

F15000003719

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TALLAHASSEE, FLORIDA

AUG 24 2015

S. YOUNG



FLORIDA DEPARTMENT OF STATE
Division of Corporations

August 11, 2015

CARINA OCHOA
1 PICKWICK PLAZA
GREENWICH, CT 06830

SUBJECT: INTERACTIVE BROKERS CORP.
Ref. Number: W15000054029

We have received your document for INTERACTIVE BROKERS CORP. and your check(s) totaling \$87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name designated in your document is unavailable since it is the same as, or it is not distinguishable from the name of an existing entity.

Please select a new name and make the correction in all appropriate places. One or more major words may be added to make the name distinguishable from the one presently on file.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Shelia H Young
Regulatory Specialist II

Letter Number: 615A00016930

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INTERACTIVE BROKERS LLC
1 Pickwick Plaza
Greenwich, CT 06830
Tel: (203)618-5800 Fax: (203)618-7731

August 20, 2015

Florida Department of State
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Re: Interactive Brokers Corp. Registration
Response to Letter No. 615A00016930

Dear Sir/Madam:

In response to your letter dated August 11, 2015, please note that Interactive Brokers Corp. is owned by Interactive Brokers LLC. Interactive Brokers LLC is releasing the name to Interactive Brokers Corp. so that Interactive Brokers Corp. can conduct business in Florida. I have attached a copy of our organization chart for your review.

If you have any questions or require any further information, please do not hesitate to contact me at 203-618-5992 or via e-mail at cochoa@interactivebrokers.com.

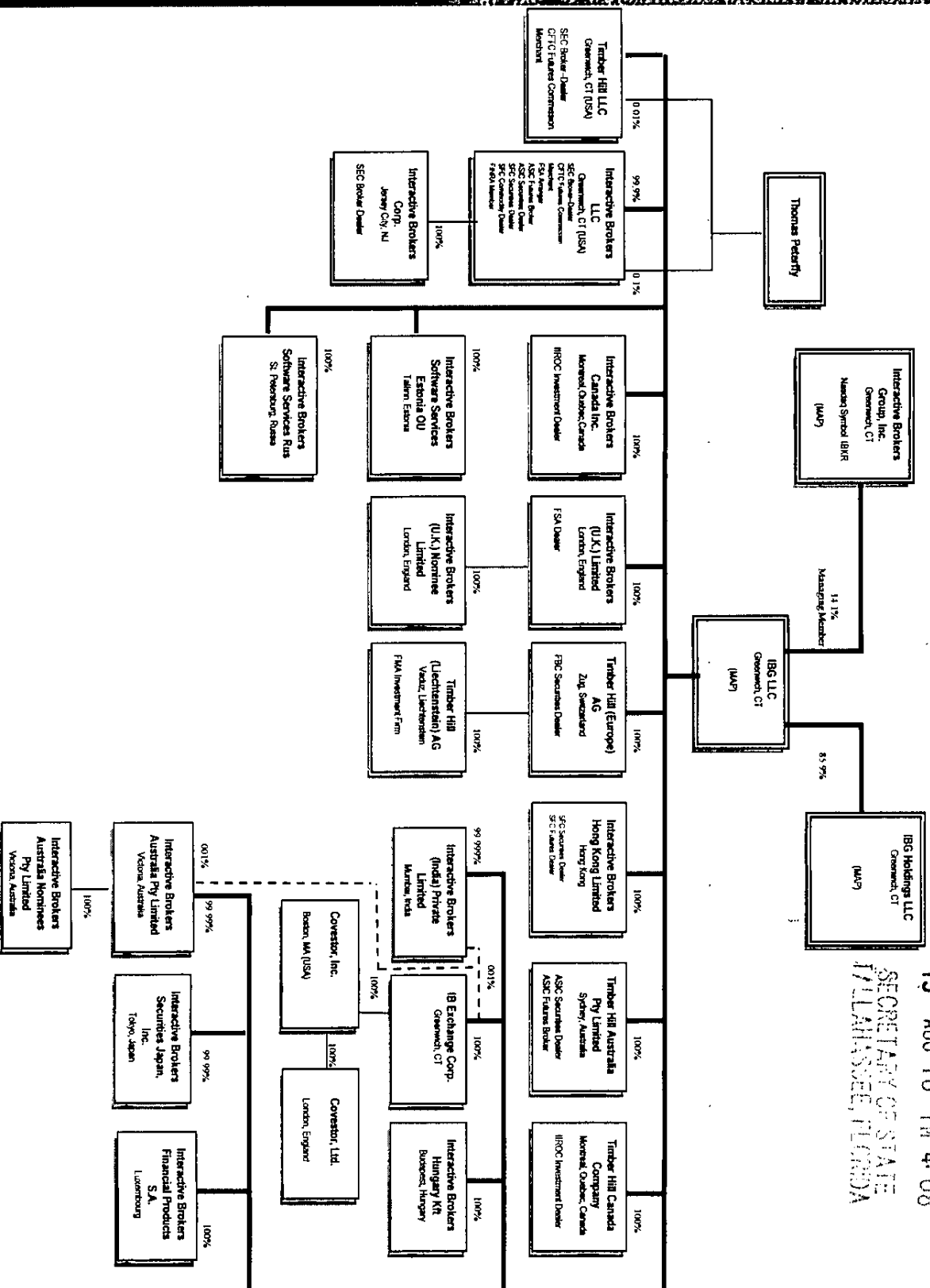
Sincerely,



Carina Ochoa
Compliance Counsel
Enclosures

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TALLAHASSEE, FLORIDA

五、六、七、八、九

SECRETARY OF STATE
 WASHINGTON, D.C. 20520-1204

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Thomas Peterffy

Address: 1 Pickwick Plaza

Greenwich, CT 06830

Managing

Director: Jonathan Chait

Address: Gotthardstrasse 3, Zug, Switzerland, CH-6300

Director: Paul J. Brody

Address: 8 Greenwich Office park

Greenwich, CT 06831

Director: David Battan

Address: 1725 I St., NW, Suite 300

Washington, D.C. 20006

B. OFFICERS

President: Thomas Peterffy

Address: 1 Pickwick Plaza

Greenwich, CT 06830

Vice President: Steve J. Sanders, Exec. Vice President of Sales and Marketing

Address: 8 Greenwich Office Park

Greenwich CT 06831

Secretary: Paul J. Brody

Address: 8 Greenwich Office Park, Greenwich, CT 06831

Treasurer: Susan J. Cramer

Address: 8 Greenwich Office Park, Greenwich CT 06831

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Bradford L. Jacobowitz, Associate General Counsel

(Typed or printed name and capacity of person signing application)

Addendum to Application By Foreign Corporation For Authorization To Transact Business In
Florida

11B- OFFICERS

Chief Compliance Officer- Arnold J. Feist

Address: 1 Pickwick Plaza, Greenwich, CT 06830

Associate General Counsel- Bradford L. Jacobowitz

Address: 1 Pickwick Plaza, Greenwich, CT 06830

Chief Financial Officer- Alexander M. Ioffe

8 Greenwich Office Park, Greenwich, CT 06831

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TALLAHASSEE, FLORIDA

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT "INTERACTIVE BROKERS CORP." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE NOT HAVING BEEN CANCELLED OR DISSOLVED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.

THE FOLLOWING DOCUMENTS HAVE BEEN FILED:

CERTIFICATE OF INCORPORATION, FILED THE TWENTY-SIXTH DAY OF MARCH, A.D. 2008, AT 10:25 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "INTERACTIVE BROKERS CORP." TO "FUTURETRADE SECURITIES CORP.", FILED THE TWENTIETH DAY OF MAY, A.D. 2008, AT 11 O'CLOCK A.M.

CERTIFICATE OF MERGER, FILED THE TWENTY-SECOND DAY OF DECEMBER, A.D. 2008, AT 11:20 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "FUTURETRADE SECURITIES CORP." TO "INTERACTIVE BROKERS CORP.", FILED THE SIXTEENTH DAY OF JANUARY, A.D. 2009, AT 12 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE

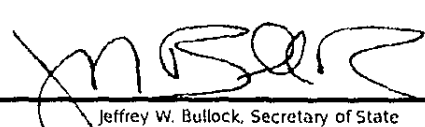
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Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2556072

DATE: 07-14-15

Delaware

PAGE 2

The First State

AFORESAID CORPORATION, "INTERACTIVE BROKERS CORP.".

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE
BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES
HAVE BEEN PAID TO DATE.


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Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2556072

DATE: 07-14-15