

F1100003227

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H11000199174 3)))



H110001991743ABC0

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations
Fax Number : (850) 617-6381

From: Account Name : CORPDIRECT AGENTS, INC.
Account Number : 110450000714
Phone : (850) 222-1173
Fax Number : (850) 224-1640

001495.152453

PLEASE GIVE ORIGINAL SUBMISSION
DATE AS FILE DATE.
8/8/11

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

**FOREIGN PROFIT/NONPROFIT CORPORATION
EFG ASSET MANAGEMENT (U.S.), CORP.**

Certificate of Status	0
Certified Copy	1
Page Count	05
Estimated Charge	\$78.75

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

11 AUG -9 AM 11:51

RECEIVED

PLEASE GIVE ORIGINAL SUBMISSION
DATE AS FILE DATE.
8/8/11

PLEASE GIVE ORIGINAL SUBMISSION
DATE AS FILE DATE.
8/8/11

Electronic Filing Menu

Corporate Filing Menu

Help

2011 AUG 01 2011

Ricky Soto NRAI850-224-1640

(2/6) 08/09/2011 09:28:56 AM -0400

850-817-8381

8/9/2011 9:04:52 AM PAGE 1/001 Fax Server



August 9, 2011

FLORIDA DEPARTMENT OF STATE
Division of Corporations

CORPDIRECT AGENTS, INC.

SUBJECT: EFG ASSET MANAGEMENT (U.S.), CORP.
REF: W11000041508

PLEASE GIVE ORIGINAL SUBMISSION
DATE AS FILE DATE.

8/8/11

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

Please correct the name of the entity to be consistent with the certificate.

If you have any further questions concerning your document, please call (850) 245-6901.

Pamela Smith
Regulatory Specialist II
New Filing Section

FAX Aud. #: H11000199174
Letter Number: 811A00018632

8/8/11

PLEASE GIVE ORIGINAL SUBMISSION
DATE AS FILE DATE.

8/8/11

H11000199174 3

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. EFG Asset Management (U.S.) Corp.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Ltd.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware

(State or country under the law of which it is incorporated)

3.

(FBI number, if applicable)

4. July 7, 2011

(Date of incorporation)

5.

Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. Upon filing

(Date first transacted business in Florida, if prior to registration)

(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 701 Brickell Avenue, 9th Floor, Miami, Florida 33131

(Principal office address)

701 Brickell Avenue, 9th Floor, Miami, Florida 33131

(Current mailing address)

8. Registered Investment Advisor

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: **EFG Capital International Corp.**

Office Address: **701 Brickell Avenue, 9th Floor**

Miami, Florida **33131**

(City)

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and in accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

H11000199174 3

2011 AUG -8 PM 4:36

FILED

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

H11000199174 3

12. Names and business addresses of officers and/or directors:

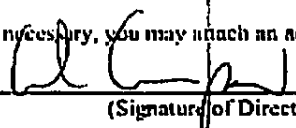
A. DIRECTORS

Chairman: James T. H. Lee
Address: EFG International
Bahnhofstrasse 12, 8001 Zurich, Switzerland
Vice Chairman: Director: Mozamil Afzal
Address: EFG IS London
Leconfield House, Curzon Street, London W1J 5JB, United Kingdom
Director: Hans Abate
Address: EFG Asset Management (U.S.) Corp.
701 Brickell Avenue, 9th Floor, Miami, Florida 33131
Director: Matias Ringel
Address: EFG Asset Management (U.S.) Corp.
805 Third Avenue, 20th Floor, New York, NY 10022

B. OFFICERS

President: Sixto Campano, Chief Executive Officer
Address: 701 Brickell Avenue, 9th Floor, Miami, Florida 33131
Vice President: Juan Massens, Senior Vice President
Address: 701 Brickell Avenue, 9th Floor, Miami, Florida 33131
Secretary: _____
Address: _____
Treasurer: _____
Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Director or Officer listed in number 12 of the application)
14. Sixto Campano, Chief Executive Officer
(Typed or printed name and capacity of person signing application)

H11000199174 3

Ricky Soto NRAI850-224-1640

(5/6) 08/09/2011 09:30:35 AM -0400

H11000199174 3

ADDENDUM TO OFFICIALS AND DIRECTORS

DIRECTOR: Silvio Campano
701 Brickell Avenue, 9th Floor
Miami, Florida 33131

SL

H11000199174 3

H11000199174 3

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "EFG ASSET MANAGEMENT (U.S.) CORP." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE ELEVENTH DAY OF JULY, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "EFG ASSET MANAGEMENT (U.S.) CORP." WAS INCORPORATED ON THE SEVENTH DAY OF JULY, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE NOT BEEN ASSESSED TO DATE.

FILED
2011 AUG -8 PM 4:36
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

5007367 8300

110807043

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8891981

DATE: 07-11-11

H11000199174 3