

# F16000002132

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP     WAIT     MAIL

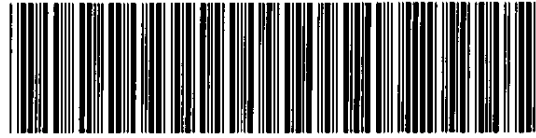
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



600285524246

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

16 MAY -9 AM 8:39

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16 MAY -9 PM 4:23

RECEIVED  
DEPARTMENT OF STATE

MAY 11 2016  
J. HARRIS

~~FILED~~

CORPORATION SERVICE COMPANY  
1201 Hays Street  
Tallahassee, FL 32301  
Phone: 850-558-1500

ACCOUNT NO. : I20000000195  
REFERENCE : 074952 8069646  
AUTHORIZATION :   
COST LIMIT : \$ 1,170.00

ORDER DATE : March 23, 2016  
ORDER TIME : 3:43 PM  
ORDER NO. : 074952-030  
CUSTOMER NO: 8069646

FOREIGN FILINGS

NAME: MAGELLAN ASSET MANAGEMENT  
LIMITED

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

\_\_\_\_\_ CERTIFIED COPY  
XX \_\_\_\_\_ PLAIN STAMPED COPY  
\_\_\_\_\_ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Melissa Zender -- EXT# 62956

EXAMINER: \_\_\_\_\_



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

May 10, 2016

CSC  
MELISSA ZENDER

SUBJECT: MAGELLAN ASSET MANAGEMENT LIMITED  
Ref. Number: W16000033905

**RESUBMIT**  
Please give original  
submission date as file date.

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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We have received your document for MAGELLAN ASSET MANAGEMENT LIMITED and check(s) totaling \$60.00. However, the enclosed document has not been filed and is being returned to you for the following reason(s):

There is a balance due of \$10.00. Refer to the attached fee schedule for a breakdown of the fees. Please return a copy of this letter to ensure your money is properly credited.

Pursuant to section 607.1502(4), 617.1502(4) or 605.0904(7), Florida Statutes, this entity is liable for a civil penalty of at least \$500 but not more than \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification. In addition to this civil penalty, the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state are also due. The amount due this office to cover both annual report(s) and penalty fees is \$1100.00.

Unfortunately, the enclosed certified copy does not meet our filing requirements. We require a certificate of existence or certificate of good standing, which usually consists of a single sheet of paper that clearly reflects the entity is a valid entity in its home state/country. You can obtain the certificate of existence or certificate of good standing from the same office that provided you with the certified copy.

The name must contain a word that will clearly indicate that it is a corporation. Such words include: CORPORATION, CORP., COMPANY, CO., INC., and INCORPORATED.

You must list the names and street addresses of the officers and directors of the corporation on the form/application.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Jenna D Harris  
Regulatory Specialist II

Letter Number: 916A00009729

Hello Ms. Bruce,

As per our conversation  
AUSTRALIA DOES NOT OFFER  
A CERTIFICATE OF STATUS OR  
ANYTHING w/ THAT LANGUAGE.  
Thank you!

-Melissa @ CSC

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

MAGELLAN ASSET MANAGEMENT LIMITED INCORPORATED

1. \_\_\_\_\_  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Australia 3. 98-0517786  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 07/06/2006 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)

6. 07/01/2012  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia  
(Principal office address)

\_\_\_\_\_  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company  
Office Address: 1201 Hays Street  
Tallahassee, Florida 32301  
(City) (Zip code)

9. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Corporation Service Company

By: \_\_\_\_\_

(Registered agent's signature)

Melissa Zender  
Asst. Vice President

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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16 MAY -9 AM 8:39  
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TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: See Attached

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS**

President: See Attached

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

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TALLAHASSEE FLORIDA

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. \_\_\_\_\_

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s. 817.155, F.S.

13. Nerida Campbell, COO 

(Typed or printed name and capacity of person signing application)

**MAGELLAN ASSET MANAGEMENT LIMITED**

**A. Directors**

<b>Name</b>	<b>Address</b>
Brett Peter Cairns	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
Hamish Macquarie Douglass	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
Robert Darius Fraser	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
Paul Anthony Lewis	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
Karen Leslie Phin	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
Hamish McLennan	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia

**B. Officers**

<b>Title</b>	<b>Name</b>	<b>Address</b>
COO	Nerida Mary Campbell	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
COO	Frank Casarotti	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
COO	Gerald Michael Stack	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
COO	Kirsten Elizabeth Morton	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia
Secretary	Geoffrey Keith Stirton	Level 7, 1 Castlereagh Street, Sydney NSW 2000, Australia

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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**ASIC**

Australian Securities & Investments Commission

## Current Company Extract

**Name:** MAGELLAN ASSET MANAGEMENT LIMITED  
**ACN:** 120 593 946

Date/Time: 21 March 2016 AEST 02:57:19 PM

This extract contains information derived from the Australian Securities and Investments Commission's (ASIC) database under section 1274A of the Corporations Act 2001.

Please advise ASIC of any error or omission which you may identify.

