# F11000003364

(Requestor's Name)	
(Address)	700
(Address)	
(City/State/Zip/Phone #)	
	08/
(Business Entity Name)	
(Document Number)	
Certified Copies Certificates of Status	
Special Instructions to Filing Officer:	





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COVER LETTER	
TO: New Filing Section Division of Corporations	
SUBJECT: TradeKing Group, Inc.	
Name of corporation - must include suffix	
Dear Sir or Madam:	
The enclosed "Application by Foreign Corporation for Authorization to Trans "Certificate of Existence," or "Certificate of Good Standing" and check are su above referenced foreign corporation to transact business in Florida.	
Please return all correspondence concerning this matter to the following:	
Linh Pham Su	
Name of Person	
Cooley LLP	
Firm/Company	
11951 Freedom Drive, Suite 1500	
Address	
Reston, Virginia 20190	
City/State and Zip code	
PClaxton@tradeking.com	
E-mail address: (to be used for future annual repor	t notification)
For further information concerning this matter, please call:	
Linh Pham Su at (703 ) 456-8036	
Name of Person Area Code & Daytime Telep	phone Number
New Filing Section New Filing	Corporations 27
Enclosed is a check for the following amount:	
\$70.00 Filing Fee \$78.75 Filing Fee & Certificate of Status Certified Copy	\$87.50 Filing Fee, Certificate of Status & Certified Copy

#### APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

<ol> <li>TradeKing G</li> </ol>			
	corporation; must include "INCORPORATED, Corp," "Inc," "Co," or "Corp.")	" "COMPANY," "CORPORATION,"	
(If name unavail	lable in Florida, enter alternate corporate name	adopted for the purpose of transacting business i	in Florida)
2. Delaware	3.		
(State or country	under the law of which it is incorporated)	(FEI number, if applicable)	
<sub>4.</sub> 7/12/2011	5.	Perpetual	
(Date	e of incorporation)	(Duration: Year corp. will cease to exist or "pe	erpetual")
After qualifi	cation		
		n Florida, if prior to registration) i02, F.S., to determine penalty liability)	
888 E. Las	Olas Blvd., Suite 300, Fort La	uderdale, Florida 33301	
	(Principal office add		
888 E. Las	s Olas Blvd., Suite 300, Fort I	Lauderdale, Florida 33301	
	(Current mailing add	ress)	
An online	brokerage firm specializing in	trading equity and option prod	ucts.
(Purpose(s	s) of corporation authorized in home state or co	untry to be carried out in state of Florida)	<b>3</b> 2
Name and street	et address of Florida registered agent: (P.O	Box NOT acceptable)	
Name:	Incorporating Services, LTD.	<u></u>	25 T
Office Address:	1540 Glenway		Silve Co
	Tallahassee	, Florida 32301	
	(City)	(Zip code)	
() Registered o	gent's acceptance:		B# 55
		ce of process for the above stated corporation	on at the place

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Meliona Star Secretary
(Registered affent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors: A. DIRECTORS Chairman: Donato A. Montanaro, Jr. Address: 888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301 Vice Chairman: n/a Address: \_\_ Director: See attachment Director: See attachment **B. OFFICERS** President: Richard J. Hagen, Jr. Address: 888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301 Vice President: n/a Secretary: Philip A. Claxton Address: 888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301 Treasurer: n/a NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors. Signature of Director or Officer The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. 14. Philip A. Claxton, Chief Financial Officer

(Typed or printed name and capacity of person signing application)

### ATTACHMENT TO APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

#### 12. Names and business addresses of officers and/or directors:

#### A. DIRECTORS

#### All directors below will have the following address:

888 E. Las Olas Blvd., Suite 300 Fort Lauderdale, Florida 33301

Michael Brown
Philip A. Claxton
Thomas A. Desmond
Henry Duques
Guy Gibson
Richard J. Hagen, Jr.
Peter Ianello
Donato A. Montanaro, Jr.
Brian O'Malley

#### **B. OFFICERS**

#### All officers below will have the following address:

888 E. Las Olas Blvd., Suite 300 Fort Lauderdale, Florida 33301

Chief Executive Officer: Donato A. Montanaro, Jr.

Chief Operating Officer: Richard J. Hagen, Jr.

Chief Financial Officer: Philip A. Claxton

Chief Compliance Officer: Roberto Donovan

## Delaware

PAGE 1

#### The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY "TRADEKING GROUP, INC." IS DULY
INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN
GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE
RECORDS OF THIS OFFICE SHOW, AS OF THE NINTH DAY OF AUGUST, A.D.
2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "TRADEKING GROUP, INC." WAS INCORPORATED ON THE TWELFTH DAY OF JULY, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE NOT BEEN ASSESSED TO DATE.

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AUTHENT CATION: 8959112

DATE: 08-09-11

You may verify this certificate online at corp.delaware.gov/authver.shtml