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Florida Department of State  
Division of Corporations  
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Fax Number : (850) 617-6381

From: Account Name : HUNTON & WILLIAMS  
Account Number : I20000000236  
Phone : (305) 810-2542  
Fax Number : (305) 810-2460

**\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\***

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**FOREIGN PROFIT/NONPROFIT CORPORATION**  
**Doral Financial Corporation**

Certificate of Status	0
Certified Copy	1
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Corporate Filing Menu

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**COVER LETTER**

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Doral Financial Corporation

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

MARIA LAURA LOPEZ

Name of Person

HUNTON & WILLIAMS LLP

Firm/Company

1111 BRICKELL AVE, SUITE 2500

Address

MIAMI, FLORIDA 33131

City/State and Zip code

mlopezmartinez@hunton.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

MARIA LAURA LOPEZ at ( 305 ) 536-2705

Name of Person

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☒ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

**1. Doral Financial Corporation**

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

**Doral Financial Puerto Rico Corporation**

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

**2. PUERTO RICO**

(State or country under the law of which it is incorporated)

**3. 66-1216203**

(FEI number, if applicable)

**4. October 23, 1972**

(Date of incorporation)

**5. PERPETUAL**

(Duration: Year corp. will cease to exist or "perpetual")

**6. n/a**

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

**7. 1451 ROOSEVELT AVENUE, SAN JUAN, PUERTO RICO 00920**

(Principal office address)

**600 BRICKELL AVENUE, SUITE 3600, MIAMI, FLORIDA 33131**

(Current mailing address)

**8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)**

Name: **CORPORATION SERVICE COMPANY**

Office Address: **1201 HAYS STREET**

**TALLAHASSEE**

(City)

, Florida **32301**

(Zip code)

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

*Maria Long*

(Registered agent's signature)

Maria Long Asst. Sec.

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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## 11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**Chairman: SEE ATTACHED ADDENDUM

Address: \_\_\_\_\_

\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

**B. OFFICERS**President: SEE ATTACHED ADDENDUM

Address: \_\_\_\_\_

\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors:12. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. ENRIQUE UBARRI, EXECUTIVE VICE PRESIDENT

(Typed or printed name and capacity of person signing application)

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**ADDENDUM TO**  
**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO**  
**TRANSACT BUSINESS IN FLORIDA**  
**DORAL FINANCIAL CORPORATION**

**A. Directors:**

Chairman: Buchert, Dennis  
Address: 1451 Roosevelt Avenue  
9<sup>th</sup> Floor – Legal Department  
San Juan 00920-2717 PR

Director: Jacobs, Douglas  
Address: 1451 Roosevelt Avenue  
9<sup>th</sup> Floor – Legal Department  
San Juan 00920-2717 PR

Director: Gilleran, James  
Address: 1451 Roosevelt Avenue  
9<sup>th</sup> Floor – Legal Department  
San Juan 00920-2717 PR

Director: Smith, Gerard  
Address: 1451 Roosevelt Avenue  
9<sup>th</sup> Floor – Legal Department  
San Juan 00920-2717 PR

**B. Officers:**

President: Wakeman, Glen R.  
Address: 600 Brickell Avenue  
Suite 3600  
Miami, Florida 33131

EVP: Hooston, David  
CFO  
Address: 600 Brickell Avenue  
Suite 3600  
Miami, Florida 33131

SVP/POA: Reinhard, Alice Nancy  
Interim CFO  
Address: 600 Brickell Avenue

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Suite 3600  
Miami, Florida 33131

EVP US Operations: Poulton, Christopher  
Address: 623 5th Avenue  
21st Floor  
New York, New York 10022

EVP: Ubarri, Enrique R. Esq.  
General Counsel  
Address: 600 Brickell Avenue  
Suite 3600  
Miami, Florida 33131

EVP: Mendez, Jesus  
Puerto Rico Operations  
Address: 1451 Roosevelt Avenue  
9th Floor  
San Juan 00920-2717 PR

SVP: Ivanov, Penko  
US Chief Financial Officer/Treasurer  
Address: 623 5th Avenue  
21st Floor  
New York, New York 10022

SVP: Alejandro, Luis  
Chief Internal Auditor  
Address: 1451 Roosevelt Avenue  
9th Floor  
San Juan 00920-2717 PR

EVP: Horvat, Frank  
Chief Risk and Compliance Officer  
Address: 623 5th Avenue  
21st Floor  
New York, New York 10022

EVP: Bastone, Andrew  
Chief Credit Officer  
Address: 623 5th Avenue  
21st Floor  
New York, New York 10022

EVP: Rodriguez, Ilia  
Corporate Human Resources

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Address: 1451 Roosevelt Avenue  
9th Floor – Human Resource Department  
San Juan 00920-2717 PR

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OFFICE OF THE  
ATTORNEY GENERAL  
SAN JUAN, P.R.

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Commonwealth of Puerto Rico  
**DEPARTMENT OF STATE**  
San Juan, Puerto Rico

## **CERTIFICATE OF GOOD STANDING**

I, **DAVID E. BERNIER RIVERA**, Secretary of State of the Commonwealth of Puerto Rico,

**CERTIFY:** That, **DORAL FINANCIAL CORPORATION**, register number **29324**, a for profit domestic corporation, organized under the laws of Puerto Rico on **October 23, 1972**, has complied with the filing of its Annual Reports.



**IN WITNESS WHEREOF**, the undersigned by virtue of the authority vested by law, hereby issues this certificate and affixes the Great Seal of the Commonwealth of Puerto Rico, in the City of San Juan, Puerto Rico, today, **December 08, 2014**.

A handwritten signature in black ink, appearing to read "D E Bernier Rivera".

**DAVID E. BERNIER RIVERA**  
Secretary of State

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To validate this certificate go to: <http://www.estado.gobierno.pr>

This certificate can be validated up to 2 times before its expiration date of 08-Mar-2015.

Certificate Validation Number: **93796-86943645**

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