EXTRACT  
TO  
ACT



Organisation Details	Document Number
<b>Current Organisation Details</b>	
Name: MAGELLAN ASSET MANAGEMENT LIMITED	023588896
ACN: 120 593 946	
ABN: 31120593946	
Registered in: Victoria	
Registration date: 06/07/2006	
Next review date: 06/07/2016	
Name start date: 27/03/2007	
Status: Registered	
Company type: Australian Public Company	
Class: Limited By Shares	
Subclass: Unlisted Public Company	
**DISCLOSING ENTITY**	

Address Details	Document Number
<b>Current</b>	
Registered address: Level 7, 1-7 Castlereagh Street, SYDNEY NSW 2000	7E1549950
Start date: 09/04/2008	
Principal Place Of Business address: Level 7, 1-7 Castlereagh Street, SYDNEY NSW 2000	7E1549950
Start date: 07/03/2008	

Officeholders and Other Roles	Document Number
<b>Director</b>	
Name: HAMISH MACQUARIE DOUGLASS	1E2436158
Address: 22 Thrupp Street, NEUTRAL BAY NSW 2089	
Born: 21/08/1968, SYDNEY, NSW	
Appointment date: 31/07/2006	
Name: PAUL ANTHONY LEWIS	7E1209687
Address: 13 Reiby Road, HUNTERS HILL NSW 2110	
Born: 23/06/1943, BRIGHTON, UNITED KINGDOM	
Appointment date: 23/07/2007	
Name: BRETT PETER CAIRNS	7E4968050
Address: 120 Old Mandemar Road, BERRIMA NSW 2577	
Born: 26/03/1963, SYDNEY, NSW	
Appointment date: 26/05/2009	
Name: KAREN PHIN	7E5999941
Address: 4B Wentworth Street, POINT PIPER NSW 2027	
Born: 26/09/1968, SYDNEY, NSW	
Appointment date: 23/04/2014	
Name: ROBERT DARIUS FRASER	7E5999941
Address: 6 Cowdroy Avenue, CAMMERAY NSW 2062	
Born: 24/09/1965, SYDNEY, NSW	
Appointment date: 23/04/2014	

**Current Company Extract**

**MAGELLAN ASSET MANAGEMENT LIMITED**

**ACN 120 593 946**

Name: HAMISH ROY MCLENNAN Address: 15 Pearl Bay Avenue, MOSMAN NSW 2088 Born: 13/06/1966, SYDNEY, NSW Appointment date: 01/03/2016	7E7756595
<b>Secretary</b>	
Name: GEOFFREY KEITH STIRTON Address: 45 Stanton Road, HABERFIELD NSW 2045 Born: 24/02/1963, ST LEONARDS, NSW Appointment date: 20/03/2014	7E5980582
<b>Appointed Auditor</b>	
Name: ERNST & YOUNG Address: 680 George Street SYDNEY NSW 2000 Start date: 08/11/2006	024392138
<b>Ultimate Holding Company</b>	
Name: MAGELLAN FINANCIAL GROUP LTD ACN: 108 437 592 ABN: 59108437592	7E1194698

<b>Share Information</b>					
<b>Share Structure</b>					
Class	Description	Number issued	Total amount paid	Total amount unpaid	Document number
ORD	ORDINARY	12500010	12500010.00	0.00	7E1711102

<b>Financial Reports</b>						
Balance date	Report due date	AGM due date	Extended AGM due	AGM held date	Outstanding	Document number
30/06/2007	31/10/2007				no	024392138
30/06/2008	31/10/2008				no	025150989
30/06/2009	31/10/2009				no	026050245
30/06/2010	31/10/2010				no	026481148
30/06/2011	30/09/2011				no	026442983
30/06/2012	30/09/2012				no	7E4736715
30/06/2013	30/09/2013				no	028789280
30/06/2014	30/09/2014				no	029086212
30/06/2015	30/09/2015				no	7E7274559

**Documents**

Note: Where no Date Processed is shown, the document in question has not been processed. In these instances care should be taken in using information that may be updated by the document when it is processed. Where the Date Processed is shown but there is a zero under No Pages, the document has been processed but a copy is not yet available.

