

F13000002552

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Ps - 7/2/13



CORPORATION SERVICE COMPANY

ACCOUNT NO. : I20000000195

REFERENCE : 703716 4305389

AUTHORIZATION :

COST LIMIT :

[Handwritten signature]
\$78.75

ORDER DATE : June 26, 2013

ORDER TIME : 5:08 PM

ORDER NO. : 703716-005

CUSTOMER NO: 4305389

FOREIGN FILINGS

NAME: BUILD AMERICA MUTUAL
ASSURANCE COMPANY

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
XX CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Susie Knight -- EXT# 52956

EXAMINER: _____

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Build America Mutual Assurance Company

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York

(State or country under the law of which it is incorporated)

3. 45-4858468

(FEI number, if applicable)

4. 03/16/2012

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. N/A

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

(Principal office address)

1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

(Current mailing address)

8. Transact insurance business

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: **Chief Financial Officer**

Office Address: **200 E Gaines Street**

Tallahassee

(City)

Florida 32301

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Robert Phillips Cochran

Address: 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

Vice Chairman: Seán Wallace McCarthy

Address: 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

Director: Raymond Barrette

Address: 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

Director: Richard Ravitch

Address: 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

B. OFFICERS

President: Seán Wallace McCarthy

Address: 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

Vice President: None

Address: _____

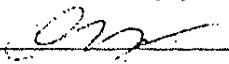
Secretary: Robert Phillips Cochran

Address: 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

Treasurer: Elizabeth Ann Keys

Address: 1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. ELIZABETH KEYS TREASURER

(Typed or printed name and capacity of person signing application)

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Build America Mutual Assurance Company

12. Names and business addresses of officers and/or directors:

Directors		
NAME	TITLE	ADDRESS
Raymond Barrette	Director	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Robert Phillips Cochran	Director, Managing Director	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Seán Wallace McCarthy	Director, Managing Director	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Richard Ravitch	Director	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Edward Gene Rendell	Director	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Robert Albert Vanosky	Director	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Allan Lewis Waters	Director	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

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Build America Mutual Assurance Company

12. Names and business addresses of officers and/or directors:

Officers		
Suzanne Finnegan Bouton	Chief Credit Officer	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Robert Phillips Cochran	Secretary	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Seán Wallace McCarthy	President	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Elizabeth Ann Keys	Chief Financial Officer and Treasurer	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Laura Levenstein	Chief Risk Officer	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Scott Richbourg	Head of Public Finance	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281
Brian Wymbs	Controller	1 World Financial Ctr., 27th Fl, 200 Liberty Street, New York, NY 10281

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CERTIFICATE OF COMPLIANCE - DOMESTIC

STATE OF NEW YORK

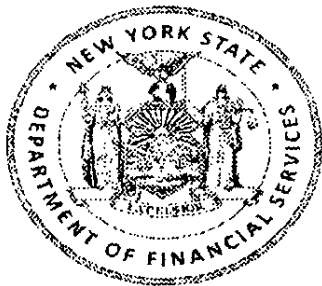
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

BUILD AMERICA MUTUAL ASSURANCE COMPANY

of New York, New York

is duly organized under the laws of this state, as a mutual company and is authorized to issue policies and transact the business of surety and financial guaranty insurance, as specified in paragraph(s) 16(C)(D)(E)(F)(G)(H)(I) and 25 of Section 1113(a) of the New York Insurance Law



In Witness Whereof, I have hereunto set my hand
and affixed the official seal of this Department
at the City of Albany, New York, this
20th day of May, 2013

BENJAMIN M. LAWSKY
Superintendent
BY

Jacqueline Catalfamo
Jacqueline Catalfamo
Special Deputy Superintendent

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