

F16000002489

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

(Document Number)

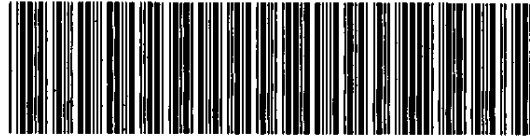
Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

5/23

W16 - 33799

Office Use Only



800285197698

05/02/16--01025--003 \*\*70.00

FILED  
2016 MAY 23 AM 7:16  
SECRETARY OF STATE  
TALLAHASSEE FLORIDA

sfm 6/2



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

2016 MAY 23 PM 3:54  
TALLAHASSEE, FLORIDA

May 9, 2016

SERA PROGNOSTICS, INC.  
ATTN: BARBARA MADSEN  
2749 E PARLEYS WAY, STE 200  
SALT LAKE CITY, UT 84109

SUBJECT: SERA PROGNOSTICS, INC.  
Ref. Number: W16000033799

We have received your document for SERA PROGNOSTICS, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

Your document indicates that an addendum is attached, however there is/was no attachment for such.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Michelle Milligan  
Senior Section Administrator

Letter Number: 416A00009669

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** Sera Prognostics, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Barbara Madsen

Name of Person

Sera Prognostics, Inc.

Firm/Company

2749 E. Parlevs Way, suite 200

Address

Salt Lake City, UT 84109

City/State and Zip code

bmadson@seraprognotics.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Barbara Madsen

801

990-0773

at ( )

Name of Person

Area Code

Daytime Telephone Number

### STREET/COURIER ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

Sera Prognostics, Inc.

1. \_\_\_\_\_  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

\_\_\_\_\_  
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 26-1911522  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 1/17/2008 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 2749 E. Parleys, Way, suite 200 Salt Lake City, UT 84109  
(Principal office address)

\_\_\_\_\_  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Sherree Frazier

Office Address: 2356 Chantilly Terrace

Oviedo, Florida 32765  
(City) (Zip code)

FILED  
2016 MAY 23 AM 7:16  
SECRETARY OF STATE  
TALLAHASSEE FLORIDA

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

*Sherree Frazier*

\_\_\_\_\_  
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Addendum Attached

Address:

Vice Chairman:

Address:

Director:

Address:

Director:

Address:

FILED  
2016 MAY 23 AM 7:16  
TALLAHASSEE FLORIDA

**B. OFFICERS**

President: Gregory Critchfield

Address: 2749 E. Parleys Way, suite 200

Salt Lake City, UT 84109

Vice President: Addendum Attached

Address:

Secretary:

Address:

Treasurer:

Address:

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. *Andrew A. Sauter*

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Andrew A. Sauter, Chief Financial Officer

(Typed or printed name and capacity of person signing application)

# Sera Prognostics, Inc.

2749 East Parleys Way, Suite 200 - Salt Lake City, UT 84109 - Tel: 801-990-0520

BOARD MEMBERS		
<b>Greg Critchfield, MD</b> Chairman & CEO	6170 Murdock Woods Pl Holladay, UT 84121	Chairman
<b>Arnold Oronsky</b>	InterWest Partners 2710 Sand Hill Rd, F12 Menlo Park CA 94025	
<b>Kim Kamdar, PhD</b>	Domain Associates 12481 High Bluff Dr 150 San Diego CA 92130	
<b>Josh Phillips</b>	Catalyst Health Ventures 50 Braintree Hill Office Park, Suite 301 Braintree MA 02184	
<b>Denny Farrar</b>	UpStart Ventures 417 Wakara Way #3510 Salt Lake City UT 84108	
<b>Rich Novak</b>	Independent 36 Church Street Greenwich, CT 06830	
<b>Ryan Trimble</b>	Independent 27342 Lost Colt Drive Laguna Hills, CA 92653	
<b>Mansoor Raza Mirza</b>	Independent Malovgardsvej 23 DL-2750 Ballerup Denmark	
OFFICERS		
<b>Greg Critchfield, MD</b>	6170 Murdock Woods Pl Holladay, UT 84121	Chairman & CEO
<b>Andrew A. Sauter</b>	3318 Whitehaven Dr Walnut Creek, CA 94598	CFO
<b>Durlin Hickok, MD</b>	417 Erie Ave Seattle, WA 98122	SVP & CMO
<b>Jay Boniface, PhD</b>	1020 Douglas St SLC, UT 84105	CSO
<b>Doug Fisher, MD</b>	587 Patrol Road Woodside, CA 94062	CBO

FILED  
2018 MAY 23 AM 7:16  
CLERK OF DISTRICT COURT  
TALLAHASSEE FLORIDA

# Delaware

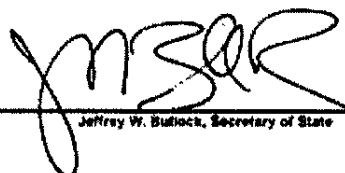
The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF  
DELAWARE, DO HEREBY CERTIFY "SERA PROGNOSTICS, INC." IS DULY  
INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD  
STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS  
OF THIS OFFICE SHOW, AS OF THE TWENTY-SECOND DAY OF APRIL, A.D.  
2016.

FILED  
2016 MAY 23 AM 7:16  
STATE OF DELAWARE  
TALLAHASSEE FLORIDA



  
Jeffrey W. Bullock, Secretary of State

4491561 8300

SR# 20162459626

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202196126

Date: 04-22-16