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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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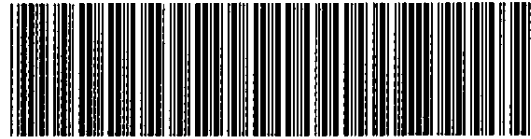
(Business Entity Name)

(Document Number)

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COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: CALDWELL INTERNATIONAL SECURITIES CORPORATION
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Lennie S. Freiman

Name of Person

Caldwell International Securities Corporation

Firm/Company

7635 FM 32

Address

Fischer, Texas 78623

City/State and Zip code

lfreiman@caldwellbrokerage.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Claudia Freiman

Name of Person

at (830) 935-3409

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☒ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. CALDWELL INTERNATIONAL SECURITIES CORPORATION

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co." or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Commonwealth of the Bahamas

(State or country under the law of which it is incorporated)

3. 52-2261625

(FEI number, if applicable)

4. July 27th, 2000

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. July 27th, 2011

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 7635 FM 32 Fischer, Texas 78623

(Principal office address)

7635 FM 32 Fischer, Texas 78623

(Current mailing address)

8. Provide investment advisory services

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: **Francis J Brennan**

Office Address: **1300 N. Federal Hwy Suite 211**

Boca Raton

(City)

, Florida **33432**

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Greg Caldwell

Address: 12340 Alameda Trace Circle #1702
Austin, Texas 78727

Vice Chairman: Lennie Freiman

Address: 7635 FM 32
Fischer, Texas 78623

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: Lennie S. Freiman

Address: 7635 FM 32
Fischer, Texas 78623

Vice President: Greg Caldwell

Address: 12340 Alameda Trace Circle #1702
Austin, Texas 78727

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Lennie S. Freiman

(Typed or printed name and capacity of person signing application)

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Commonwealth of The Bahamas

THE INTERNATIONAL BUSINESS COMPANIES ACT
(No. 45 of 2000)

IBC 08

CERTIFICATE OF GOOD STANDING

(Section 189)

No. 112107 B

CALDWELL INTERNATIONAL SECURITIES CORPORATION

I, DELLERESSE M. GRANT, Assistant Registrar General of The Bahamas DO HEREBY CERTIFY:

1. The above Company was duly incorporated under the provision of the International Business Companies Act 2000, (No. 45 of 2000), on the 27th day of July, 2000 as a Company No. 112107 of the Register of International Business Companies.
2. The name of the Company is still on the Register of the International Business Companies and the Company has paid all fees, licence fees and penalties due and payable under the provisions of Sections 175 and 194 of the said Act.
3. The Company has not submitted to me Articles of Merger or Consolidation that have not yet been effective.
4. The Company has not submitted to me Articles of Arrangement that has not yet become effective.
5. The Company is not in the process of being wound up and dissolved.
6. No proceedings have been instituted to strike the name of the Company off the said Register.
7. In so far as is evidenced by the documents filed with me the Company is in good legal standing.



Given under my hand and seal at Nassau in
The Commonwealth of The Bahamas, this
19th day of July, 2011

Delleresse M Grant

Assistant Registrar General