

F14000005345

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

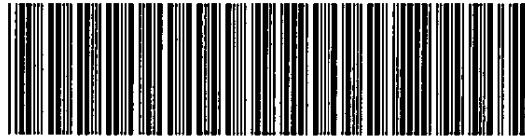
(Business Entity Name)

(Document Number)

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14 DEC 15 PM 3:40
TALLAHASSEE, FLORIDA

12/18/14 *ca*

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: ERM LOW CARBON ENTERPRISE FUND FOUNDATION
Name of Corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Not for Profit Corporation for Authorization to Conduct its Affairs in Florida", "Certificate of Existence", or "Certificate of Good Standing" and check are submitted to register the above referenced not for profit corporation to conduct its affairs in Florida.

Please return all correspondence concerning this matter to the following:

JANICE TAPLAR
Name of Person

ERM LOW CARBON ENTERPRISE FUND FOUNDATION
Firm/Company

75 VALLEY STREAM PARKWAY, SUITE 200
Address

MALVERN, PA 19355
City/State and Zip Code

JANICE.TAPLAR@ERM.COM
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

JANICE TAPLAR at (484) 913-0443
Name of Person Area Code & Daytime Telephone Number

MAILING ADDRESS:
New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STREET/COURIER ADDRESS:
New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

- \$70.00 Filing Fee \$78.75 Filing Fee & Certificate of Status \$78.75 Filing Fee & Certified Copy \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN FLORIDA

IN COMPLIANCE WITH SECTION 617.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN THE STATE OF FLORIDA:

1. ERM LOW CARBON ENTERPRISE FUND FOUNDATION, INC.
(Name of corporation: must include the word "INCORPORATED" or "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present. "Company" or "Co." may not be used as a corporate suffix by a nonprofit corporation.)

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. PENNSYLVANIA 3. 45-1668955
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 05/05/2011 5. PERPETUAL
(Date of Incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. UPON REGISTRATION
(Date first conducted affairs in Florida if prior to registration. See sections 617.1501 & 617.1502, F.S. to determine penalty liability.)

7. 75 VALLEY STREAM PARKWAY, SUITE 200, MALVERN, PA 19355
(Principal office address)

75 VALLEY STREAM PARKWAY, SUITE 200, MALVERN, PA 19355
(Current mailing address)

8. CHARITABLE SOLICITATION
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box **NOT** acceptable)

Name: MICHAEL STARKS

Office Address: HIGHLAND OAKS II, SUITE 140, 10210 HIGHLAND MANOR DR.

TAMPA, Florida 33610
(City) (Zip Code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: SEE ATTACHED

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: SEE ATTACHED

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. *Janice L. Toplar*
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. *Janice L. Toplar* *Secretary*
(Typed or printed name and capacity of person signing application)

RECEIVED
MAY 15 11 30 AM '93
STATE OF FLORIDA

ERM LOW CARBON ENTERPRISE FUND FOUNDATION

EIN: 45-1668955

Roster of Officers and Directors

Michael Smylie
1277 Treat Blvd, Suite 500
Walnut Creek, CA 94597
Phone #: (925) 482-3275
President

John Stipa
75 Valley Stream Parkway, Suite 200
Malvern, PA 19355
Phone #: (484) 913-0300
Treasurer

Janice Taplar
75 Valley Stream Parkway, Suite 200
Malvern, PA 19355
Phone #: (484) 913-0443
Secretary

Ann Chilton, Esq.
206 East 9th St., Suite 1700
Austin, TX 78701
Phone #: (512) 374-2243
Director

Barrett Cieutat
840 West Sam Houston Parkway North, Suite 600
Houston, TX 77024
Phone #: (832) 730-4385
Director

James Perazzo
105 Maxess Road, Suite 316
Melville, NY 11747
Phone #: (631) 756-8913
Director

Linden Edgell
Level 7, Wellesley Centre, 44-52 Wellesley Street
Auckland City, New Zealand 1010
Phone #: +649-303-4664
Director

14 DEC 15 PM 3:33
PAUL ANTONIO PERAZZO

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

NOVEMBER 3, 2014

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

ERM Low Carbon Enterprise Fund Foundation

is duly incorporated as a Pennsylvania Corporation under the laws of the Commonwealth of Pennsylvania and remains a subsisting corporation so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Carol Aichele

Secretary of the Commonwealth



FLORIDA DEPARTMENT OF STATE
Division of Corporations

November 24, 2014

JANICE TAPLAR
75 VALLEY STREAM PARKWAY, SUITE 200
MALVERN, PA 19355

SUBJECT: ERM LOW CARBON ENTERPRISE FUND FOUNDATION
Ref. Number: W14000070609

14 DEC 15 PM 3:39
TALLAHASSEE, FLORIDA

We have received your document for ERM LOW CARBON ENTERPRISE FUND FOUNDATION and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name must contain a word that will clearly indicate that it is a corporation. This word may be: CORPORATION, CORP., INCORPORATED, or INC. Sections 617.0401(1)(a) and 617.1506(1), Florida Statutes, prohibits the use of the word COMPANY or CO. in the name of a non-profit corporation.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6052.

Christine Haney
Regulatory Specialist II
New Filing Section

Letter Number: 514A00024939

14 DEC 15 PM 2:23
TALLAHASSEE, FLORIDA