

FIS000005225

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

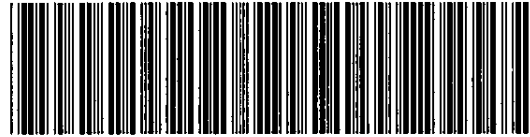
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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2015 NOV 24 P 2:37
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FILED

NOV 25 2015

S MASON

INTERACTIVE BROKERS LLC
1 Pickwick Plaza
Greenwich, CT 06830
Tel: (203)618-5800 Fax: (203)618-7731

November 19, 2015

Florida Department of State
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Re: Interactive Brokers Group, Inc. Registration

Dear Sir/Madam:

Interactive Brokers LLC is releasing the name to Interactive Brokers Group, Inc. so that Interactive Brokers Group Inc. can conduct business in Florida. Please note that Interactive Brokers LLC, Interactive Brokers Corp. and Interactive Brokers, Inc. are all affiliated companies. I have attached a copy of our organization chart for your review.

If you have any questions or require any further information, please do not hesitate to contact me at 203-618-5992 or via e-mail at cochoa@interactivebrokers.com.

Sincerely,



Carina Ochoa
Compliance Counsel
Enclosures

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Interactive Brokers Group, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Carina Ochoa

Name of Person

Interactive Brokers Group, Inc.

Firm/Company

1 Pickwick Plaza

Address

Greenwich, CT 06830

City/State and Zip code

dmadonia@interactivebrokers.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Carina Ochoa

203

618-5992

at ()

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☒ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Interactive Brokers Group, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Delaware 3. 30-0390693
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 11/14/2006 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)
6. Not Applicable
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. One Pickwick Plaza, Greenwich, CT 06830
(Principal office address)
- _____
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: CORPORATION SERVICE COMPANY


Office Address: 1201 HAYS STREET

TALLAHASSEE, Florida 32301
(City) (Zip code)

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TALLAHASSEE, FLORIDA

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

 ASSI SECRETARY
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Thomas Peterffy
Address: 1 Pickwick Plaza, Greenwich, CT 06830

Vice Chairman: Earl H. Nemser
Address: 1 Pickwick Plaza, Greenwich, CT 06830

Director: Milan Galik
Address: 1 Pickwick Plaza, Greenwich, CT 06830

Director: Paul J. Brody
Address: 8 Greenwich Office Park, Greenwich, CT 06831

B. OFFICERS

President: Milan Galik
Address: 1 Pickwick Plaza, Greenwich, CT 06830

Vice President: N/A
Address:

Secretary: Paul J. Brody
Address: 8 Greenwich Office Park, Greenwich, CT 06831

Treasurer: and Chief Financial Officer- Paul J. Brody
Address: 8 Greenwich Office Park, Greenwich, CT 06831

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. EARL H. Nemser, vice chairman
(Typed or printed name and capacity of person signing application)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**State of Delaware**

SECRETARY OF STATE
DIVISION OF CORPORATIONS
P.O. BOX 898
DOVER, DELAWARE 19903

9630371

10-21-2015

INTERACTIVE BROKERS GROUP LLC
ONE PICKWICK PLAZA
GREENWICH, CT 06830

ATTN: CARINA OCHOA

DESCRIPTION**AMOUNT**

4251887 - INTERACTIVE BROKERS GROUP, INC.

Entity Status - Long Form

Certification Fee **\$175.00**

TOTAL CHARGES **\$175.00**

TOTAL PAYMENTS **\$175.00**

BALANCE **\$0.00**

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT "INTERACTIVE BROKERS GROUP, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE NOT HAVING BEEN CANCELLED OR DISSOLVED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.

THE FOLLOWING DOCUMENTS HAVE BEEN FILED:

CERTIFICATE OF INCORPORATION, FILED THE FOURTEENTH DAY OF NOVEMBER, A.D. 2006, AT 4:52 O`CLOCK P.M.

RESTATED CERTIFICATE, FILED THE FOURTH DAY OF APRIL, A.D. 2007, AT 3:41 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE AFORESAID CORPORATION, "INTERACTIVE BROKERS GROUP, INC.".



4251887 8310

SR# 20150328916

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 10282484

Date: 11-12-15

[illegible]