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MAIL

(Business Entity Name)

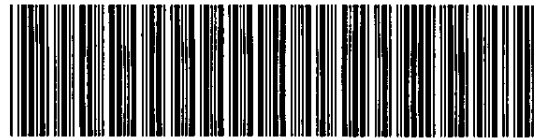
(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

W116-69118 corp & Penalty

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12/01/16--01028--001 **2000.00

10/04/16--01032--016 **78.75

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2016 NOV 28 PM 3:32

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

K. SALY

DEC - 1 2016

KS



FLORIDA DEPARTMENT OF STATE
Division of Corporations

October 7, 2016

ROGER NADEAU
AON INSURANCE MANAGERS
76 SAINT PAUL ST, STE. 500
BURLINGTON, VT 05401

SUBJECT: BAR VERMONT RISK RETENTION GROUP, INC.
Ref. Number: W16000069118

RECEIVED
2016 NOV 28 PM 12:10
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

We have received your document for BAR VERMONT RISK RETENTION GROUP, INC. and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

- * Pursuant to section 607.1502(4), 617.1502(4) or 605.0904(7), Florida Statutes, this entity is liable for a civil penalty of at least \$500 but not more than \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification. In addition to this civil penalty, the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state are also due. The amount due this office to cover both annual report(s) and penalty fees is \$2000.00.

There is a balance due of \$2000.00.

- * A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Karen A Saly
Regulatory Specialist II

Letter Number: 116A00021688

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: BAR Vermont Risk Retention Group, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Roger Nadeau

Name of Person

Aon Insurance Managers

Firm/Company

76 Saint Paul Street, Suite 500

Address

Burlington, Vermont 05401

City/State and Zip code

roger.nadeau@aon.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Roger Nadeau

802

264-4581

at (_____) _____

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

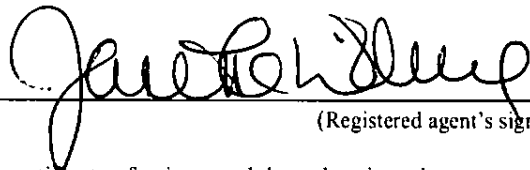
**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. BAR Vermont Risk Retention Group, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
2. Vermont 3. 03-0331337
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. September 18, 1991 5. perpetual
(Date of incorporation) (Date of duration, if other than perpetual)
6. October 20, 2006
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 76 Saint Paul Street, Suite 500, Burlington, Vermont 05401
(Principal office address)
- same
(Current mailing address, if different)
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: Janette Wilcox
- Office Address: 13901 Sutton Park Drive South, Bldg C, Ste 360
Jacksonville, Florida 32224
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

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TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: PLEASE SEE ATTACHED SHEET FOR THE OFFICERS AND DIRECTORS OF THE COMPANY

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. Andrew Sommer
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Andrew L. Sommer, Esq., President
(Typed or printed name and capacity of person signing application)

BAR Vermont Risk Retention Group, Inc.

Current Directors

Thomas A. Arena
Bruce Birenboim
Susan Webster
David M. Lederkramer
Howard R. Hawkins, Jr.
Andrew L. Sommer
Leonard Kreymin
George S. Wang
Aaron Rubinstein
John F. Finnegan
William L. McRae
Karl Groskaufmanis
Jeffrey P. Johnson

Address

28 Liberty Street, New York, New York 10005
1285 Avenue of the Americas, New York, New York 10019
Worldwide Plaza, 825 8th Avenue, New York, New York 10019
11 Times Square, New York, New York 10036
200 Liberty Street, New York, New York 10036
919 Third Avenue, New York, New York 10281
450 Lexington Avenue, New York, New York 10022
425 Lexington Avenue, New York, New York 10017
250 West 55th Street, New York, New York 10017
1301 Avenue of the Americas, New York, New York 10019
One Liberty Plaza, New York, New York 10019
One New York Plaza, New York, New York 10006
150 South Champlain Street, Burlington, Vermont 05402

Current Officers

Thomas A. Arena (Treasurer)
Andrew L. Sommer (President & Chairman)
William L. McRae (Vice President & Vice Chairman)
Jeffrey P. Johnson (Vice President & Secretary)
Nancy L. Gray (Vice President & Assistant Secretary)

Address

28 Liberty Street, New York, New York 10005
919 Third Avenue, New York, New York 10022
One Liberty Plaza, New York, New York 10022
150 South Champlain Street, Burlington, Vermont 05402
76 Saint Paul Street, Suite 500, Burlington, Vermont 05401

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TALLAHASSEE, FLORIDA



State of Vermont
Department of Financial Regulation
89 Main Street
Montpelier, VT 05620-3101
www.dfr.vermont.gov

For consumer assistance
[Insurance] 800-964-1784
[Securities] 877-550-3907
[Banking] 888-568-4547

IT IS HEREBY CERTIFIED THAT

Bar Vermont Risk Retention Group, Inc.

a domestic captive insurance company of Burlington, Vermont is authorized to do business
in this state and, is reputable, and that it is in Good Standing with this Department.

IN WITNESS WHEREOF, I

have hereunto set my hand,

and affixed the official seal

of this Department at the City

of Montpelier, this 25th day of

October, 2016.

David F. Provost

DAVID F. PROVOST
DEPUTY COMMISSIONER
CAPTIVE INSURANCE

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

CERTIFICATE VALID WITH WATERMARK

Banking
802-828-3307

Insurance
802-828-3301

Captive Insurance
802-828-3304

Securities
802-828-3420