

F14000001401

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

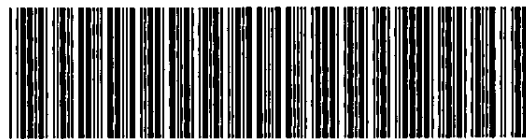
(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only

B 3/28/14



100258166781

100258166781
03/27/14-01016-003 **87.50

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
14 MAR 27 PM 4:33

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Tradition (North America) Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Eric M. Earnhardt

Name of Person

Tradition (North America) Inc.

Firm/Company

255 Greenwich Street, 4th Floor

Address

New York, NY 10007

City/State and Zip code

john.gangi@tradition-na.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Michael Martuscello

at (212) 791-6690

Name of Person

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☒ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. **Tradition (North America) Inc.**

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

Tradition (NA) Inc.

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. **Delaware**

(State or country under the law of which it is incorporated)

3. **13-2982989**

(FEI number, if applicable)

4. **11/21/1977**

(Date of incorporation)

5. **Perpetual**

(Duration: Year corp. will cease to exist or "perpetual")

6. **08/15/2013**

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. **255 Greenwich Street, 4th Floor, New York, NY 10007**

(Principal office address)

255 Greenwich Street, 4th Floor, New York, NY 10007

(Current mailing address)

8. **Financial Services**

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name:

Hernando Richard Correa

Office Address:

1395 Brickell Avenue Suite 750

Miami

(City)

33131

(Zip code)

10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

RECEIVED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
14 MAR 27 PM 4:33

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Emil Assentato

Address: 255 Greenwich Street, 4th Floor
New York, NY 10007

Vice Chairman: _____

Address: _____

Director: William Wostyn

Address: 255 Greenwich Street, 4th Floor
New York, NY 10007

Director: _____

Address: _____

B. OFFICERS

President: Emil Assentato

Address: 255 Greenwich Street, 4th Floor
New York, NY 10007

Vice President: Judith A. Ricciardi

Address: 255 Greenwich Street, 4th Floor
New York, NY 10007

Secretary: Judith A. Ricciardi

Address: 255 Greenwich Street, 4th Floor, New York, NY 10007

Treasurer: Judith A. Ricciardi

Address: 255 Greenwich Street, 4th Floor, New York, NY 10007

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Judith A. Ricciardi, Secretary, Treasurer, Executive Vice President

(Typed or printed name and capacity of person signing application)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
14 MAR 27 PM 4:33



Tradition (North America) Inc.

255 Greenwich Street
New York, New York 10007

OFFICERS AND DIRECTORS LIST ***AS OF 2 OCTOBER 2012***

Directors

Chairman

Emil Assentato

Director

William Wostyn

Officers

President

Emil Assentato

Executive Vice President

Judith A. Ricciardi

Secretary

Judith A. Ricciardi

Treasurer

Judith A. Ricciardi

General Counsel

Chaim A. Levin

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT "TRADITION (NORTH AMERICA) INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE NOT HAVING BEEN CANCELLED OR DISSOLVED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.

THE FOLLOWING DOCUMENTS HAVE BEEN FILED:

CERTIFICATE OF INCORPORATION, FILED THE TWENTY-FIRST DAY OF NOVEMBER, A.D. 1977, AT 10 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "DST-AMERICA LTD." TO "DST-SECURITIES LTD.", FILED THE THIRTEENTH DAY OF DECEMBER, A.D. 1977, AT 10 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "DST-SECURITIES LTD." TO "DOMTRAD INC.", FILED THE TWENTY-SEVENTH DAY OF DECEMBER, A.D. 1978, AT 10 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, FILED THE TWELFTH DAY OF APRIL, A.D. 1979, AT 10 O'CLOCK A.M.

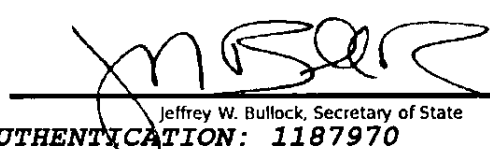
CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "DOMTRAD INC." TO "TRADITION NORTH AMERICA INC.", FILED THE TENTH DAY OF

0846108 8310

140298283



You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1187970

DATE: 03-07-14

Delaware

PAGE 2

The First State

DECEMBER, A.D. 1985, AT 10 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "TRADITION NORTH AMERICA INC." TO "TRADITION (NORTH AMERICA) INC.", FILED THE THIRTY-FIRST DAY OF DECEMBER, A.D. 1990, AT 2 O'CLOCK P.M.

CERTIFICATE OF RENEWAL, FILED THE SIXTEENTH DAY OF JULY, A.D. 1996, AT 2:30 O'CLOCK P.M.

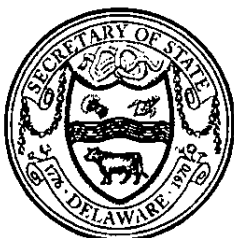
AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE AFORESAID CORPORATION, "TRADITION (NORTH AMERICA) INC.".

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

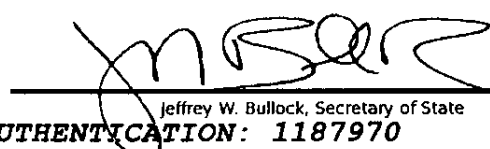
AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

0846108 8310

140298283



You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1187970

DATE: 03-07-14