

F 13000005313

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

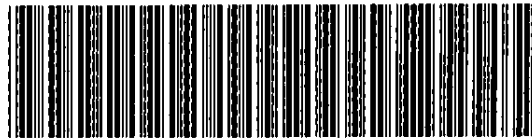
(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only

637-659  
W13000067601



000253927210

RECEIVED  
DEPARTMENT OF STATE  
13 DEC 10 PM 1:48

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 DEC 10 AM 8:12

12/12/13



CORPORATION SERVICE COMPANY

ACCOUNT NO. : I20000000195

REFERENCE : 915663 7827517

AUTHORIZATION :

COST LIMIT : \$ 70.00

ORDER DATE : December 10, 2013

ORDER TIME : 11:59 AM

ORDER NO. : 915663-005

CUSTOMER NO: 7827517

FOREIGN FILINGS

NAME: JURIDICA ASSET MANAGEMENT  
(US) INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

       CERTIFIED COPY  
XX        PLAIN STAMPED COPY  
       CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Susie Knight -- EXT# 52956

EXAMINER: \_\_\_\_\_

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 DEC 10 AM 8:12

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Juridica Asset Management (US) Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Mark Broadwater

Name of Person

Juridica Asset Management (US) Inc.

Firm/Company

18 Broad Street, Suite 201D

Address

Charleston, SC 29401

City/State and Zip code

broadwater@juridicacapital.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Mark Broadwater at (866) 443-1080

Name of Person

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☒ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 DEC 10 AM 8:12

915663



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

December 11, 2013

CSC NETWORKS  
ATTN: SUSIE KNIGHT

**RESUBMIT**  
Please give original  
submission date as file date.

SUBJECT: JURIDICA ASSET MANAGEMENT (US) INC.  
Ref. Number: W13000067601

We have received your document for JURIDICA ASSET MANAGEMENT (US) INC. and the authorization to debit your account in the amount of \$70.00. However, the document has not been filed and is being returned for the following:

The entity's period of duration must be listed on the application. Please insert the word "perpetual", if a specific date of dissolution or term of existence has not been specified.

A brief description of the entity's nature of business must be included in the document.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6052.

Claretha Golden  
Regulatory Specialist II  
New Filing Section

Letter Number: 213A00028125

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 DEC 10 AM 8:12

RECEIVED  
DEPARTMENT OF STATE  
13 DEC 11 PM 4:29

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Juridica Asset Management (US) Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware

(State or country under the law of which it is incorporated)

3. 26-3738233

(FEI number, if applicable)

4. 11/14/2008

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. \_\_\_\_\_

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 18 Broad Street, Suite 201D, Charleston, SC 29401

(Principal office address)

18 Broad Street, Suite 201D, Charleston, SC 29401

(Current mailing address)

This corporation is formed to engage in any lawful act or activity for which a corporation may be organized under the Business  
Corporation Law, provided that it is not formed to engage in any act or activity requiring the consent or approval of any state  
official, department, board, agency or other body without such consent or approval first being obtained.

8. \_\_\_\_\_

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name:

Corporation Service Company

Office Address:

1201 Hays Street

Tallahassee

(City)

, Florida

32301

(Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place  
designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I  
further agree to comply with the provisions of all statutes relative to the proper and complete performance of my  
duties, and I am familiar with and accept the obligations of my position as registered agent.*



(Registered agent's signature)

Sue G. Knight  
Assistant Vice President

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to  
the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction  
under the law of which it is incorporated.

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 DEC 10 AM 8:12

13 DEC 10 AM 8: 12

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Richard W. Fields

Address: 30 West 86th Street, Apt. 4A  
New York, NY 10024

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Mark Broadwater

Address: 2356 Rice Pond Road  
Charleston, SC 29401

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS

President: Paul C. Sullivan

Address: 77-6566 Alii Drive  
Kailua-Kona, HI 96740

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: Mark Broadwater

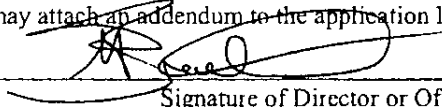
Address: 2356 Rice Pond Road, Charleston, SC 29401

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_

  
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Mark H. Broadwater

(Typed or printed name and capacity of person signing application)

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "JURIDICA ASSET MANAGEMENT (US) INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TENTH DAY OF DECEMBER, A.D. 2013.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "JURIDICA ASSET MANAGEMENT (US) INC." WAS INCORPORATED ON THE FOURTEENTH DAY OF NOVEMBER, A.D. 2008.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 DEC 10 AM 8:12

4623440 8300

131401893

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 0964345

DATE: 12-10-13