

F11000003146

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H11000196062 3)))



H110001960623ABC-

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations
Fax Number : (850)617-6381

From: Account Name : CORPDIRECT AGENTS, INC.
Account Number : 110450000714
Phone : (850)222-1173
Fax Number : (850)224-1640

002180102243
RECEIVED
11 AUG -3 AM 10:12
TALLAHASSEE, FLORIDA

**Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address: _____

**FOREIGN PROFIT/NONPROFIT CORPORATION
BENJAMIN F. EDWARDS & COMPANY, INC.**

Certificate of Status	0
Certified Copy	0
Page Count	04
Estimated Charge	\$70.00

K 08/04/11

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

11 AUG -3 PM 4:52

RECEIVED

Electronic Filing Menu

Corporate Filing Menu

Help

H11000196062 3

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANACT BUSINESS IN THE STATE OF FLORIDA.

1. Benjamin F. Edwards & Company, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Ina.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Missouri 3. N/A
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 08/19/2008 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. _____
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. One North Brantwood, Suite 850, St. Louis, Missouri 63106
(Principal office address)

Same
(Current mailing address)

8. Securities Brokerage
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: NRAI Services, Inc.

Office Address: 518 East Park Avenue

Tallahassee, Florida 32301
(City) (Zip code)

11 AUG -3 AM 10:12
STATE
TALLAHASSEE, FLORIDA

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

NRAI Services, Inc.

By: Cathi J. Wall
(Registered agent's signature)

Cathi J. Wall, Asst. Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

H11000196062 3

H11000196062 3

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Benjamin F. Edwards, IV

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

Vice Chairman: E. Allen Cole (Director)

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

Director: Thomas H. Martin, Jr.

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

Director: Cynthia L. Becker

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

B. OFFICERS

President: Benjamin F. Edwards, IV

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

Vice President: E. Allen Cole

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

One North Brentwood, Suite 850, St. Louis, MO 63105


Secretary: Cynthia L. Becker

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

Treasurer: Thomas H. Martin, Jr.

Address: One North Brentwood, Suite 850, St. Louis, MO 63105

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Director or Officer listed in number 12 of the application)

14. Cynthia L. Becker, Corporate Secretary

(Typed or printed name and capacity of person signing application)

REC'D
FALL BRASSER FLORIDA
1 AUG 13 AM 11:12

H11000196062 3

H11000196062 3

STATE OF MISSOURI



Robin Carnahan
Secretary of State

CORPORATION DIVISION
CERTIFICATE OF GOOD STANDING

11 AUG -3 AM 10:12
RECEIVED SECRETARY OF STATE
FALL RASSETT, FLORIDA

I, ROBIN CARNAHAN, Secretary of the State of Missouri, do hereby certify that the records in my office and in my care and custody reveal that

BENJAMIN F. EDWARDS & COMPANY, INC.
00914508

was created under the laws of this State on the 19th day of August, 2008, and is in good standing, having fully complied with all requirements of this office.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 3rd day of August, 2011

Robin Carnahan

Secretary of State