## Current Company Extract

MAGELLAN ASSET MANAGEMENT LIMITED

ACN 120 593 946

Date received	Form type	Date processed	Number of pages	Effective date	Document number
30/05/2013	FS02 Copy Of Afs Licence	30/05/2013	24	30/05/2013	0L0310891
01/07/2013	FS88B Pds In-Use Notice - By Body Corporate	01/07/2013	3	01/07/2013	7E5334892
01/07/2013	878 Notice Of Australian Offer Under Foreign Recognition Scheme	01/07/2013	2	01/07/2013	7E5334891
01/07/2013	FS88B Pds In-Use Notice - By Body Corporate	01/07/2013	3	01/07/2013	7E5334904
01/07/2013	878 Notice Of Australian Offer Under Foreign Recognition Scheme	01/07/2013	2	01/07/2013	7E5334902
01/07/2013	FS88B Pds In-Use Notice - By Body Corporate	01/07/2013	3	01/07/2013	7E5334915
01/07/2013	878 Notice Of Australian Offer Under Foreign Recognition Scheme	01/07/2013	2	01/07/2013	7E5334914
01/07/2013	FS88B Pds In-Use Notice - By Body Corporate	01/07/2013	3	01/07/2013	7E5334928
01/07/2013	878 Notice Of Australian Offer Under Foreign Recognition Scheme	01/07/2013	2	01/07/2013	7E5334927
01/07/2013	FS88B Pds In-Use Notice - By Body Corporate	01/07/2013	3	01/07/2013	7E5334943
01/07/2013	878 Notice Of Australian Offer Under Foreign Recognition Scheme	01/07/2013	2	01/07/2013	7E5334942
27/09/2013	388A (FR 2013) Financial Report Financial Report - Public Company Or Disclosing Entity	07/10/2013	38	30/06/2013	028789280
14/10/2013	484A1 Change To Company Details Change Officeholder Name Or Address	14/10/2013	2	14/10/2013	7E5575584
11/04/2014	484E Change To Company Details Appointment Or Cessation Of A Company Officeholder	11/04/2014	2	11/04/2014	7E5980582
11/04/2014	484E Change To Company Details Appointment Or Cessation Of A Company Officeholder	11/04/2014	2	11/04/2014	7E5980603
23/04/2014	484E Change To Company Details Appointment Or Cessation Of A Company Officeholder	23/04/2014	2	23/04/2014	7E5999941
17/06/2014	FS88B Pds In-Use Notice - By Body Corporate	17/06/2014	3	17/06/2014	7E6132544

## Current Company Extract

MAGELLAN ASSET MANAGEMENT LIMITED  
ACN 120 593 946

17/06/2014	878 Notice Of Australian Offer Under Foreign Recognition Scheme	17/06/2014	2	17/06/2014	7E6132543
17/06/2014	FS88B Pds In-Use Notice - By Body Corporate	17/06/2014	3	17/06/2014	7E6132568
17/06/2014	878 Notice Of Australian Offer Under Foreign Recognition Scheme	17/06/2014	2	17/06/2014	7E6132567
17/06/2014	FS88B Pds In-Use Notice - By Body Corporate	17/06/2014	3	17/06/2014	7E6132593
17/06/2014	878 Notice Of Australian Offer Under Foreign Recognition Scheme	17/06/2014	2	17/06/2014	7E6132592
17/06/2014	FS88B Pds In-Use Notice - By Body Corporate	17/06/2014	3	17/06/2014	7E6132605
17/06/2014	878 Notice Of Australian Offer Under Foreign Recognition Scheme	17/06/2014	2	17/06/2014	7E6132603
17/06/2014	FS88B Pds In-Use Notice - By Body Corporate	17/06/2014	3	17/06/2014	7E6132670
17/06/2014	878 Notice Of Australian Offer Under Foreign Recognition Scheme	17/06/2014	2	17/06/2014	7E6132669
23/09/2014	388A (FR 2014) Financial Report Financial Report - Public Company Or Disclosing Entity	09/10/2014	45	30/06/2014	029086212
02/01/2015	FS88B Pds In-Use Notice - By Body Corporate	02/01/2015	3	02/01/2015	7E6627470
02/01/2015	878 Notice Of Australian Offer Under Foreign Recognition Scheme	02/01/2015	2	02/01/2015	7E6627469
02/01/2015	FS88B Pds In-Use Notice - By Body Corporate	02/01/2015	3	02/01/2015	7E6627477
02/01/2015	878 Notice Of Australian Offer Under Foreign Recognition Scheme	02/01/2015	2	02/01/2015	7E6627475
06/02/2015	FR11E Notice Of Exemption/declaration Fsr Licensees Notice Of Exemption S1020f	16/02/2015	2	06/02/2015	027068456
25/02/2015	764K Pds Lodgement	25/02/2015	18	05/03/2015	028827269
04/03/2015	FS88A Pds In-Use Notice - By Afs Licensee	04/03/2015	3	04/03/2015	7E6770198
04/03/2015	878 Notice Of Australian Offer Under Foreign Recognition Scheme	04/03/2015	2	04/03/2015	7E6770197
16/03/2015	FS02 Copy Of Afs Licence	16/03/2015	25	16/03/2015	0L0202776

29/07/2015	764K Pds Lodgement	29/07/2015	34	06/08/2015	028829338
29/07/2015	878 Notice Of Australian Offer Under Foreign Recognition Scheme	29/07/2015	2	06/08/2015	028829339
07/08/2015	FS88A Pds In-Use Notice - By Afs Licensee	07/08/2015	3	07/08/2015	7E7197275
07/08/2015	878 Notice Of Australian Offer Under Foreign Recognition Scheme	07/08/2015	2	07/08/2015	7E7197274
04/09/2015	388A (FR 2015) Financial Report Financial Report - Public Company Or Disclosing Entity	04/09/2015	42	30/06/2015	7E7274559
04/03/2016	484E Change To Company Details Appointment Or Cessation Of A Company Officeholder	04/03/2016	2	04/03/2016	7E7756595

\*\*\*End of Extract of 5 Pages\*\*\*