

F11000002056

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

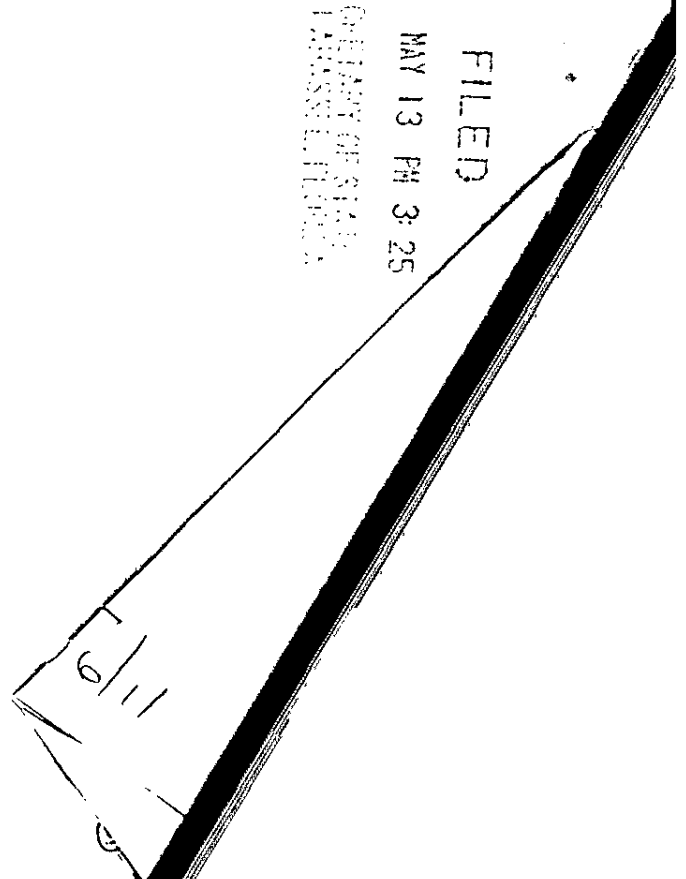
Office Use Only



900207071229

05/03/11--01053--008 **87.50

FILED
11 MAY 13 PM 3:25
CLERK OF STATE
TOLSON





FLORIDA DEPARTMENT OF STATE
Division of Corporations

May 4, 2011

DENNIS DUMAS
150 EAST 52 STREET SUITE 32002
NEW YORK, NY 10022

SUBJECT: AVILA CAPITAL MARKETS, INC.
Ref. Number: W11000024809

We have received your document for AVILA CAPITAL MARKETS, INC. and your check(s) totaling \$87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

The entity's date of incorporation/organization must be listed in the document.

Please list your officers and directors on the application. The report submitted is not filed with your application.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6901.

Pamela Smith
Regulatory Specialist II

Letter Number: 811A00010845

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: AVILA CAPITAL MARKETS, INC.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

DENNIS DUMAS

Name of Person

AVILA CAPITAL MARKETS, INC.

Firm/Company

150 East 52 Street Suite 32002, New York, NY 10022

Address

City/State and Zip code

DDUMAS@AVILACMG.COM / EVERA@AVILACMG.COM

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

ESDRAS VERA

Name of Person

at (212) 542-4447

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☒ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

Already paid!

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. AVILA CAPITAL MARKETS, INC.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. DELAWARE

(State or country under the law of which it is incorporated)

3. 33-0879829

(FEI number, if applicable)

4. 12/22/2008

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. N/A

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 150 East 52 Street Suite 32002, New York, NY 10022

(Principal office address)

Same

(Current mailing address)

8. FINRA Registered Broker Dealer

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Joel Cohen

Office Address: 1200 Brickell Ave Suite 350

Miami

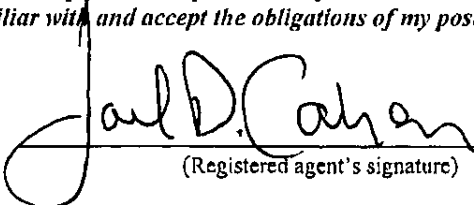
(City)

, Florida 33131

(Zip code)

10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED
11 MAY 13 PM 3:25
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Dennis Dumas

Address: 150 East 52 Street Suite 32002, New York, NY 10022

Vice Chairman: Julius DeSantis

Address: 150 East 52 Street Suite 32002, New York, NY 10022

Director: Alvaro Leal

Address: 150 East 52 Street Suite 32002, New York, NY 10022

Director: _____

Address: _____

B. OFFICERS

President: Dennis Dumas

Address: 150 East 52 Street Suite 32002, New York, NY 10022

Vice President: _____

Address: _____

Secretary: Dennis Dumas

Address: 150 East 52 Street Suite 32002, New York, NY 10022

Treasurer: N/A

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Dennis Dumas

(Typed or printed name and capacity of person signing application)

FILED
MAY 13 PM 3:25
11
DEPARTMENT OF STATE
NEW YORK

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "AVILA CAPITAL MARKETS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTEENTH DAY OF APRIL, A.D. 2011.

FILED
11 MAY 13 PM 3:25
SECRETARY OF STATE
DELAWARE



4506943 8300

110406327

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8690555

DATE: 04-13-11