

F12000003902

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

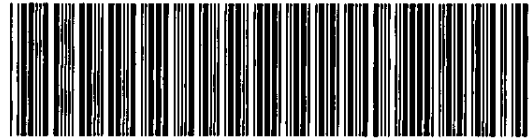
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



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9/24



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
Phone 212-668-8000
Toll Free 800-221-5588

Transacts Business on all Principal Exchanges

September 18, 2012

VIA EXPRESS MAIL

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Re: Application by Foreign Corporation for Authority to Transact Business in Florida
Viner Finance, Inc – Charter Number: To Be Assigned.

To Whom It May Concern:

Please find enclosed the following documents in connection with the above-referenced matter:

1. Fully executed Cover Letter to the Division of Corporations – New Filing Section
2. Fully executed Application by a Foreign Corporation for Authority to Transact Business in Florida
3. A copy of Viner Finance, Inc's Certificate of Existence issued from the State of Delaware.
4. Application filing fee with Certified copy in the amount of \$78.75 made payable to Florida Department of State.
5. A **pre-paid** Federal Express label and envelope to facilitate return processing.

Should you have any questions, please do not hesitate to contact me at (212) 668-2807. Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to be 'Alice A. Zhang', written over a horizontal line.

Alice A. Zhang
Office of the General Counsel

Enclosures

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Viner Finance, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Dennis P. McNamara

Name of Person

Viner Finance, Inc.

Firm/Company

85 Broad Street, 22nd Floor

Address

New York, NY 10004

City/State and Zip code

dennis.mcnamara@opco.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Dennis P. McNamara

Name of Person

at (212) 668-5771

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☒ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Viner Finance, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware

(State or country under the law of which it is incorporated)

3. _____

(FEI number, if applicable)

4. 10/02/1986

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. 09/ /2012

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 85 Broad Street, 22nd Floor, New York, NY 10004

(Principal office address)

85 Broad Street, 22nd Floor, New York, NY 10004

(Current mailing address)

8. Holding company

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301

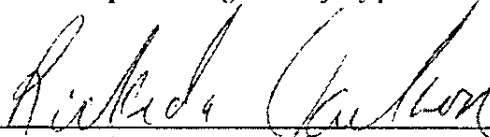
(City)

(Zip code)

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10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Albert G. Lowenthal

Address: 85 Broad Street, New York, NY 10004

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: Elaine K. Roberts

Address: 85 Broad Street, New York, NY 10004

B. OFFICERS

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: Dennis P. McNamara

Address: 85 Broad Street, New York, NY 10004

Treasurer: Jeffrey J. Alfano

Address: 85 Broad Street, New York, NY 10004

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Dennis P. McNamara - Secretary

(Typed or printed name and capacity of person signing application)

Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "VINER FINANCE INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIRST DAY OF AUGUST, A.D. 2012.


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2103384 8300

120954108

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9792915

DATE: 08-21-12