

F14000001232

\_\_\_\_\_  
(Requestor's Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

\_\_\_\_\_  
(Business Entity Name)

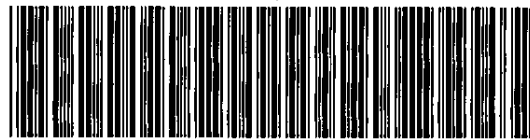
\_\_\_\_\_  
(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only

B3/20/14



500257898145

03/17/14--01029--009 \*\*78.75

14 MAR 17 AM 10:36

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
SECRETARY OF STATE  
FILED

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Investment Management Holdings Co., Inc.  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Robert W. HERRMAN

Name of Person

Investment Management Holdings Co., Inc.

Firm/Company

1770 SAN MARCO ROAD, Suite 203B

Address

MARCO ISLAND, FL 34145

City/State and Zip code

rherrman@ima-advisors.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Robert W. HERRMAN

Name of Person

at ( 847 ) 670. 5802

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☒ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Investment Management Holdings Co., Inc.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. DELAWARE 3. 36-3922815  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 12/9/1993 5. perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. January 1, 2014  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 1770 SAN MARCO Rd., Suite 203B, MARCO Island, FL 34145  
(Principal office address)  
(Same as #7)  
(Current mailing address)
8. investment management services  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: Robert W. HERRMAN
- Office Address: 1770 SAN MARCO Rd., Suite 203B  
MARCO Island, Florida 34145  
(City) (Zip code)

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
14 MAR 17 AM 10:36

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Robert W. Herrman

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Robert W. HERRMAN  
Address: 1770 SAN MARCO Rd., Suite 203 B  
MARCO Island, FL 34145

Director  
Vice Chairman: Thomas A. Downey  
Address: 6150 N. Berkeley  
Whitfish Bay, WI 53217

Director: J. Patrick Corsiglia, Jr.  
Address: 418 East Illinois Road  
LAKE Forest, IL 60045

Director: John C. Lorentzen  
Address: 30019 Smith Road  
DEER Grove, IL 61243

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
14 MAR 17 AM 10:36

B. OFFICERS

President: Robert W. HERRMAN  
Address: 1770 SAN MARCO Rd., Suite 203 B  
MARCO Island, FL 34145

Vice President: RANDALL W. WARD  
Address: 1770 SAN MARCO Rd., Suite 203 B  
MARCO Island, FL 34145

Secretary: RANDALL W. WARD  
Address: 1770 SAN MARCO Rd., Suite 203 B, MARCO Island, FL 34145

Treasurer: RANDALL W. WARD  
Address: 1770 SAN MARCO Rd., Suite 203 B, MARCO Island, FL 34145

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_  
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Robert W. HERRMAN — Robert W. HERRMAN, President  
(Typed or printed name and capacity of person signing application)

# Delaware

PAGE 1

*The First State*


I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "INVESTMENT MANAGEMENT HOLDINGS CO., INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2014.

2362961 8300

140260616

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 1171007

DATE: 02-28-14



## State of Delaware

SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
P.O. BOX 898  
DOVER, DELAWARE 19903

140260616

9026123

02-28-2014

INVESTMENT MGT. HOLDINGS CO., INC.

1770 SAN MARCO ROAD.,

SUITE 203B

MARCO ISLAND

FL 34145

ATTN: ROBERT W. HERRMAN

DESCRIPTION	AMOUNT
INVESTMENT MANAGEMENT HOLDINGS CO., INC.	
2362961 8300 Certificate in Re Short	
Certification Fee	50.00
FILING TOTAL	50.00
TOTAL PAYMENTS	50.00
SERVICE REQUEST BALANCE	.00