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STATE OF FLORIDA  
TALLAHASSEE, FLORIDA

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K. SALLY  
EXAMINER  
MAR 17

CORPORATION SERVICE COMPANY  
1201 Hays Street  
Tallahassee, FL 32301  
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 062808 4319383

AUTHORIZATION :

COST LIMIT : \$ 70.00

ORDER DATE : March 15, 2016

ORDER TIME : 8:53 AM

ORDER NO. : 062808-040

CUSTOMER NO: 4319383

FOREIGN FILINGS

NAME: AIG ADVISOR GROUP, INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

       CERTIFIED COPY  
XX        PLAIN STAMPED COPY  
       CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Courtney Williams -- EXT# 62935

EXAMINER: \_\_\_\_\_

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

AIG Advisor Group, Inc.

**SUBJECT:** \_\_\_\_\_  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Abby Henig

\_\_\_\_\_  
Name of Person

AIG Advisor Group, Inc.

\_\_\_\_\_  
Firm/Company

200 Liberty Street, 15th Floor

\_\_\_\_\_  
Address

New York, NY 10281

\_\_\_\_\_  
City/State and Zip code

\_\_\_\_\_  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Abby Henig

212

551-5648

at (\_\_\_\_\_) \_\_\_\_\_

\_\_\_\_\_  
Name of Person

\_\_\_\_\_  
Area Code

\_\_\_\_\_  
Daytime Telephone Number

**STREET/COURIER ADDRESS:**

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee      ☐ \$78.75 Filing Fee & Certificate of Status      ☐ \$78.75 Filing Fee & Certified Copy      ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

AIG Advisor Group, Inc.

1. \_\_\_\_\_  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

\_\_\_\_\_  
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Maryland 3. 86-0623854  
(State or country under the law of which it is incorporated) (FEI number, if applicable)  
4. 12/08/1988 5. perpetual  
(Date of incorporation) (Date of duration, if other than perpetual)

6. \_\_\_\_\_  
upon filing  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 200 Liberty Street, 15th Floor, New York, NY 10281  
(Principal office address)

\_\_\_\_\_  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street  
Tallahassee, Florida 32301  
(City) (Zip code)

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Corporation Service Company

By: Courtney Williams  
(Registered agent's signature)

Courtney Williams  
Asst. Vice President

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: \*See attached

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS**

President: \*See attached

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. \_\_\_\_\_

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Virginia N. Puzon, Asst. Secretary

*Virginia Puzon*

(Typed or printed name and capacity of person signing application)

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**AIG ADVISOR GROUP, INC.**  
**Directors and Officers**  
**As of March 4, 2016**

**DIRECTORS:**

Maginn, Stephen A.

B: 1999 Avenue of the Stars, 27<sup>th</sup> Floor  
Los Angeles, CA 90067  
Tel: (310) 772-6611

**Position**

Director

McGinnis, Erica

(See below)

Director

Nixon, Christine Ann

(See below)

Director

**OFFICERS:**

**Position**

Harbeck, Peter A.

B: Harborside Financial Center  
3200 Plaza 5  
Jersey City, NJ 07311-4992  
Tel: (201) 324-6767

Chairman

McGinnis, Erica

B: 2800 North Central Ave  
Suite 2100, Phoenix, AZ 85004  
Tel: (602) 744-3193

Chief Executive Officer and President

Schlueter, Matthew

B: 2300 Windy Ridge Parkway  
Atlanta, GA 30067  
Tel: (770) 858-6846

Executive Vice President and Chief  
Operating Officer

Chambers, John Bradley

B: 2300 Windy Ridge Parkway  
Suite 1100, Atlanta GA 30339  
Tel: (770) 916-6538

Chief Administrative Officer

Simonson, Mary

B: 2800 N. Central  
Phoenix, AZ 85004  
Tel: (602) 744-3000

Chief Compliance Officer

Clabby, James

B: 2300 Windy Ridge Parkway  
Georgia, GA 30067  
Tel: (770) 690-3695

Chief Information Officer

Sorkin, Noah D.

B: 200 Liberty Street  
New York, New York 10281  
Tel: (212) 551-5133

Executive Vice President and General  
Counsel

Beard, Kevin

B: 200 Liberty St. 15<sup>th</sup> fl.  
NY, NY 10281

Executive Vice President, Recruiting &  
Acquisition Strategy

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Tel: (212) 551-5406

Brown, James B.

B: 200 Liberty Street

New York, New York 10281

Tel: (281) 808-2708

Prater, Helen H.

B: 2300 Windy Ridge Parkway

Suite 1100, Atlanta GA 30339

Tel: (770) 916-6523

Fields, Inger Simone

B: 2300 Windy Ridge Parkway

Suite 1100, Atlanta GA 30339

Tel.: (770) 916-6558

Nixon, Christine Ann

B: 1999 Avenue of the Stars, 27<sup>th</sup> Floor

Los Angeles, CA 90067

Tel: (310) 772-6606

Cotton Hearne, Julie A.

B: 2919 Allen Parkway

Houston, TX 77019

Tel: (713) 831-3558

Puzon, Virginia

B: 1999 Avenue of the Stars, 27<sup>th</sup> Floor

Los Angeles, CA 90067

Tel: (310) 772-6541

Spires, Thomas C.

B: 2727A Allen Parkway

Houston, TX 77019

Tel: (713) 831-2339

Kolbert, William C.

B: 50 Danbury Road

Wilton, CT 06897

Tel: (203) 529-9201

Executive Vice President

Senior Vice President, Operations

Treasurer

Secretary

Assistant Secretary

Assistant Secretary

Vice President, Tax Officer

Senior Vice President and Business  
Information Officer

**STATE OF MARYLAND**  
**Department of Assessments and Taxation**

I, HEIDI DUDDERAR OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO THE FORFEITURE OR SUSPENSION OF CORPORATIONS, OR THE RIGHTS OF CORPORATIONS TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT AIG ADVISOR GROUP, INC., INCORPORATED DECEMBER 08, 1988, IS A CORPORATION DULY INCORPORATED AND EXISTING UNDER AND BY VIRTUE OF THE LAWS OF MARYLAND AND THE CORPORATION HAS FILED ALL ANNUAL REPORTS REQUIRED, HAS NO OUTSTANDING LATE FILING PENALTIES ON THOSE REPORTS, AND HAS A RESIDENT AGENT. THEREFORE, THE CORPORATION IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING WITH THIS DEPARTMENT AND DULY AUTHORIZED TO EXERCISE ALL THE POWERS RECITED IN ITS CHARTER OR CERTIFICATE OF INCORPORATION, AND TO TRANSACT BUSINESS IN MARYLAND.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS MARCH 16, 2016.



Heidi Dudderar  
Associate Director

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SECRETARY OF STATE  
ALLAHASSET, FLORIDA



301 West Preston Street, Baltimore, Maryland 21201  
Telephone Balto. Metro (410) 767-1340 / Outside Balto. Metro (888) 246-5941  
MRS (Maryland Relay Service) (800) 735-2258 TT/Voice