62969

EXAMINER: \_\_\_\_\_

FILED  
2018 JAN 31 A 11:33  
STATE OF FLORIDA  
TALLAHASSEE, FLORIDA

## COVER LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: PRINCIPAL FINANCIAL GROUP, INC.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

ASHLEY VANDERSCHOOR

Name of Person

PRINCIPAL

Firm/Company

711 HIGH STREET

Address

DES MOINES, IA 50392

City/State and Zip code

HOLLISTER.SHIRLEY@PRINCIPAL.COM

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

ASHLEY VANDERSCHOOR

at (515) 235-5629

Name of Person

Area Code

Daytime Telephone Number

### STREET/COURIER ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

2018 JAN 31 A 11:33  
STATE OF FLORIDA  
TALLAHASSEE, FLORIDA

FILED

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. PRINCIPAL FINANCIAL GROUP, INC.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

DELAWARE 42-1520346

2. (State or country under the law of which it is incorporated) 3. (FEI number, if applicable)

04/18/2001

4. (Date of incorporation) 5. (Date of duration, if other than perpetual)

6. (Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

711 HIGH STREET, DES MOINES, IA 50392

7. (Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)


Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301  
(City) (Zip code)

9. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*



(Registered agent's signature)

Roxanne Turner  
Asst. Vice President

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
2018 JAN 31 A 11:33  
TALLAHASSEE, FLORIDA  
SECRETARY OF STATE

11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: SEE ATTACHED

Address:

Vice Chairman:

Address:

Director:

Address:

Director:

Address:

**B. OFFICERS**

President: SEE ATTACHED

Address:

Vice President:

Address:

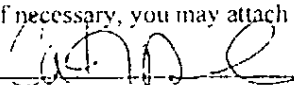
Secretary:

Address:

Treasurer:

Address:

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12.  Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. CATHERINE M. DREXLER, ASSISTANT CORPORATE SECRETARY

(Typed or printed name and capacity of person signing application)

FILED  
2018 JAN 31 AM 11:33  
STATE OF FLORIDA  
TALLAHASSEE

Attachment A - Directors

Directors	Title	Address
✓ Daniel J. Houston	Chairman	711 High Street, Des Moines, IA 50392
✓ Betsy J. Bernard	Director	711 High Street, Des Moines, IA 50392
✓ Jocelyn Carter-Miller	Director	711 High Street, Des Moines, IA 50392
✓ Michael T. Dan	Director	711 High Street, Des Moines, IA 50392
✓ Dennis H. Ferro	Director	711 High Street, Des Moines, IA 50392
✓ C. Daniel Gelatt, Jr.	Director	711 High Street, Des Moines, IA 50392
✓ Sandra L. Helton	Director	711 High Street, Des Moines, IA 50392
✓ Roger C. Hochschild	Director	711 High Street, Des Moines, IA 50392
✓ Scott M. Mills	Director	711 High Street, Des Moines, IA 50392
✓ Diane C. Nordin	Director	711 High Street, Des Moines, IA 50392
✓ Blair C. Pickerell	Director	711 High Street, Des Moines, IA 50392
✓ Elizabeth E. Tallett	Director	711 High Street, Des Moines, IA 50392

FILED

2018 JAN 31 AM 11:33

SCOTT TALLETT  
TALLETT, TALLETT & ASSOCIATES, P.C.

Attachment B - Officers

Officers	Title	Address
Daniel J. Houston	Chairman/President/Chief Executive Officer	711 High Street, Des Moines, IA 50392
Karen E. Shaff	Executive Vice President/General Counsel/Secretary	711 High Street, Des Moines, IA 50392
Gina L. Graham	Vice President/Treasurer	711 High Street, Des Moines, IA 50392
Clint L. Woods	Vice President/Associate General Counsel/Governance Officer/Assistant Corporate Secretary	711 High Street, Des Moines, IA 50392
Catherine M. Drexler	Counsel/Assistant Corporate Secretary	711 High Street, Des Moines, IA 50392

FILED

2018 JAN 31 A 11:33

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PRINCIPAL FINANCIAL GROUP, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTIETH DAY OF JANUARY, A.D. 2018.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "PRINCIPAL FINANCIAL GROUP, INC." WAS INCORPORATED ON THE EIGHTEENTH DAY OF APRIL, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



3381855 8300

SR# 20180604180

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

FILED  
2018 JAN 31 A 11:33  
SECRETARY'S OFFICE  
TALLAHASSEE, FLORIDA

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 202061622

Date: 01-30-18



## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** PRINCIPAL FINANCIAL GROUP, INC.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

ASHLEY VANDERSCHOOR

Name of Person

PRINCIPAL

Firm/Company

711 HIGH STREET

Address

DES MOINES, IA 50392

City/State and Zip code

HOLLISTER.SHIRLEY@PRINCIPAL.COM

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

ASHLEY VANDERSCHOOR

at ( 515 ) 235-5629

Name of Person

Area Code

Daytime Telephone Number

### STREET/COURIER ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

FILED  
2018 JAN 31 A 11:39  
TALLAHASSEE, FLORIDA