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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

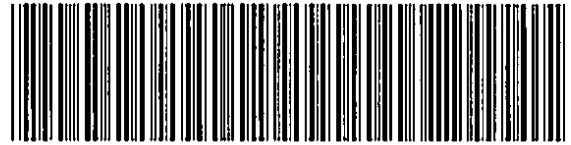
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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1.0000000000000000
SUFFICIENT FOR FILING

S Warren

FEB 20 2017

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 513936 7113721

AUTHORIZATION : *[Signature]*

COST LIMIT : \$ 70.00

ORDER DATE : February 16, 2017

ORDER TIME : 4:12 PM

ORDER NO. : 513936-025

CUSTOMER NO: 7113721

FOREIGN FILINGS

NAME: SUNTRUST ADVISORY SERVICES,
INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Melissa Zender -- EXT# 62956

EXAMINER: _____

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

SumTrust Advisory Services, Inc.

1. _____
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

N/A

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. DELAWARE 3. 37-1808847
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 01/12/2017 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. _____
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 303 PEACHTREE CENTER AVE. - SUITE 140, ATLANTA, GA 30308
(Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

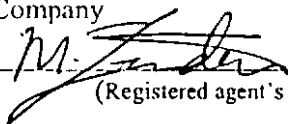
Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: _____


(Registered agent's signature)

Melissa Zender
Asst. Vice President

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

PLEASE SEE ATTACHED EXHIBIT

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

PLEASE SEE ATTACHED EXHIBIT

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Hasana Kelly, Assistant Corporate Secretary (STI/STB)

13. _____

(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

SunTrust Advisory Services Inc.

Officers

<u>Name</u>	<u>Title</u>	<u>Address</u>
Joseph Thompson	Chief Executive Officer	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Joseph Thompson	President	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Theodore Bowden	Chief Operating Officer	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Ernest Dawal	Chief Investment Officer	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Sarah Rich	Treasurer and Chief Financial Officer	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Susan Hechtlinger	Chief Compliance Officer	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Theodore Bowden	Secretary	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Tammie Moore	Assistant Secretary	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Hasana Kelly	Assistant Corporate Secretary (STI/STB)	303 Peachtree St. NE, GA-Atlanta-0643, Atlanta, Georgia 30308

Directors

<u>Name</u>	<u>Title</u>	<u>Address</u>
Joseph M. Thompson	Director - Chairman	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Theodore Bowden	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Susan J. Hechtlinger	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Cory McCallum	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Brian Dowhower	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Thomas Carroll	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Felicia Speetjens	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Willem O. Hattink	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Ken Solis	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Ernest Dawal	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Scott Hotham	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303
Dwight Mathis	Director	303 Peachtree Center Avenue, Suite 140, Atlanta, Georgia 30303

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JAN 17 2012

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Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "SUNTRUST ADVISORY SERVICES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SEVENTEENTH DAY OF FEBRUARY, A.D. 2017.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "SUNTRUST ADVISORY SERVICES, INC." WAS INCORPORATED ON THE FIFTH DAY OF JANUARY, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



5928774 8300

SR# 20170997263

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202056219

Date: 02-17-17