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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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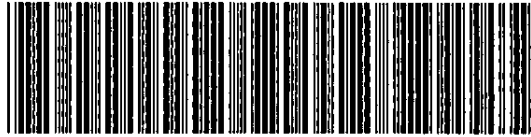
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
WASHINGTON, D. C. 20520

144

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Burnham Securities Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Mara Stempler

Name of Person

Burnham Securities Inc.

Firm/Company

1325 Avenue of the Americas-26th floor

Address

New York, NY 10019

City/State and Zip code

mstempler@bsibam.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Mara Stempler

Name of Person

at (212) 603-7521

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Burnham Securities Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

Burnham Financial Group

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York 3. 13-3435435

(State or country under the law of which it is incorporated)

(FEI number, if applicable)

4. October 1, 1987 5. perpetual

(Date of incorporation)

(Duration: Year corp. will cease to exist or "perpetual")

6. January 10, 2013

(Date first transacted business in Florida, if prior to registration)

(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 301 Yamato Road, Suite #33155 Boca Raton, FL 33431

(Principal office address)

301 Yamato Road, Suite #33155 Boca Raton, FL 33431

(Current mailing address)

8. broker dealer branch

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name:

Michael Jacobs

Office Address:

301 Yamato Road, Suite #33155

Boca Raton

(City)

Florida 33431

(Zip code)

10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Michael Jacobs

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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13 FEB -5 PM 4:33
DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

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A. DIRECTORS

13 FEB -5 PM 4: 33

Chairman: Jon M Burnham

Address: 1325 Avenue of the Americas-26th floor
New York, NY 10019

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Vice Chairman: _____

Address: _____

Director: Debra Hyman

Address: 1325 Avenue of the Americas-26th floor
New York, NY 10019

Director: _____

Address: _____

B. OFFICERS

President: Jon M Burnham

Address: 1325 Avenue of the Americas-26th floor
New York, NY 10019

Vice President: _____

Address: _____

Secretary: Mara Stempler

Address: 1325 Avenue of Americas-26th floor NY, NY 10019

Treasurer: Mara Stempler

Address: 1325 Avenue of the Americas-26th floor NY, NY 10019

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____


Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Mara Stempler, CFO Jon M. Burnham, CEO

(Typed or printed name and capacity of person signing application)

**State of New York
Department of State } ss:**

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

I hereby certify, that the Certificate of Incorporation of BURNHAM SECURITIES INC. was filed on 11/18/1987, under the name of ABNER ASSET MANAGEMENT INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment ABNER ASSET MANAGEMENT INC., changing its name to BURNHAM SECURITIES INC., was filed 08/03/1989.



*WITNESS my hand and the official seal
of the Department of State at the City of
Albany, this 11th day of January two
thousand and thirteen.*

First Deputy Secretary of State