

F170000003875

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

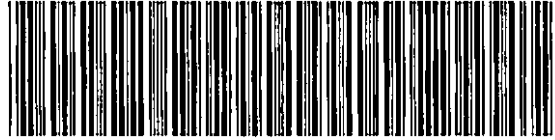
(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

W17 - 63833

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07/31/17--01029--007 \*\*87.50

08/28/17--01033--019 \*\*650.00

17 AUG 24 AM 11:49  
TALLAHASSEE, FLORIDA

AUG 25 2017

Y



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

August 3, 2017

ANNE KIRKLAND  
225 CHARCOT AVE  
SAN JOSE, CA 95131

SUBJECT: OCLARO, INC.  
Ref. Number: W17000063833

We have received your document for OCLARO, INC. and your check(s) totaling \$87.50. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 605.0904(7), Florida Statutes, this entity is liable for a civil penalty of at least \$500 but not more than \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification. In addition to this civil penalty, the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state are also due. The amount due this office to cover both annual report(s) and penalty fees is \$650.00.

There is a balance due of \$650.00.

Please return a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Yasemin Y Sulker  
Regulatory Specialist II

Letter Number: 617A00015804

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

Oclaro, Inc.

**SUBJECT:** \_\_\_\_\_  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Anne Kirkland

\_\_\_\_\_  
Name of Person

Oclaro, Inc.

\_\_\_\_\_  
Firm/Company

225 Charcot Avenue

\_\_\_\_\_  
Address

San Jose, CA 95131

\_\_\_\_\_  
City/State and Zip code

anne.kirkland@oclaro.com

\_\_\_\_\_  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Anne Kirkland

408

919-2754

at (\_\_\_\_\_) \_\_\_\_\_

\_\_\_\_\_  
Name of Person

\_\_\_\_\_  
Area Code

\_\_\_\_\_  
Daytime Telephone Number

**STREET/COURIER ADDRESS:**

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee      ☐ \$78.75 Filing Fee & Certificate of Status      ☐ \$78.75 Filing Fee & Certified Copy      ☒ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Oclaro, Inc.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Delaware 3. 20-1303994  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. June 29, 2004 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)
6. December 1, 2016  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 225 Charcot Avenue, San Jose, CA 95131  
(Principal office address)
- same -  
(Current mailing address, if different)
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: CT Corporation System
- Office Address: 1200 South Pine Island Road
- Broward County Plantation, Florida 33324  
(City) (Zip code)

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

James M. Halpin  
Assistant Secretary

Jan M. Halpin  
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

## A. DIRECTORS

Address: \_\_\_\_\_

Address: c/o 225 Charcot Avenue  
San Jose, CA 95131

Address: c/o 225 Charcot Avenue  
San Jose, CA 95131 (see attached Addendum for additional directors)

Address: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

12. 

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

(Typed or printed name and capacity of person signing application)

**Addendum to Application by Foreign Corporation for  
Authorization to Transact Business in Florida**

**A. Directors (continued)**

Denise Haylor  
c/o 225 Charcot Avenue  
San Jose, CA 95131

Bill Smith  
c/o 225 Charcot Avenue  
San Jose, CA 95131

Joel A. Smith III  
c/o 225 Charcot Avenue  
San Jose, CA 95131

Greg Dougherty  
c/o 225 Charcot Avenue  
San Jose, CA 95131

**B. Officers (continued)**

CEO: Greg Dougherty  
225 Charcot Avenue  
San Jose, CA 95131

President, Integrated Photonics Business: Beck Mason  
225 Charcot Avenue  
San Jose, CA 95131

President, Optical Connectivity Business: Yves LeMaitre  
225 Charcot Avenue  
San Jose, CA 95131

Chief Commercial Officer: Adam Carter  
225 Charcot Avenue  
San Jose, CA 95131

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Chief Operating Officer: Craig Cocchi  
225 Charcot Avenue  
San Jose, CA 95131

Chief Financial Officer: Pete Mangan  
225 Charcot Avenue  
San Jose, CA 95131

Executive Vice President, General Counsel and corporate Secretary: David  
Teichmann  
225 Charcot Avenue  
San Jose, CA 95131

Executive Vice President, Human Resources: Lisa Paul  
225 Charcot Avenue  
San Jose, CA 95131

FILED  
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SOUTHERN DISTRICT OF FLORIDA

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "OCLARO, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TENTH DAY OF JULY, A.D. 2017.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



  
Jeffrey W. Bullock, Secretary of State

3822373 8300

SR# 20175157696

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202854461

Date: 07-10-17