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DIVISION OF CORPORATIONS
2017 SEP 19 PM 2:06

N. CAUSSEAU

SEP 19 2017

KUTAK ROCK LLP

THE OMAHA BUILDING
1650 FARNAM STREET

OMAHA, NE 68102-2186

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BRIDGET M. STUHR
bridget.stuhr@kutakrock.com
(402) 346-6000

September 13, 2017

VIA FEDEX

Florida Department of State
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

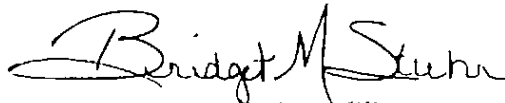
Re: Application by Foreign Not for Profit Corporation

Dear Madam or Sir:

Enclosed for filing, please find the Application by Foreign Not for Profit Corporation for Authorization to Conduct its Affairs in Florida for Opportunity Finance Network. I have also included a Subsistence Certificate from the Commonwealth of Pennsylvania and a check, made payable to the Florida Department of State for \$78.75 to cover filing fees and cost for a Certificate of Status to be returned to me with the filed document.

Please return evidence of filing to my attention at the address above and if you have any questions or concerns regarding these documents, please feel free to contact me. Thank you for your assistance.

Sincerely,



Bridget M. Stuhr, ACP
Advanced Certified Paralegal

bms

Enclosures

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Opportunity Financing Network
Name of Corporation – must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Not for Profit Corporation for Authorization to Conduct its Affairs in Florida", "Certificate of Existence", or "Certificate of Status" and check are submitted to register the above referenced not for profit corporation to conduct its affairs in Florida.

Please return all correspondence concerning this matter to the following:

Francis Lutz

Name of Person

Opportunity Finance Network

Firm/Company

620 Chestnut Street, Ste. 572

Address

Philadelphia, PA 19106

City/State and Zip Code

flutz@ofn.org

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

bridget.stuhr@kutakrock.com

Name of Person

at (402)
Area Code

346-6000

Daytime Telephone Number

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STREET/COURIER ADDRESS:
Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☒ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

September 18, 2017

FRANCIS LUTZ
620 CHESTNUT ST, STE. 572
PHILADELPHIA, PA 19106

SUBJECT: OPPORTUNITY FINANCING NETWORK
Ref. Number: W17000074594

We have received your document for OPPORTUNITY FINANCING NETWORK and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name must contain a word that will clearly indicate that it is a corporation. This word may be: CORPORATION, CORP., INCORPORATED, or INC. Sections 617.0401(1)(a) and 617.1506(1), Florida Statutes, prohibits the use of the word COMPANY or CO. in the name of a non-profit corporation.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Dionne M Pijaux
Regulatory Specialist

Letter Number: 917A00018928

SEP. 19. 2017 11:29AM

KUTAK ROCK LLP

NO. 692 P. 1

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OMAHA, NEBRASKA 68102-2186

402-346-6000
FACSIMILE 402-346-11482017 SEP 19 PM 12:50
TALLAHASSEE, FLORIDA

DATE: September 19, 2017

To:

NAME	FAX NO.	PHONE NO.
Florida Division of Corporations Attention: Nanette	850-245-6030	850-245-6000

If you experience any problems in receiving these pages, please call (402) 346-6000 as soon as possible. Thank you.

FROM: Bridget M. Stuhr, ACP

EMPL. NO.: 4052

RE: Corrected Application by Foreign Not for Profit
Document Number: W17000074594

CLIENT NUMBER: 308103-1

NUMBER OF PAGES, INCLUDING COVER PAGE: 3

CONFIRM: Yes

MESSAGE:

Nanette, per our phone conversation a few moments ago, I have attached, the corrected Application by Foreign Not for Profit for Opportunity Finance Network. Please note, the website lists the entity name has Opportunity **Financing** Network, instead of "**Finance** Network". Please also correct this as well and if you if you have any questions or if you need any additional information, please do not hesitate to contact me. Thank you so much for your assistance.

Bridget M. Stuhr, ACP
Advanced Certified Paralegal**CONFIDENTIAL FACSIMILE TRANSMISSION**

The information contained in this facsimile and the accompanying pages is intended solely for the addressee(s) named above. If you are not an addressee, or responsible for delivering these documents to an addressee, you have received these documents in error and you are strictly prohibited from reading or disclosing it. The information contained in these documents is highly confidential and may be subject to legally enforceable privileges. Unless you are an addressee, or associated with an addressee for delivery purposes, you may violate these privileges and subject yourself to liability if you do anything with these documents or the information it contains other than calling us immediately at the number listed above and returning these documents to us at once.

