

14000002235

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

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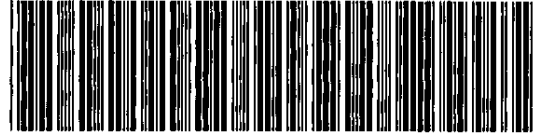
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

MD 5/22

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: The Urban Empowerment Fund

Name of Corporation – must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Not for Profit Corporation for Authorization to Conduct its Affairs in Florida", "Certificate of Existence", or "Certificate of Good Standing" and check are submitted to register the above referenced not for profit corporation to conduct its affairs in Florida.

Please return all correspondence concerning this matter to the following:

Stephanie DeVane

Name of Person

The Urban Empowerment Fund

Firm/Company

120 Wall Street, 8th Floor

Address

New York, NY 10005

City/State and Zip Code

uef.sdevane@nul.org

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jacqueline Smith

Name of Person

at (212) 558-5345

Area Code & Daytime Telephone Number

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN FLORIDA

IN COMPLIANCE WITH SECTION 617.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN THE STATE OF FLORIDA:

1. The Urban Empowerment Fund, INC.

(Name of corporation: must include the word "INCORPORATED" or "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present. "Company" or "Co." may not be used as a corporate suffix by a nonprofit corporation.)

2. Delaware

(State or country under the law of which it is incorporated)

3. 90-0853595

(FEI number, if applicable)

4. July 7, 2011

(Date of Incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. (Date first conducted affairs in Florida if prior to registration. See sections 617.1501 & 617.1502, F.S., to determine penalty liability)

7. 120 Wall Street, 8th Floor, New York, New York 10005

(Principal office address)

Same

(Current mailing address)

8. Provide loans and business advisory services to minority owned small businesses.

(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box **NOT** acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301

(City)

(Zip Code)

10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: Amy Schwan

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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14 MAY 20 3:11:52
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TALLAHASSEE, FLORIDA

12. Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: See Attachment

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: Donald Bowen

Address: 120 Wall Street, 8th Floor

New York, NY 10005

Vice President: _____

Address: _____

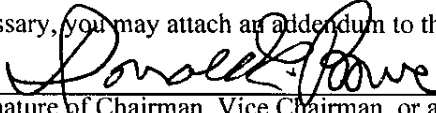
Secretary: _____

Address: _____

Treasurer: SVP & Chief Credit Officer: Stephanie DeVane

Address: 120 Wall Street, 8th Floor, New York, NY 10005

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Donald E. Bowen, President & CEO

(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

12. Names and addresses of officers and/or directors:

JON R. CAMPBELL

Chair

120 Wall Street, 8th Floor
New York, NY 10005

KHARY P. BARNES

Treasurer

120 Wall Street, 8th Floor
New York, NY 10005

SAMUEL H. HOWARD

Secretary

120 Wall Street, 8th Floor
New York, NY 10005

MARC H. MORIAL

Director

120 Wall Street, 8th Floor
New York, NY 10005

MARK PINSKY

Director

120 Wall Street, 8th Floor
New York, NY 10005

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Delaware

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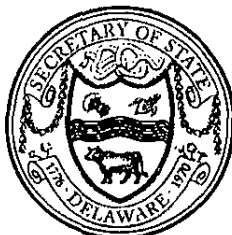
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TALLAHASSEE, FLORIDA

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FILED

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "THE URBAN EMPOWERMENT FUND" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FOURTH DAY OF FEBRUARY, A.D. 2014.


AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "THE URBAN EMPOWERMENT FUND" WAS INCORPORATED ON THE SEVENTH DAY OF JULY, A.D. 2011.



5007321 8300

140218534

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1154063

DATE: 02-24-14