

F 13000003076

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

MAIL

(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



500249466215

07/12/13--01026--011 \*\*70.00

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 JUL 12 AM 11:49

7/18/13

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** DEWITT STERN GROUP, INC.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

KELLI PULLER

Name of Person

HIQ CORPORATE SERVICES, INC.

Firm/Company

715 ST. PAUL STREET

Address

BALTIMORE, MD 21202

City/State and Zip code

kelli@hiq-agents.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Kelli Puller

at ( 800 ) 564-5300

Name of Person

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 JUL 12 AM 11:49

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. DEWITT STERN GROUP, INC.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. NEW YORK 3. \_\_\_\_\_  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 04/14/1954 5. PERPETUAL  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 420 LEXINGTON AVENUE, SUITE 2700, NEW YORK, NY 10170  
(Principal office address)

420 LEXINGTON AVENUE, SUITE 2700, NEW YORK, NY 10170

(Current mailing address)

8. INSURANCE BROKERAGE.  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)


Name: TRAC - THE REGISTERED AGENT COMPANY

Office Address: 1574 VILLAGE SQUARE BLVD, SUITE 100

TALLAHASSEE, Florida 32309  
(City) (Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

 By: Kelli Puller, Vice President  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 JUL 12 AM 11:49

12. Names and business addresses of officers and/or directors:

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS

**A. DIRECTORS**

Chairman: SEE ATTACHED

13 JUL 12 AM 11:49

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS**

President: SEE ATTACHED

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Charles R. Johnson, Jr

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Charles R. Johnson, Jr President

(Typed or printed name and capacity of person signing application)

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS

13 JUL 12 AM 11:49

**Officers and Directors  
of  
DeWitt Stern Group, Inc.**

**DIRECTOR:**

Jolyon F. Stern  
Chairman

420 Lexington Avenue, Suite 2700, New York, NY 10170

**OFFICERS:**

Jolyon F. Stern  
CEO

420 Lexington Avenue, Suite 2700, New York, NY 10170

Charles R. Johnson, Jr.  
President/COO

420 Lexington Avenue, Suite 2700, New York, NY 10170

Kevin Walker  
Treasurer

420 Lexington Avenue, Suite 2700, New York, NY 10170

Maureen DeVoe  
Secretary

420 Lexington Avenue, Suite 2700, New York, NY 10170

Stephen Pincus  
Senior Vice President

420 Lexington Avenue, Suite 2700, New York, NY 10170

**State of New York**  
**Department of State** } ss:

I hereby certify, that the Certificate of Incorporation of DEWITT STERN GROUP, INC. was filed on 04/14/1954, under the name of DEWITT STERN, GUTMANN & CO., INC., fixing the duration as perpetual, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment DEWITT STERN, GUTMANN & CO., INC., changing its name to DEWITT STERN GROUP, INC., was filed 04/22/1994.



\*\*\*

*Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 21st day of June  
two thousand and thirteen.*

Daniel Shapiro  
Special Deputy Secretary of State

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
13 JUL 12 AM 11:49