

F16000000802

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

(Business Entity Name)

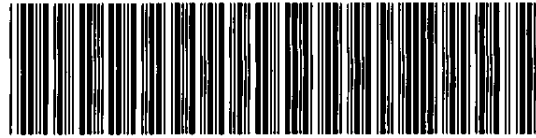
(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

647
W16-12868

Office Use Only



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FILED
FEB 23 AM 10:04
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

02/19/16--01012--004 **103.75

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FEB 19 AM 10:02
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TALLAHASSEE, FLORIDA

RECEIVED
DEPARTMENT OF STATE
FEB 19 PM 12:12

FEB 24 2016
S. YOUNG



FLORIDA DEPARTMENT OF STATE
Division of Corporations

February 22, 2016

SUNSHINE CORPORATE FILING OF FLORIDA INC

SUBJECT: WINTRUST ASSET FINANCE INC.
Ref. Number: W16000012868

Attached
Please refer

We have received your document for WINTRUST ASSET FINANCE INC. and your check(s) totaling \$103.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Shelia H Young
Regulatory Specialist II

Letter Number: 116A00003569

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16 FEB 23 AM 11:58

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CORPORATION SERVICES

SUNSHINE CORPORATE FILING OF FLORIDA INC.

3458 Lakeshore Drive
Tallahassee, Florida 32312
(850) 656-4724
Toll Free: 844-541-6792

DATE: 2-19-16

WALK IN

ENTITY NAME: Wintrust Asset Finance, Inc

****PLEASE FILE THE ATTACHED AND RETURN:****

☐ Plain Copy

☒ Certified Copy

****PLEASE OBTAIN THE FOLLOWING FOR THE ABOVE ENTITY:****

Document Number: _____

☐ Certified Copy of Arts & Amendments

☐ Certificate of Good Standing

****APOSTILLE/NOTARIAL CERTIFICATION:****

COUNTRY OF DESTINATION _____

NUMBER OF CERTIFICATES REQUESTED _____

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TALLAHASSEE, FLORIDA

TOTAL AMOUNT OWED: 78.75

CHECK NUMBER: 2283

PLEASE CONTACT TINA AT 850-508-1891 FOR ANY PROBLEMS OR INFORMATION ON THIS MATTER.

Thank you!

Tina Goff, President

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Wintrust Asset Finance Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Name of Person

Firm/Company

Address

City/State and Zip code

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Name of Person at (_____) _____
Area Code Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☒ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Wintrust Asset Finance Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- N/A
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Delaware 3. _____
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. June 30, 2015 5. Perpetual
(Date of incorporation) (Date of duration, if other than perpetual)
6. March 1, 2016
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 2801 Network Boulevard, Suite 505, Frisco, Texas 75034
(Principal office address)
- _____
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Registered Agent Solutions, Inc.

Office Address: 155 Office Plaza Drive, Suite A

Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Vionya Gideon, Asst. Sec.
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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16 FEB 19 AM 10:00
TALLAHASSEE, FLORIDA
SECRETARY OF STATE

11. Names and business addresses of officers and/or directors: **Please see Exhibit A attached hereto and made a part hereof.**

A. DIRECTORS

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. Ronald Horner, Secretary
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Ronald Horner, Secretary

(Typed or printed name and capacity of person signing application)

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16 FEB 19 AM 10 02
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

EXHIBIT A

11. Names and business addresses of officers and/or directors:

Directors

Michael Knowles, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Richard Murphy, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

William Cordes, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Kirk Phillips, 2801 Network Boulevard, Suite 505, Frisco, TX 75034

Richard Dunbar, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Kristine Reynolds, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Delbert Wilkins, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Raymond Kratzer, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Corporate Officers

Michael Knowles, President and Chief Executive Officer, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Kirk Phillips, Executive Vice President, 2801 Network Boulevard, Suite 505, Frisco, TX 75034

Richard Dunbar, Executive Vice President, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Timothy Biggam, Vice President-Finance and Assistant Secretary, 9700 W. Higgins Road, 8th Floor, Rosemont, IL 60018

Ronald Horner, Secretary, 2801 Network Boulevard, Suite 505, Frisco, TX 75034

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TALLAHASSEE, FLORIDA

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "WINTRUST ASSET FINANCE INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTH DAY OF FEBRUARY, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "WINTRUST ASSET FINANCE INC." WAS INCORPORATED ON THE THIRTIETH DAY OF JUNE, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.


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16 FEB 19 AM 10:02
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



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SR# 20160632432

You may verify this certificate online at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State

Authentication: 201788959

Date: 02-05-16