

F12000000 404

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

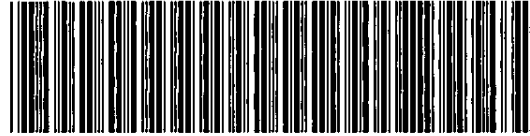
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

W12-3169

K 01/30/12



FLORIDA DEPARTMENT OF STATE
Division of Corporations

RECEIVED
12 JAN 27 AM 11:31
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

January 18, 2012

REBECCA CHANDLER
101 MERRITT SEVEN
NORWALK, CT 06851

SUBJECT: NATIONS FUND I, INC.
Ref. Number: W12000003169

We have received your document for NATIONS FUND I, INC. and your check(s) totaling \$87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

Please make sure the complete address is listed on both lines of #7.

The registered agent must sign accepting the designation.

The certificate of existence must be issued within the last 90 days by the Secretary of State which has custody of the records in the jurisdiction under the laws of which the above listed entity is incorporated/organized.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6949.

Thomas Chang
Regulatory Specialist II
New Filing Section

Letter Number: 212A00001243

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Nations Fund I, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

REBECCA CHANDLER

Name of Person

NATIONS FUND I, INC.

Firm/Company

101 MERRITT SEVEN

Address

NORWALK, CT 06851

City/State and Zip code

RCHANDLER@NATIONSEQUIMENTFINANCE.COM

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

REBECCA CHANDLER

Name of Person

at (203) 229-2222

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☒ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Nations Fund I, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware

(State or country under the law of which it is incorporated)

3. 27-3501340

(FEI number, if applicable)

4. 09/17/2010

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. _____

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 101 Merritt Seven, Norwalk, CT 06851

(Principal office address)

101 Merritt Seven, Norwalk, CT 06851

(Current mailing address)

8. Entity is in the business of providing equipment financing to companies in the form of loans and leases.

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee

(City)

, Florida 32301

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By Jacqueline M. Carter Assistant VP
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA
SECRETARY OF STATE

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: SEE ATTACHMENT

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: PHILIP ALAN CARLSON

Address: 80 DOVERPOINT FARMLANE E.
STAMFORD, CT 06903

Vice President: THOMAS FRANCIS FANELLI

Address: 4 JOSH LANE
DANBURY, CT 06811

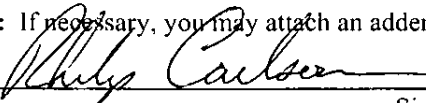
Secretary: SEE ATTACHMENT

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. PHILIP ALAN CARLSON

(Typed or printed name and capacity of person signing application)

12 JAN 27 PM 2:25
STATE OF FLORIDA
TALLAHASSEE

DIRECTORS FOR NATIONS FUND I, INC.

Philip Alan Carlson
Business Address

Director
101 Merritt Seven, Norwalk, CT 06851

Thomas Francis Fanelli
Business Address

Director
101 Merritt Seven, Norwalk, CT 06851

David C. Abrams
Business Address

Director
222 Berkeley Street, 22nd Floor, Boston, MA 02116

William P. Wall
Business Address

Director
222 Berkeley Street, Boston, MA 02116

Venkat Venkatraman
Business Address

Director
222 Berkeley Street, Boston, MA 02116

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SECRETARY OF THE
TREASURY
TALAMON, SEAN J.

OFFICERS FOR NATIONS FUND I, INC.

Phillip Alan Carlson
Business Address

President and CEO
101 Merritt Seven, Norwalk, CT 06851

Randolph Scott Hicks
Business Address

Treasurer and Executive Vice President
101 Merritt Seven, Norwalk, CT 06851

Edward Thomas Stolarski
Business Address

Secretary and Executive Vice President
101 Merritt Seven, Norwalk, CT 06851

Thomas Francis Fanelli
Business Address

Executive Vice President
101 Merritt Seven, Norwalk, CT 06851

Dennis James Bickerstaff
Business Address

Executive Vice President
101 Merritt Seven, Norwalk, CT 06851

Saurin Pravin Shah
Business Address

Executive Vice President
101 Merritt Seven, Norwalk, CT 06851

Richard D. Dionne
Business Address

Assistant Secretary
131 Dartmouth Street, Boston, MA 02116

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FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20535

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "NATIONS FUND I, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-THIRD DAY OF JANUARY, A.D. 2012.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "NATIONS FUND I, INC." WAS INCORPORATED ON THE SEVENTEENTH DAY OF SEPTEMBER, A.D. 2010.

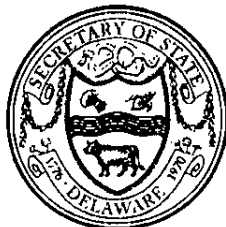
AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

FILED
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SECRETARY OF STATE
DELAWARE

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You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9314241

DATE: 01-23-12