

F16000000756

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

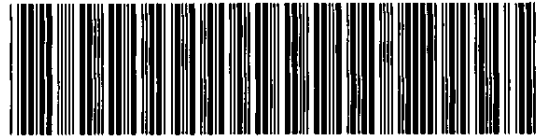
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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16 FEB 19 AM 9:25  
TALLAHASSEE, FLORIDA

RECEIVED  
DEPARTMENT OF STATE  
16 FEB 19 AM 10:54

FEB 22 2016

Y SULKER

CORPORATION SERVICE COMPANY  
1201 Hays Street  
Tallahassee, FL 32301  
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 022351 7548888

AUTHORIZATION :

COST LIMIT : \$ 1,020.00

ORDER DATE : February 18, 2016

ORDER TIME : 8:55 AM

ORDER NO. : 022351-005

CUSTOMER NO: 7548888

FOREIGN FILINGS

NAME: VAN ECK ASSOCIATES CORPORATION

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

       CERTIFIED COPY  
XX        PLAIN STAMPED COPY  
       CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Courtney Williams -- EXT# 62935

EXAMINER: \_\_\_\_\_

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** VAN ECK ASSOCIATES CORPORATION

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Name of Person

Firm/Company

Address

City/State and Zip code

mstevenson@shutts.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Name of Person at ( ) Area Code Daytime Telephone Number

**STREET/COURIER ADDRESS:**

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

**VAN ECK ASSOCIATES CORPORATION**

1. \_\_\_\_\_  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 13-3210061  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. March 30, 1984 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)

6. December 1, 2013  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 666 Third Avenue, New York, NY 10017  
(Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Lee Nelson

Office Address: 4301 W. Boy Scout Blvd. Ste. 300

Tampa, Florida 33607  
(City) (Zip code)

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

\_\_\_\_\_  
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TAMPA, FLORIDA

11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Robert R. Grusky  
Address: 666 Third Avenue  
New York, NY 10017

Vice Chairman: William A. Ullman  
Address: 666 Third Avenue  
New York, NY 10017

Director: Jan van Eck  
Address: 666 Third Avenue  
New York, NY 10017

Director: Bruce J. Smith  
Address: 666 Third Avenue  
New York, NY 10017

**B. OFFICERS**

President: Jan van Eck  
Address: 666 Third Avenue  
New York, NY 10017

Vice President: John J. Crimmins  
Address: 666 Third Avenue  
New York, NY 10017

Secretary: Jonathan R. Simon  
Address: 666 Third Avenue, New York, NY 10017

Treasurer: Bruce J. Smith  
Address: 666 Third Avenue, New York, NY 10017

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12.   
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Wu-Kwan Kit, Assistant Vice President  
(Typed or printed name and capacity of person signing application)

**ADDENDUM  
TO  
APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO  
TRANSACT  
BUSINESS IN FLORIDA**

VAN ECK ASSOCIATES CORPORATION  
ADDITIONAL OFFICERS

Senior Vice President: Susan Marino, 666 Third Avenue, New York, NY 10017

Senior Vice President: Catherine Cardaci, 666 Third Avenue, New York, NY 10017

Vice President: Susan C. Lashley, 666 Third Avenue, New York, NY 10017

Vice President, Global Head of Human Resources: Megan Rapple, 666 Third Avenue, New York, NY 10017

Vice President, Global Head of Compliance and Chief Compliance Officer: Janet Squitieri, 666 Third Avenue, New York, NY 10017

Vice President and Chief Administrative Officer: John Wolfe, 666 Third Avenue, New York, NY 10017

Controller: Lee Rappaport, 666 Third Avenue, New York, NY 10017

Assistant Vice President, Associate General Counsel and Assistant Secretary: Laura I. Martinez, 666 Third Avenue, New York, NY 10017

Assistant Vice President, Assistant General Counsel and Assistant Secretary: Wu-Kwan Kit, 666 Third Avenue, New York, NY 10017

Assistant Vice President: Russell Brennan, 666 Third Avenue, New York, NY 10017

Assistant Vice President: Peter McGuire, 666 Third Avenue, New York, NY 10017

Assistant Vice President: Andrew Tilzer, 666 Third Avenue, New York, NY 10017

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# Delaware

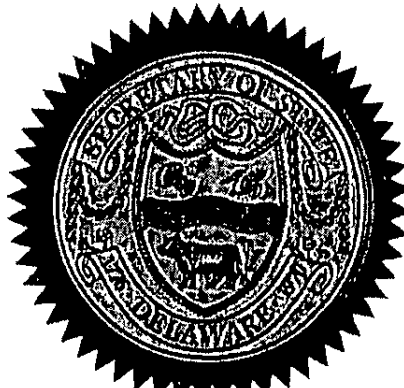
The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "VAN ECK ASSOCIATES CORPORATION" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FOURTH DAY OF NOVEMBER, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "VAN ECK ASSOCIATES CORPORATION" WAS INCORPORATED ON THE THIRTIETH DAY OF MARCH, A.D. 1984.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



2031857 8300

SR# 20151066347

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 10487001

Date: 11-24-15