

F15000004365

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

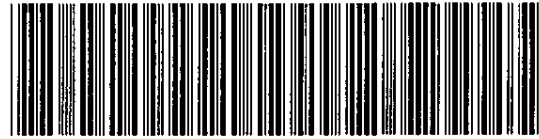
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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TO AGENCY OF
SECRETARY OF FLORIDA

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RECEIVED
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ALLAHASSEE, FLORIDA

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OCT 02 2015

S MASON

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195
REFERENCE : 817014 4335239
AUTHORIZATION : 
COST LIMIT : \$ 87.50

ORDER DATE : October 1, 2015
ORDER TIME : 3:03 PM
ORDER NO. : 817014-005
CUSTOMER NO: 4335239

FOREIGN FILINGS

NAME: SIGNATURE SECURITIES GROUP
CORPORATION

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX CERTIFIED COPY
 PLAIN STAMPED COPY
XX CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Melissa Zender -- EXT# 62956

EXAMINER: _____

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: SIGNATURE SECURITIES GROUP CORPORATION
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:
Brett P. Garver, Esq.

	Name of Person
Moritt Hock & Hamroff LLP	
	Firm/Company
400 Garden City Plaza	
	Address
Garden City, NY 11530	
	City/State and Zip code
notices@signatureny.com	
	E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Brett Garver	at (516)	873-2000
Name of Person	Area Code	Daytime Telephone Number

STREET/COURIER ADDRESS:
Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. SIGNATURE SECURITIES GROUP CORPORATION

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. NEW YORK 3. 13-4120476
(State or country under the law of which it is incorporated) (FEI number, if applicable)
May 26, 2000

4. (Date of incorporation) 5. (Date of duration, if other than perpetual)

6. (Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

29 West 38th Street, 9th Floor, New York, NY 10018
7. (Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company
1201 Hays Street

Office Address: Tallahassee, Florida 32301
(City) (Zip code)

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9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Michael Cambareri
Asst. Vice President
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Scott Shay
Address: 29 West 38th Street, 9th Floor, New York, NY 10018

Vice Chairman: _____
Address: _____

Director: Joseph DePaolo
Address: 29 West 38th Street, 9th Floor, New York, NY 10018

Director: Eric Howell
Address: 29 West 38th Street, 9th Floor, New York, NY 10018

B. OFFICERS

President: William Maguire, Jr.
Address: 29 West 38th Street, 9th Floor, New York, NY 10018

Vice President: See annexed addendum
Address: _____

Secretary: _____
Address: _____

Treasurer: _____
Address: _____

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NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. William Maguire, Jr. President and CEO
(Typed or printed name and capacity of person signing application)

SIGNATURE SECURITIES GROUP CORPORATION

**Addendum Application By Foreign Corporation For Authorization To Transact
Business In Florida**

Additional Directors:

Name:	Title	Address
John Tamberlane	Director	29 West 38 th Street, 9 th Floor New York, New York 10018

Officers:

Name:	Title	Address
Catherine Shipley-Burns	Chief Compliance Officer	29 West 38 th Street, 9 th Floor New York, New York 10018
Jonathan Roberts	Chief Financial Officer	29 West 38 th Street, 9 th Floor New York, New York 10018
Ivor O'keefe	Chief Operating officer	29 West 38 th Street, 9 th Floor New York, New York 10018

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**State of New York
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of SIGNATURE SECURITIES GROUP CORPORATION was filed on 05/26/2000, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 30th day of September
two thousand and fifteen.*

Anthony Giardina

Anthony Giardina
Executive Deputy Secretary of State