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SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
14 APR 14 PM 1:05

4/17/14

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Thoroughbred Charities of America, Inc.

Name of Corporation – must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Not for Profit Corporation for Authorization to Conduct its Affairs in Florida", "Certificate of Existence", or "Certificate of Status" and check are submitted to register the above referenced not for profit corporation to conduct its affairs in Florida.

Please return all correspondence concerning this matter to the following:

Erin Crady

Name of Person

Thoroughbred Charities of America, Inc.

Firm/Company

PO Box 910668

Address

Lexington, KY 40591

City/State and Zip Code

ecrady@tca.org

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Erin Crady

Name of Person

at ( 859 ) 276-4989

Area Code & Daytime Telephone Number

**MAILING ADDRESS:**  
New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET/COURIER ADDRESS:**  
New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO  
CONDUCT ITS AFFAIRS IN FLORIDA**

IN COMPLIANCE WITH SECTION 617.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN  
THE STATE OF FLORIDA:

1. Thoroughbred Charities of America, Inc.

(Name of corporation: must include the word "INCORPORATED" or "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present. "Company" or "Co." may not be used as a corporate suffix by a nonprofit corporation.)

2. Kentucky

(State or country under the law of which it is incorporated)

3. 26-2861555

(FEI number, if applicable)

4. June 17, 2008

(Date of Incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. \_\_\_\_\_  
(Date first conducted affairs in Florida if prior to registration. See sections 617.1501 & 617.1502, F.S., to determine penalty liability.)

7. 3101 Beaumont Centre Circle, Suite 110, Lexington, KY 40513

(Principal office address)

PO Box 901668, Lexington, KY 40591

(Current mailing address)

8. TCA's mission is to provide a better life for Thoroughbreds both during and after their racing careers by supporting rescue, retirement and research and by helping the people who work with them.  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box **NOT** acceptable)

Name: David O'Farrell

Office Address: 4400 SW 27th Ave.

Ocala

(City)

, Florida

34471

(Zip Code)

10. **Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

David O'Farrell

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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**A. DIRECTORS**

Chairman: Dan Rosenberg - President

Address: PO Box 4590

Midway, KY 40347

Vice Chairman: James Orsini - Vice President

Address: 382 West Street Road

Kennett Square, PA 19348

Director: Amy Adkins

Address: PO Box 1418

Middleburg, VA 20118

Director: Shannon Arvin

Address: 300 West Vine Street

Lexington, KY 40507

**B. OFFICERS**

President: Dan Rosenberg

Address: PO Box 4590

Midway, KY 40347

Vice President: James Orsini

Address: 382 West Street Road

Kennett Square, PA 19348

Secretary: Josh Pons - Secretary

Address: PO Box 107, Bel Air, MD 21014

Treasurer: Ellen Moelis - Treasurer

Address: 1343 Bohemia Mill Road, Middletown, DE 19709

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.

 President  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14.

Erin Crady, Executive Director

(Typed or printed name and capacity of person signing application)

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## Thoroughbred Charities of America Board Members continued

Eric Hamelback  
Board Member  
P.O. Box 718  
Paris, KY 40362

Bo Smith  
Board Member  
13 Charleston Springs Road  
Millstone Twp., NJ 08510

Gretchen Jackson  
Board Member  
546 Street Road  
West Grove, PA 19390-9005

Peter Willmott  
Board Member  
333 N. Michigan Avenue  
Suite 2200  
Chicago, IL 60601

Michael T. Levy  
Board Member  
1019 Majestic Drive  
Suite 310  
Lexington, KY 40513

Braxton Lynch  
Board Member  
613 Hutchison Road  
Paris, KY 40361

Robert Manfuso  
1975 McKendree Road  
West Friendship, MD 21794

Pope McLean, Jr.  
Board Member  
3933 Spurr Road  
Lexington, KY 40511

Michael McMahon  
Board Member  
3241 Pisgah Pike  
Versailles, KY 40383

Herb Moelis  
Board Member  
1343 Bohemia Mill Road  
Middleton, DE 19709

Dr. Scott Palmer  
Board Member  
279 Millstone Road  
Millstone Twp, NJ 08535

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

**Certificate of Existence**

Authentication number: 149513  
Visit <https://app.sos.ky.gov/ftshow/certvalidate.aspx> to authenticate this certificate.

I, Alison Lundergan Grimes, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

**THOROUGHBRED CHARITIES OF AMERICA, INC.**

is a corporation duly incorporated and existing under KRS Chapter 14A and KRS Chapter 273, whose date of incorporation is June 17, 2008 and whose period of duration is perpetual.

I further certify that all fees and penalties owed to the Secretary of State have been paid; that Articles of Dissolution have not been filed; and that the most recent annual report required by KRS 14A.6-010 has been delivered to the Secretary of State.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 2<sup>nd</sup> day of April, 2014, in the 222<sup>nd</sup> year of the Commonwealth.



*Alison Lundergan Grimes*

Alison Lundergan Grimes  
Secretary of State  
Commonwealth of Kentucky  
149513/0707617