

7500005193

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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PICK-UP

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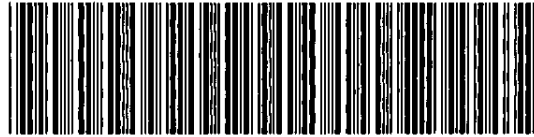
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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2015 NOV 20 PM 5:05
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TALLAHASSEE, FLORIDA

NOV 24 2015
S. YOUNG

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 878891 7582331

AUTHORIZATION :

COST LIMIT : \$ 70.00

ORDER DATE : November 19, 2015

ORDER TIME : 10:56 AM

ORDER NO. : 878891-045

CUSTOMER NO: 7582331

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FOREIGN FILINGS

NAME: CITIZENS CAPITAL MARKETS, INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Courtney Williams -- EXT# 62935

EXAMINER: _____

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Citizens Capital Markets, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Gary A. Ashjian

Name of Person

Citizens Capital Markets, Inc.

Firm/Company

28 State Street

Address

Boston, MA 02109

City/State and Zip code

gary.ashjian@citizensbank.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Name of Person

at (_____) _____
Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Citizens Capital Markets, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Massachusetts 3. 04-3338220
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 12/09/1996 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)
6. Upon filing
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 28 State Street, Boston, MA 02109
(Principal office address)
- _____
(Current mailing address, if different)
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: Corporation Service Company
- Office Address: 1201 Hays Street
- Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: _____

(Registered agent's signature)

Courtney Williams
Asst. Vice President

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: *See attached Exhibit B

Address:

Vice Chairman:

Address:

Director:

Address:

Director:

Address:

B. OFFICERS

President: *See attached Exhibit A

Address:

Vice President:

Address:

Secretary:

Address:

Treasurer:

Address:

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Gary A. Ashjian, VP/Secretary

(Typed or printed name and capacity of person signing application)

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EXHIBIT A - Officers

<u>Officer</u>	<u>Title</u>	<u>Address</u>
Robert Rubino	EVP and President	28 State Street, Boston, MA 02109
Robert Nelson	Executive Vice President	28 State Street, Boston, MA 02109
Greg Suchy	EVP and COO	28 State Street, Boston, MA 02109
David Clark	Executive Vice President	28 State Street, Boston, MA 02109
Phillip Robbins	Senior Vice President	28 State Street, Boston, MA 02109
William Casserly	SVP, Treasurer and CFO	900 Elm Street, Manchester, NH 03101
Theodore Swimmer	Executive Vice President	28 State Street, Boston, MA 02109
Gary Aswad	Executive Vice President	28 State Street, Boston, MA 02109
Claire Costa	EVP and Assistant Treasurer	100 Sockanosset Cross Rd., Cranston, RI 02920
Gary A. Ashjian	VP and Secretary	28 State Street, Boston, MA 02109

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EXHIBIT B - Directors

Director

Address

Robert Rubino (Chairman)

28 State Street, Boston, MA 02109

William Casserly

900 Elm Street, Manchester NH 03101

David Clark

28 State Street, Boston, MA 02109

Phillip Robbins

28 State Street, Boston, MA 02109

Gary Aswad

28 State Street, Boston, MA 02109

Greg Suchy

28 State Street, Boston, MA 02109

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TALLAHASSEE, FLORIDA



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

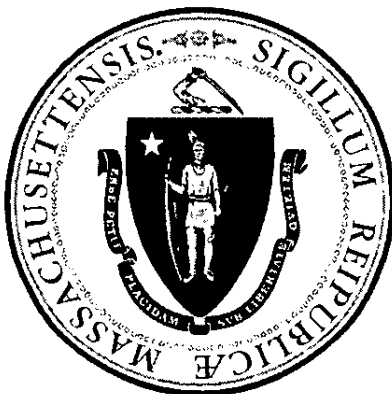
Date: November 19, 2015

To Whom It May Concern :

I hereby certify that according to the records of this office,

CITIZENS CAPITAL MARKETS, INC.

is a domestic corporation organized on **December 09, 1996** , under the General Laws of the Commonwealth of Massachusetts. I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 156D section 14.21 for said corporation's dissolution; that articles of dissolution have not been filed by said corporation; that, said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.



In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

William Francis Galvin

Secretary of the Commonwealth

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Certificate Number: 15116390310

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

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