

F13000002984

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

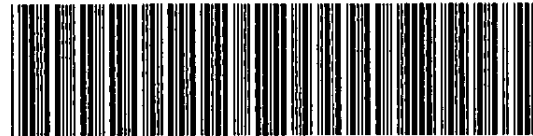
(Business Entity Name)

(Document Number)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Bank of the West, a California Banking Corporation
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Patricia Wyatt

Name of Person

Bank of the West, a California Banking Corporation

Firm/Company

180 Montgomery Street, 8th Floor

Address

San Francisco, CA 94104

City/State and Zip code

vawn.wyatt@bankofthewest.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Patricia Wyatt

at (415) 765-4922

Name of Person

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- | | | | |
|---|--|---|--|
| <input type="checkbox"/> \$70.00 Filing Fee | <input type="checkbox"/> \$78.75 Filing Fee &
Certificate of Status | <input type="checkbox"/> \$78.75 Filing Fee &
Certified Copy | <input checked="" type="checkbox"/> \$87.50 Filing Fee,
Certificate of Status &
Certified Copy |
|---|--|---|--|



STREET ADDRESS: 101 East Gaines Street, Suite 636 • PHONE (850) 410-9800 • FAX (850) 410-9548
MAILING ADDRESS: Division of Financial Institutions, 200 East Gaines Street, Tallahassee, FL 32399-0371
Visit us on the web: WWW.ITSYOURMONEYFLORIDA.COM • 850-487-9687

DREW J. BREAKSPEAR
COMMISSIONER

June 24, 2013

Mr. Kaleb B. Lawson
180 Montgomery Street, 8th Floor
San Francisco, CA 94104


Re: Bank of the West

Dear Mr. Lawson:

Reference is made to your recent correspondence requesting approval of the above name, which is a California commercial bank located in San Francisco, CA.

As Section 655.922, Florida Statutes, exempts a financial institution, holding company or its subsidiaries from the prohibition of using the word "bank," "banco," "banque," "banker," "banking," "trust company," "savings and loan association," "savings bank," or "credit union," or words of similar import, in any context or in any manner in its corporate name. The Office will not object to the use of the above name being registered to transact business in the state of Florida. This does not authorize the institution to engage in banking, trust or insurance business or any other licensed activity in the state of Florida. Proper regulatory approvals will be required.

Sincerely,


Robert Hayes
Director

RH:bk

cc: Brenda Tadlock, Chief, Bureau of Commercial Recordings, Division of Corporations,
Department of State

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TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Bank of the West, a California Banking Corporation

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. California

(State or country under the law of which it is incorporated)

3. 94-0475440

(FEI number, if applicable)

4. December 20, 1978

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. _____

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 180 Montgomery Street San Francisco CA 94104

(Principal office address)

180 Montgomery Street, 8th Floor San Francisco CA 94104

(Current mailing address)

8. To engage in non-core business activities generally authorized to banks, other than the general commercial banking business

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation

(City)

, Florida 33324

(Zip code)

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10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: _____

(Registered agent's signature)

Jennifer Quinn
Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See Attachment A

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Address: _____

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TALLAHASSEE, FLORIDA

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See Attachment A

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Elisabeth De Saussay, Assistant Secretary

(Typed or printed name and capacity of person signing application)

ATTACHMENT A
BANK OF THE WEST OFFICERS AND DIRECTORS'

NAME	TITLE	ADDRESS
J. Michael Shepherd	Chairman and CEO	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Maura Markus	President and COO	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Vanessa Washington	Secretary	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Elisabeth De Saussay	Assistant Secretary	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Duke Dayal	CFO	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Bernard Brasseur	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Richard H. Daniel	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Stefaan Decraene	Director	BNP Paribas 27-29 rue Le Peletier 75009 Paris France
Walter A. Dods, Jr	Director	First Hawaiian Bank 999 Bishop Street Honolulu, HI 96813
Michael Drake	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Geraldine Knatz	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Stuart Hall	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104

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 TALLAHASSEE, FLORIDA

ATTACHMENT A
BANK OF THE WEST OFFICERS AND DIRECTORS'

NAME	TITLE	ADDRESS
Vivien Levy-Garboua	Director	BNP Paribas 3 rue d'Antin 75002 Paris France
A. Ewan MacDonald	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Maura Markus	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Yves Martrenchar	Director	BNP -Paribas 27/29 rue Le Peletier 75009 Paris France
Isao "Steve" Matsuura	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Rodney R. Peck	Director	4 Embarcadero Center, Suite 2200 San Francisco CA 94111
Frank Roncey	Director	BNP Paribas 37 Place du Marché St. Honoré 75001 - Paris, France
J. Michael Shepherd	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Tim Taylor	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Bob Borchers	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94104
Jean-Yves Fillion	Director	BNP Paribas 787 7th Ave NY, NY 10019
Ossama Hassanein	Director	Bank of the West 180 Montgomery Street 25 th Floor San Francisco CA 94014

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 TALLAHASSEE, FLORIDA

State of California
Secretary of State

CERTIFICATE OF STATUS

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**SECRETARY OF STATE
TALLAHASSEE, FLORIDA**

ENTITY NAME:

BANK OF THE WEST

FILE NUMBER: C0905759
FORMATION DATE: 12/20/1978
TYPE: DOMESTIC CORPORATION
JURISDICTION: CALIFORNIA
STATUS: ACTIVE (GOOD STANDING)

I, DEBRA BOWEN, Secretary of State of the State of California,
hereby certify:

The records of this office indicate the entity is authorized to
exercise all of its powers, rights and privileges in the State of
California.

No information is available from this office regarding the financial
condition, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate
and affix the Great Seal of the State of
California this day of May 07, 2013.

Debra Bowen

DEBRA BOWEN
Secretary of State