

F16000003561

Division of Corporations

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Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

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(((H16000194117 3)))



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To: Division of Corporations
Fax Number : (850)617-6363

From: Account Name : NATIONAL CORPORATE RESEARCH, LTD.
Account Number : 12000000086
Phone : (600)221-0102
Fax Number : (800)944-6607

Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address:

FOREIGN PROFIT/NONPROFIT CORPORATION
TRADITION SECURITIES AND DERIVATIVES INC.

Table with 2 columns: Description and Amount. Rows include Certificate of Status (0), Certified Copy (0), Page Count (01), and Estimated Charge (\$70.00).

2016 AUG -9 AM 9:38
CALL HASSEL, FLORIDA

2016 AUG -9 AM 9:48
SECRETARY OF STATE
TALLAHASSEE, FLORIDA
FILED

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Electronic Filing Menu Corporate Filing Menu Help

From:

08/08/2016 17:22

#596 P.002/004

((H16000194117 3))

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Tradition Securities and Derivatives Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "In.," "Ce.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. _____
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 3/30/1989 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. UPON FILING
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 255 Greenwich Street, New York, NY 10007
(Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: National Corporate Research, Ltd., Inc.

Office Address: 115 North Calhoun Street, Suite 4

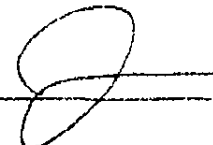
Tallahassee, Florida 32301
(City) (Zip code)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


Jacobine America 1557 SECRETARY
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Director: Francois Brisebois: 255 Greenwich St, New York, NY 10007

Address: _____

Vice Chairman: Director: Michael Leibowitz: 255 Greenwich St., New York, NY 10007

Address: _____

Director: Judith Ricciardi: 255 Greenwich St., New York, NY 10007

Address: Larry Rosenshein: 255 Greenwich St., New York, NY 10007

William Wostyn: 255 Greenwich St., New York, NY 10007

Director: _____

Address: _____

B. OFFICERS

President: Chief Executive Officer: Michael Leibowitz: 255 Greenwich St., New York, NY 10007

Address: Chief Operating Officer: Larry Rosenshein: 255 Greenwich St., New York, NY 10007

President: Raymond Baccala: 255 Greenwich St., New York, NY 10007

Vice President: CFO, Secretary and Treasurer: Judith Ricciardi: 255 Greenwich St., New York, NY 10007

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Judith Ricciardi

(Typed or printed name and capacity of person signing application)

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Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "TRADITION SECURITIES AND DERIVATIVES INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTH DAY OF AUGUST, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "TRADITION SECURITIES AND DERIVATIVES INC." WAS INCORPORATED ON THE THIRTIETH DAY OF MARCH, A.D. 1989.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

2016 AUG -9 AM 9:48
 SECRETARY OF STATE
 JILL HASSEFF, FLORIDIA

FILED



Jeffrey W. Bullock
 Jeffrey W. Bullock, Secretary of State

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SR# 20165270581

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202791141

Date: 08-08-16

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