

F180000000434

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

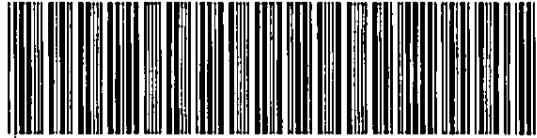
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JAN 29 2018



FLORIDA DEPARTMENT OF STATE
Division of Corporations

January 17, 2018

PATRICIA PETER
711 3RD AVE, 8TH FLOOR
NEW YORK, NY 10017

SUBJECT: DUKE INVESTMENTS, INC.
Ref. Number: W18000004472

We have received your document for DUKE INVESTMENTS, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name of your corporation is not available in Florida. An out-of-state corporation whose name is not available must adopt an alternate corporate name for use in Florida. The alternate corporate name must contain "Incorporated," "Company," "Corporation," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp." Please enter the alternate corporate name in the space provided in number one of the application.

The document number of the name conflict is L11000145391.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Octavia L Simmons
Regulatory Specialist II

Letter Number: 118A00000999

RECEIVED
JAN 29 2018

COVER LETTER

TO: Registration Section
Division of Corporations

Duke Investments, Inc.

SUBJECT: _____
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Patricia Peter

Name of Person

Informa

Firm/Company

711 3rd Avenue, 8th Floor

Address

New York, New York 10017

City/State and Zip code

patty.peter@informausa.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Patricia Peter

917 332-2185
at ()

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Duke Investments, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

Duke Technology, Inc.
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Colorado 3. 84-0922160
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. September 26, 1983 5.
(Date of incorporation) (Date of duration, if other than perpetual)

6. (Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 748 Whalers Way, Building E., Fort Collins, CO 80525
(Principal office address)

101 Paramount Drive, Ste. 100, Sarasota, FL 34232
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company
Office Address: 1201 Hays Street
Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: Elizabeth B. Komiczny
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: Thomas C. Etter

Address: 711 3rd Avenue, 8th Floor

New York, New York 10017

Director: Patrick Martell

Address: 10-15 Newgate Street

London EC1A 7AZ, United Kingdom

B. OFFICERS

President: Patrick Martell

Address: 10-15 Newgate Street

London EC1A 7AZ, United Kingdom

Vice President: Marc Levine

Address: 101 Paramount Drive, Ste. 100

Sarasota, Florida 34232

Secretary: Thomas C. Etter

Address: 711 3rd Avenue, 8th Floor, New York, New York 10017

Treasurer: Kevin Donagher

Address: One Research Drive, Westborough, Massachusetts 01581

SEE ATTACHED LIST OF ADDITIONAL OFFICERS

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. Pat Peter

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Patricia Peter, Assistant Secretary

(Typed or printed name and capacity of person signing application)

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18 JAN 29 PM 12:25
NEW YORK

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

Duke Investments, Inc.

11.B. Officers (continued)

Senior Vice President: Thomas C. Etter

Address: 711 3rd Avenue, 8th Floor, New York, New York 10017

Assistant Secretary: Patricia Peter

Address: 711 3rd Avenue, 8th Floor, New York, New York 10017

18 JAN 29 PM 12:25
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JAN 29 1981
FBI - NEW YORK

OFFICE OF THE SECRETARY OF STATE
OF THE STATE OF COLORADO

CERTIFICATE OF FACT OF GOOD STANDING

I, Wayne W. Williams, as the Secretary of State of the State of Colorado, hereby certify that, according to the records of this office,

DUKE INVESTMENTS, INC.

is a

Corporation

formed or registered on 09/26/1983 under the law of Colorado, has complied with all applicable requirements of this office, and is in good standing with this office. This entity has been assigned entity identification number 19871539397.

This certificate reflects facts established or disclosed by documents delivered to this office on paper through 12/29/2017 that have been posted, and by documents delivered to this office electronically through 01/08/2018 @ 08:24:45.

I have affixed hereto the Great Seal of the State of Colorado and duly generated, executed, and issued this official certificate at Denver, Colorado on 01/08/2018 @ 08:24:45 in accordance with applicable law. This certificate is assigned Confirmation Number 10642291.



Secretary of State of the State of Colorado

*****End of Certificate*****

Notice: A certificate issued electronically from the Colorado Secretary of State's Web site is fully and immediately valid and effective. However, as an option, the issuance and validity of a certificate obtained electronically may be established by visiting the Validate a Certificate page of the Secretary of State's Web site, <http://www.sos.state.co.us/liz/CertificateSearchCriteria.do> entering the certificate's confirmation number displayed on the certificate, and following the instructions displayed. Confirming the issuance of a certificate is merely optional and is not necessary to the valid and effective issuance of a certificate. For more information, visit our Web site, <http://www.sos.state.co.us/> click "Businesses, trademarks, trade names" and select "Frequently Asked Questions."