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(Address)

(Address)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

cmd 10/17

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** R.W. Smith & Associates, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Annette Creighton

Name of Person

RW Smith & Associates, Inc.

Firm/Company

40 Lake Bellevue Dr, Ste. 340

Address

Bellevue, WA 98005

City/State and Zip code

accounting@rwsbroker.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Lauren Heyne

Name of Person

at ( 206 ) 420-4171

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- |                                                        |                                                                        |                                                                 |                                                                                           |
|--------------------------------------------------------|------------------------------------------------------------------------|-----------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> \$70.00 Filing Fee | <input type="checkbox"/> \$78.75 Filing Fee &<br>Certificate of Status | <input type="checkbox"/> \$78.75 Filing Fee &<br>Certified Copy | <input type="checkbox"/> \$87.50 Filing Fee,<br>Certificate of Status &<br>Certified Copy |
|--------------------------------------------------------|------------------------------------------------------------------------|-----------------------------------------------------------------|-------------------------------------------------------------------------------------------|

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA

1. R.W. Smith & Associates, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

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(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. WA

(State or country under the law of which it is incorporated)

3. \_\_\_\_\_

(FEI number, if applicable)

4. 05/23/1985

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)

(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 409 S. Westland Ave, # 3, Tampa FL 33606

(Principal office address)

409 S. Westland Ave, # 3, Tampa FL 33606

(Current mailing address)

8. Fixed income securities inter-dealer broker

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Tammi Hughes

Office Address: 409 S. Westland Ave, # 3

Tampa, Florida 33606

(City)

(Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Richard W. Smith

Address: 40 Lake Bellevue Dr, Ste. 340  
Bellevue, WA 98005

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Paige Pierce

Address: 40 Lake Bellevue Dr, Ste. 340  
Bellevue, WA 98005

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS**

President: Paige Pierce

Address: 40 Lake Bellevue Dr, Ste 340  
Bellevue, WA 98005

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: Paige Pierce

Address: 40 Lake Bellevue Dr, Ste 340

Treasurer: Paige Pierce

Address: 40 Lake Bellevue Dr, Ste 340

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. R. W. Smith

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Richard W. Smith, Director

(Typed or printed name and capacity of person signing application)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

UNITED STATES OF AMERICA

# The State of Washington



## Secretary of State

I, **KIM WYMAN**, Secretary of State of the State of Washington and custodian of its seal, hereby issue this

### CERTIFICATE OF EXISTENCE/AUTHORIZATION

OF

**R. W. SMITH & ASSOCIATES, INC.**

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**I FURTHER CERTIFY** that the records on file in this office show that the above named Profit Corporation was formed under the laws of the State of WA and was issued a Certificate Of Incorporation in Washington on 5/23/1985.

**I FURTHER CERTIFY** that as of the date of this certificate, R. W. SMITH & ASSOCIATES, INC. remains active and has complied with the filing requirements of this office.

Date: August 30, 2013

UBI: 600-596-256



Given under my hand and the Seal of the State of Washington at Olympia, the State Capital

Kim Wyman, Secretary of State