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Florida Department of State
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RE-SUBMIT

To: Division of Corporations
Fax Number : (850) 617-6383

From: Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (850) 205-8842
Fax Number : (850) 878-5368

Please retain original filing date of submission 8/25

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

FOREIGN PROFIT/NONPROFIT CORPORATION

NantKwest, Inc.

Certificate of Status	0
Certified Copy	0
Page Count	06
Estimated Charge	\$70.00

Attn: Karen Saly

2016 AUG 25 PM 4:55

TALENTS

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

16 AUG 25 AM 10:24

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8/26/2016 4:26:26 PM From: To: 8506176383(2/7)



August 26, 2016

FLORIDA DEPARTMENT OF STATE
Division of Corporations

CT CORPORATION SYSTEM

RE-SUBMIT

SUBJECT: NANTKWEST, INC.
REF: W16000059290

**Please retain original filing
date of submission 8/25**

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Karen A Saly
Regulatory Specialist II

FAX Aud. #: H16000211709
Letter Number: 216A00018185

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TALLAHASSEE, FLORIDA

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: NantKwest, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Sonja Nelson

Name of Person

Firm/Company

9920 Jefferson Blvd.

Address

Culver City, CA 90232

City/State and Zip code

, Sonja.Nelson@NantKwest.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Name of Person at (_____) _____
Area Code Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☒ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. NantKwest, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 43-1979754
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 05/14/2015 5. Perpetual
(Date of incorporation) (Date of duration, if other than perpetual)

6. 1/1/2016
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 3530 John Hopkins Cr, San Diego, CA 92121
(Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C.T. Corporation System

Office Address: 1200 South Pine Island Road

Plantation, FL 33324, Florida 33324
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C.T. Corporation System

By: Janifer Vincent
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Patrick Soon-Shiong

Address: 9920 Jefferson Blvd., Culver City, CA 90232

Vice Chairman: Steve Gorlin

Address: 9920 Jefferson Blvd., Culver City, CA 90232

Director: Michael D. Blaszyk

Address: 9920 Jefferson Blvd., Culver City, CA 90232

Director: Richard Kusscrow

Address: 9920 Jefferson Blvd., Culver City, CA 90232

B. OFFICERS

President: Barry J. Simon

Address: 3530 John Hopkins Ct, San Diego, CA 92121

Vice President: Sonja Nelson

Address: 3530 John Hopkins Ct, San Diego, CA 92121

Secretary:

Address:

Treasurer:

Address:

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SECRETARY OF STATE

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. Sonja Nelson

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Sonja Nelson Vice president

(Typed or printed name and capacity of person signing application)

8/26/2016 4:25:26 PM From: To: 8506176383(6/7)

Nantkwest Inc
List of Board of Directors and Officers

Board of Directors	Title	Address
• Barry J. Simon	President, COO and	3530 John Hopkins Ct, San Diego, CA
• John T. Potts, Jr.		90232 9920 Jefferson Blvd., Culver City, CA
• John C. Thomas, Jr.		90232 9920 Jefferson Blvd., Culver City, CA
	Director	90232 9920 Jefferson Blvd., Culver City, CA
Officers	Director	
• Patrick Soon-Shiong		9920 Jefferson Blvd., Culver City, CA 90232
• Barry J. Simon		3530 John Hopkins Ct, San Diego, CA 92121
• Richard J. Tajak		9920 Jefferson Blvd., Culver City, CA 90232
• Hans Klingemann		
• Tien Lee	President, COO and Director	3530 John Hopkins Ct, San Diego, CA 92121
• Karen Nichols	Interim CFO	3530 John Hopkins Ct, San Diego, CA 92121
	VP/Controller	3530 John Hopkins Ct, San Diego, CA 92121
• Frances Toneguzzo		
	Vice President, Research and Development	3530 John Hopkins Ct, San Diego, CA 92121
• Jerel Banks	Chief Strategy Officer	
• Dvorit Samid	Vice President, Regulatory & Quality	
	Executive Vice President, New Product Planning & Strategy	
	Senior Vice President, Mergers and Acquisitions	3530 John Hopkins Ct, San Diego, CA 92121
	Senior Vice President, Medical Affairs	3530 John Hopkins Ct, San Diego, CA 92121
Andreas Niethammer,	Executive Medical Director	3530 John Hopkins Ct, San Diego, CA 92121
Stephen Farrand	Senior Vice President, Global Manufacturing	3530 John Hopkins Ct, San Diego, CA 92121
• Charles Kim	General Counsel	9920 Jefferson Blvd., Culver City, CA 90232

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Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY "NANTKWEST, INC." IS DULY INCORPORATED
UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND
HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS
OFFICE SHOW, AS OF THE TWENTY-THIRD DAY OF AUGUST, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE
BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE
BEEN PAID TO DATE.


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TALLAHASSEE, FLORIDA



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SR# 20165476762

You may verify this certificate online at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State

Authentication: 202870310

Date: 08-23-16