Operator: _____

GROUP CODE (FAXES)

- ☐ 7100 - Personal
☐ 7200 - Local
☒ 7300 - Long-Distance
☐ 7400 - International

APPLICATION BY FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN FLORIDA

IN COMPLIANCE WITH SECTION 617.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN THE STATE OF FLORIDA:

1. Opportunity Finance Network, Inc.
 (Name of corporation: must include the word "INCORPORATED" or "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present. "Company" or "Co." may not be used as a corporate suffix by a nonprofit corporation.)
~~Opportunity Financing Network~~ Opportunity Finance Network
 (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Pennsylvania 3. _____ (State or country under the law of which it is incorporated) (FEI number, if applicable)
 4. 2/9/2006 5. _____ (Date of Incorporation) (Date of duration, if other than perpetual)
 6. _____ (Date first conducted affairs in Florida if prior to registration. See sections 617.1501 & 617.1502, F.S. to determine penalty liability.)
 7. The Public Ledger Bldg., Ste. 572 620 Chesnut Street Philadelphia PA 19106
 (Principal office address)

_____ (Current mailing address, if different)

8. See Attached Exhibit A
 (Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Capitol Corporate Services, Inc.
 Office Address: 515 East Park Avenue, 2nd Flr.
Tallahassee, Florida 32301
 (City) (Zip Code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Idmanis Case, asst. sec.
 (Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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 DIVISION OF CORPORATIONS
 2017 SEP 19 PM 2:06

Exhibit A—Corporation Purposes

Opportunity Finance Network seeks to carry out its mission of leading community development financial institutions and their partners to ensure that low-income, low wealth and other disadvantaged people and communities have access to affordable, responsible financial products and services.

12. Names and addresses of officers and/or directors (See Attached Exhibit B)

A. DIRECTORS

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

(See Attached Exhibit B)

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Francis Kutz, Assistant Secretary
(Typed or printed name and capacity of person signing application)

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DIVISION OF CORPORATION
2017 SEP 19 PM 2:06

Exhibit B—List of Board of Directors and Corporate Officers

I. Board of Directors:

1) **Jim King**

President & CEO
FAHE
PO Box 908
106 Pasco Street
Berea, KY 40403
T: 859.986.2321x2101
jim@fahe.org

2) **David Glaser**

Chief Executive Officer
Montana & Idaho CDC
229 E Main Street
Missoula, MT 59802
T: 406.728.9234 x 211
daveg@mtcdc.org

3) **Keith Bisson**

President
Coastal Enterprises, Inc.
30 Federal Street
Brunswick, ME 04011
T: 207.504.5870
kbisson@ceimaine.org

4) **Ellis Carr**

President & CEO
Capital Impact Partners
2011 Crystal Drive, Suite 750
Arlington, VA 22202
T: 703.647.2306
Ecarr@capitalimpact.org

5) **Chrystel Cornelius**

Executive Director
First Nations Oweesta Corporation
2432 Main St. Suite 200A
Longmont, CO 80501
T: 303.774.8838
Chrystel@oweesta.org

6) Penelope Douglas

Yerba Buena Center for the Arts
And Penelope Douglas Advisory Services
PO Box 38
Sausalito, CA 94966
T: 415.310.5348
penelopealicedouglas@gmail.com

*No
Title*

7) Shane Jett

Executive Director/CEO
Citizen Potawatomi Nation Community Development Corporation
130 E. MacArthur, Suite 206
Shawnee, OK 74804
T: 405.878.4697
Shane.jett@cpcdc.org

8) Jake Levine

City First Enterprises
AVP, Impact Investing
1436 U Street, NW, 4th Floor
Washington DC 20009
T: 202.745.4487
jake@cfenterprises.org

*No
Title*

9) Inez Long

President & CEO
Black Business Investment Fund of Central Florida
301 E. Pine Street
Orlando, FL 32801
T: 407.649.4780
ilong@bbif.com

10) Lisa Mensah

President & CEO
Opportunity Finance Network
620 Chestnut Street, Suite 572
Philadelphia, PA 19106
T: 215.320.4304
LMensah@ofn.org

11) Pearl Wicks

SVP – Retail Administration
Hope Enterprise Corporation / Hope Credit Union
4 Old River Pl # A
Jackson, MS 39202
T: 601.944.4187
pearl.wicks@hope-ec.org

II. Corporate Officers:

1) Jim King, Chairman of the Board

President & CEO
FAHE
PO Box 908
106 sco Street
Berea, KY 40403
T: 859.986.2321x2101
jim@fahe.org

2) David Glaser, Treasurer

President & CEO
Montana and Idaho CDC
229 E Main Street
Missoula, MT 59802
T: 406.728.9234 x 211
daveg@mtcdc.org

3) Lisa Mensah, Chief Executive Officer

President and CEO
Opportunity Finance Network
620 Chestnut Street, Suite 572
Philadelphia, PA 19106
T: 215.320.4316
LMensah@ofn.org

4) Fran Lutz, Assistant Secretary

Chief Financial Officer
Opportunity Finance Network
620 Chestnut Street, Suite 572
Philadelphia, PA 19106
flutz@ofn.org

5) Beth Lipson, Secretary

EVP, Strategic Initiatives
Opportunity Finance Network
620 Chestnut Street, Suite 572
Philadelphia, PA 19106
T: 215.320.4315
blipson@ofn.org

6) Robin Odland, Assistant Secretary

Executive Vice President, Financial Services
Opportunity Finance Network
620 Chestnut Street, Suite 572
Philadelphia, PA 19106
T: 215.320.4328
rodland@ofn.org

7) Cassandra Archbold, Assistant Secretary

Senior Vice President, Financial Services
Opportunity Finance Network
620 Chestnut Street, Suite 572
Philadelphia, PA 19106
T: 215.320.4301
carchbold@ofn.org

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

08/28/2017

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TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Opportunity Finance Network

is duly registered as a Pennsylvania Non-Profit (Non Stock) under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Subsistence Certificate shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Pedro A. Contis

Secretary of the Commonwealth

Certification Number: TSC170828130801-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify>