

F 15000002127

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

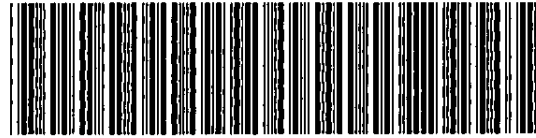
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



400269839464

RECEIVED
DEPARTMENT OF STATE
15 MAY 13 AM 10:55

FILED
DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA
15 MAY 13 AM 8:36

5/18/15

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 627168 8023482

AUTHORIZATION

Lydia Cohen

COST LIMIT : \$728.75

ORDER DATE : May 13, 2015

ORDER TIME : 9:41 AM

ORDER NO. : 627168-005

CUSTOMER NO: 8023482

FOREIGN FILINGS

NAME: YADKIN BANK INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
XX CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Lydia Cohen -- EXT# 62974

EXAMINER: _____

FILED
15 MAY 13 AM 8 36
TALLAHASSEE, FLORIDA

COVER LETTER.

TO: New Filing Section
Division of Corporations

SUBJECT: Yadkin Bank

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Nancy Snow

Name of Person

Yadkin Bank

Firm/Company

3600 Glenwood Ave., Suite 300

Address

Raleigh, NC 27612

City/State and Zip code

nancy.snow@yadkinbank.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jonathan Hornaday

at (919) 659-9005

Name of Person

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☒ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

FILED
15 MAY 13 AM 8:36
TALLAHASSEE, FL 32301



FLORIDA DEPARTMENT OF STATE
Division of Corporations

May 14, 2015

CORPORATION SERVICE COMPANY
ATTN: LYDIA COHEN

SUBJECT: YADKIN BANK
Ref. Number: W15000034128

RESUBMIT

Please give original
submission date as file date.

RECEIVED
DEPARTMENT OF STATE
15 MAY 15 PM 1:57

We have received your document for YADKIN BANK and the authorization to debit your account in the amount of \$78.75. However, the document has not been filed and is being returned for the following:

The name must contain a word that will clearly indicate that it is a corporation. Such words include: CORPORATION, CORP., COMPANY, CO., INC., and INCORPORATED.

According to the application submitted to this office, this entity transacted business in the state of Florida before properly registering with the Florida Department of State, Division of Corporations. Consequently, a \$500 civil penalty and an annual report filing fee for each year the entity failed to properly file a Florida annual report are due this office. Based on the date entered on the application, the civil penalty and annual report filing fees total \$650.00.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6052.

Claretha Golden
Regulatory Specialist II
New Filing Section

Letter Number: 615A00010102

FILED
15 MAY 13 AM 8:36
DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA



FLORIDA OFFICE OF FINANCIAL REGULATION

www.FLOFR.com

DREW J. BREAKSPEAR
COMMISSIONER

April 24, 2015

Mr. Jonathan Hornaday
3600 Glenwood Avenue
Suite 300
Raleigh, NC 27612

Re: Yadkin Bank

Dear Mr. Hornaday:

Reference is made to your recent letter requesting approval to register the above-referenced fictitious name with the Florida Secretary of State by Yadkin Bank, which is a North Carolina state-chartered bank.

Section 655.922, Florida Statutes, exempts a financial institution, holding company or its subsidiaries from the prohibition of using the word "bank," "banco," "banque," "banker," "banking," "trust company," "savings and loan association," "savings bank," or "credit union," or words of similar import, in any context or in any manner in its corporate name. Therefore, this Office will not object to the use of the above referenced name being registered to transact business in the state of Florida. However, this correspondence is not intended to grant the authority to act in any licensed capacity until all licensing requirements have been met within this state.

Sincerely,

A handwritten signature in dark ink, appearing to read "M. Barry Gilman".

M. Barry Gilman
Director
Division of Financial Institutions

FILED
15 MAY 13 AM 8:36
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

BG:dib

cc: Brenda Tadlock, Chief, Bureau of Commercial Recordings, Division of Corporations,
Department of State

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Yadkin Bank Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. North Carolina 3. 56-0905827
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 09/16/1968 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. 10/01/2014
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 325 East Front Street, Statesville, NC 28677
(Principal office address)
- P. O. Drawer 7109, Statesville, NC 28687
(Current mailing address)
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: Corporation Service Company
- Office Address: 1201 Hays Street
- Tallahassee, Florida 32301
(City) (Zip code)

FILED
15 MAY 13 AM 8:36
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: Jane S. Kraye

(Registered agent's signature)

Jane S. Kraye, Assistant VP

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

FILED

15 MAY 13 AM 8:36

A. DIRECTORS

Chairman: Joseph H. Towell, Executive Chairman

Address: 300 E. Broad St.
Statesville, NC 28677

DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA

Vice Chairman: J. Adam Abram, Lead Independent Director

Address: 3600 Glenwood Ave., Suite 310
Raleigh, NC 27612

Director: (Additional Directors Listed on Attached)

Address:

Director:

Address:

B. OFFICERS

President: Scott M. Custer

Address: 3600 Glenwood Ave., Suite 300
Raleigh, NC 27612

Vice President: Wm. Mark DeMarcus, Executive Vice President & Chief Operating Officer

Address: 300 E. Broad St.
Statesville, NC 28677

Secretary: Nancy Snow

Address: 3600 Glenwood Ave., Suite 300, Raleigh, NC 27612

Treasurer: Terry S. Earley, Executive Vice President & Treasurer/Chief Financial Officer

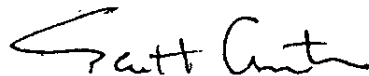
Address: 3600 Glenwood Ave., Suite 300, Raleigh, NC 27612

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. _____
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Scott M. Custer, President & Chief Executive Officer



(Typed or printed name and capacity of person signing application)



| Board of Directors | | | | |
|---|----------------------------------|--------------|-------|-------|
| Name | Street | City | State | Zip |
| J. Adam Abram, Lead Independent Director | 3600 Glenwood Ave., Suite 310 | Raleigh | NC | 27612 |
| David S. Brody | 2120 N. Queen St. | Kinston | NC | 28501 |
| Alan N. Colner | 26 Meadow Rd. | Scarsdale | NY | 10583 |
| Scott M. Custer | 3600 Glenwood Ave., Suite 300 | Raleigh | NC | 27612 |
| Harry M. Davis | 744 Appalachian Dr. | Boone | NC | 28607 |
| Thomas J. Hall | 2701 Coltsgate Rrd., Suite 300 | Charlotte | NC | 28211 |
| James A. Harrell, Jr. | 835 Claremont Center Dr. | Elkin | NC | 28621 |
| Larry S. Helms | 319A Unionville Indian Trail Rd. | Indian Trail | NC | 28079 |
| Dan W. Hill, III | 4519 Chicopee Trail | Durham | NC | 27707 |
| Thierry Ho | 9 West 57th St., 31st Floor | New York | NY | 10019 |
| Steven J. Lerner | 110 Sandy Creek Trail | Chapel Hill | NC | 27514 |
| Harry C. Spell | 241 Kenway Loop | Mooresville | NC | 28117 |
| Joseph H. Towell, Exec. Chairman | 300 E. Broad St. | Statesville | NC | 28677 |
| Nicolas D. Zerbib | 20 Horseneck Lane | Greenwich | CT | 06830 |

| Officers | | | | |
|--|-------------------------------|-------------|-------|-------|
| Name | Street | City | State | Zip |
| Joseph H. Towell, Exec. Chairman | 300 E. Broad St. | Statesville | NC | 28677 |
| Scott M. Custer, President & CEO | 3600 Glenwood Ave., Suite 300 | Raleigh | NC | 27612 |
| Wm. Mark DeMarcus EVP & COO | 300 E. Broad St. | Statesville | NC | 28677 |
| Terry S. Earley, EVP & Treas./CFO | 3600 Glenwood Ave., Suite 300 | Raleigh | NC | 27612 |
| Steve W. Jones, EVP & CBO | 3600 Glenwood Ave., Suite 300 | Raleigh | NC | 27612 |
| Edwin H. Shuford, EVP & CCO | 300 E. Broad St. | Statesville | NC | 28677 |
| Nancy Snow, Corp. Secretary | 3600 Glenwood Ave., Suite 300 | Raleigh | NC | 27612 |
| Patricia H. Wooten, VP & Asst. Corp. Secretary | 300 E. Broad St. | Statesville | NC | 28677 |

FILED
 15 MAY 13 AM 8:36
 CLERK OF STATE
 TALLAHASSEE, FLORIDA



NORTH CAROLINA

Department of the Secretary of State

CERTIFICATE OF EXISTENCE

I, Elaine F. Marshall, Secretary of State of the State of North Carolina, do hereby certify that

YADKIN BANK

is a bank duly incorporated under Chapter 53 and Chapter 55 of the North Carolina General Statutes, having been incorporated on the 16th day of September, 1968 with its period of duration being Perpetual.

I FURTHER certify that, as of the date set forth hereunder, the articles of incorporation of Yadkin Bank not suspended for failure to comply with the Revenue Act of the State of North Carolina; that the said corporation is not administratively dissolved for failure to comply with the provisions of the North Carolina Business Corporation Act; and that the said corporation has not filed articles of dissolution as of the date of this certificate.

FILED
15 MAY 13 AM 8:36
SECRETARY OF STATE
RALEIGH, N.C. 27601
FLORIDA

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Raleigh, this 2nd day of December, 2014.

Elaine F. Marshall

Secretary of State



Scan to verify online.