

F17000001835

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐

PICK-UP

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WAIT

☐

MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

6540

650.00

W11-29879

Office Use Only



000297484720

04/06/17--01016--010 **70.00

04/24/17--01034--001 **650.00

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17 APR -6 PM 2:20

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

APR 24 2017

S. YOUNG



FLORIDA DEPARTMENT OF STATE
Division of Corporations

April 7, 2017

ROY W ADAMS, JR
WEALTHFRONT, INC
900 MIDDLEFIELD ROAD
REDWOOD CITY, CA 94063

SUBJECT: WEALTHFRONT, INC.
Ref. Number: W17000029879

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

2017 APR 24 PM 12:56

We have received your document for WEALTHFRONT, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

According to the application submitted to this office, this entity transacted business in the state of Florida before properly registering with the Florida Department of State, Division of Corporations. Consequently, a \$500 civil penalty and an annual report filing fee for each year the entity failed to properly file a Florida annual report are due this office. Based on the date entered on the application, the civil penalty and annual report filing fees total \$650.00.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Shelia H Young
Regulatory Specialist II

Letter Number: 417A00006778

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TALLAHASSEE, FLORIDA

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Wealthfront, Inc.
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

<u>Roy W. Adams, Jr.</u>	
Name of Person	
<u>Wealthfront, Inc.</u>	
Firm/Company	
<u>900 Middlefield Road</u>	
Address	
<u>Redwood City, CA 94063</u>	
City/State and Zip code	
<u>Counsel@wealthfront.com</u>	
E-mail address: (to be used for future annual report notification)	

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TALLAHASSEE, FLORIDA
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For further information concerning this matter, please call:

<u>Roy W. Adams, Jr.</u>	at (<u>925</u>)	<u>330-8610</u>
Name of Person	Area Code	Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Wealthfront, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 20-8280144
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. January 5, 2007 5. N/A
(Date of incorporation) (Date of duration, if other than perpetual)

6. September 1, 2016
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 900 Middlefield Road, Redwood City, CA 94063
(Principal office address)

N/A
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

**Holly Jones
Assistant Vice President**

Holly Jones

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Andrew Rachleff

Address: 900 Middlefield Road, Redwood City, CA 94063

Director: Daniel Carroll

Address: 900 Middlefield Road, Redwood City, CA 94063

Director: Michael Schroepfer

Address: 900 Middlefield Road, Redwood City, CA 94063

Director: Michaelangelo Volpi

Address: 900 Middlefield Road, Redwood City, CA 94063

B. OFFICERS

President: Andrew Rachleff

Address: 900 Middlefield Road, Redwood City, CA 94063

Vice President: _____

Address: _____

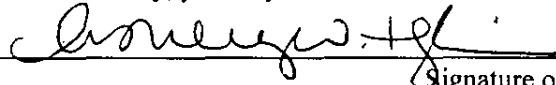
Secretary: Ashley Johnson

Address: 900 Middlefield Road, Redwood City, CA 94063

Treasurer: Ashley Johnson

Address: 900 Middlefield Road, Redwood City, CA 94063

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Ashley Feiglein Johnson
(Typed or printed name and capacity of person signing application)

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Delaware


The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY "WEALTHFRONT INC." IS DULY INCORPORATED
UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND
HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS
OFFICE SHOW, AS OF THE TWENTY-NINTH DAY OF MARCH, A.D. 2017.

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Jeffrey W. Bullock, Secretary of State

4280119 8300

SR# 20171876448

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202230423

Date: 03-29-17